

# Synthesis and Integration of Multifunctional Oxide Materials

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NCC AVS Thin Film User Group

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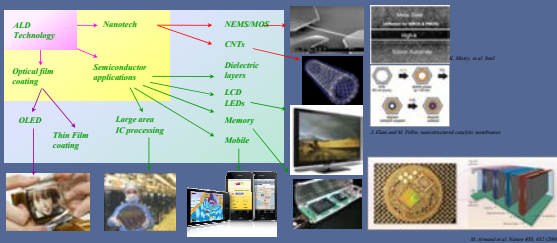
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## Outline

- Motivation
  - Multifunctional Oxide Materials
  - Versatile applications
- Patterning of complex metal oxides
  - Challenges
  - Criteria in selecting plasma chemistries
  - Simple metal oxides ( $ZrO_2$  and  $HfO_2$ )
  - Complex  $HfO_2$  based dielectrics ( $HfAl_xO_y$ ,  $HfSi_xO_yN_z$ )
- Reaction mechanisms in patterning metal oxides
  - Modeling competition between etch and deposition
- Conclusion
- Acknowledgement

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## ALD, an enabler for nano-patterning

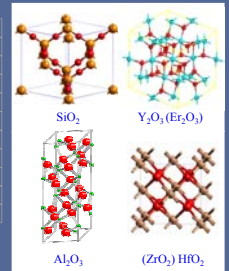


- Conformal and well controlled synthesis of metals, semiconductors, and complex oxides
- Integration with subtractive or additive processes to form nano-patterns

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## Multifunctional Metal Oxides

Dielectric	$\kappa$	$E_{BD}$ (MV/cm)	$E_g$ (eV)
$SiO_2$	3.9	12-15	8-9
$SiO_2N_x$	~4	15-16	6
$Si_3N_4$	7-9	10-11	5
$TiO_2$	80-120	0.5	4
$Ta_2O_5$	20-25	3-5	3-4
$ZrO_2$	15-24	15-20	5-7
$HfO_2$	15-24	15-20	5-7
$Al_2O_3$	9-12	10	8
$Y_2O_3$	12-15	4-5	6
$Er_2O_3$	10-14	8-17	6

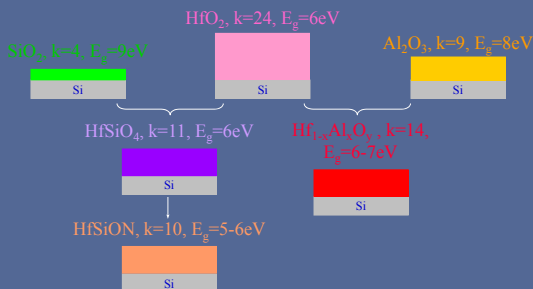


- $ZrO_2/HfO_2$  have high  $\kappa$ , large  $E_{BD}$ , and wide band gap
- $Y_2O_3/Er_2O_3$  have medium  $\kappa$ ,  $E_{BD}$ , and wide band gap

Chang, J. P. Book Chapter on "High-k Gate Dielectric Deposition Technology Survey" in High-k Gate Dielectric Materials, ed. J. P. Chang, Springer, 2009.

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## Challenges in High-k Etch



- Si, Al, and N incorporations have been shown to improve the dielectric properties, the crystallization temperature, and band alignment with respect to silicon

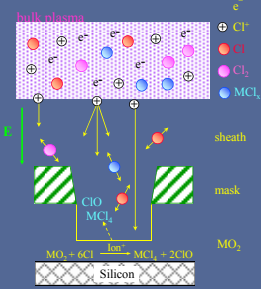
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# Criteria in Plasma Selection



- Etching of high-k dielectrics
  - Metal oxides
  - Doped metal oxides
  - Alloyed metal oxides
- Important criteria
  - Plasma chemistry
  - Plasma density, ion energy
  - Dominant etch species
  - Metal oxygen bond strength
  - Nature of etching products
  - Heat of reaction
  - Etch product volatility

Cl<sub>2</sub> and BCl<sub>3</sub> plasma are viable for patterning high-k dielectrics

# Reaction Pathways

## Potential Reactions in Cl<sub>2</sub>

Chemical Reactions	ΔH (kJ/mol)
Cl <sub>2</sub> → 2Cl	243
Cl + O → ClO	-268
Al <sub>2</sub> O <sub>3</sub> → 2Al + 3O	3084
Al <sub>2</sub> O <sub>3</sub> + 2Cl → 2AlCl + 3O	2085
Al <sub>2</sub> O <sub>3</sub> + 4Cl → 2AlCl <sub>2</sub> + 3O	1276
Al <sub>2</sub> O <sub>3</sub> + 6Cl → 2AlCl <sub>3</sub> + 3O	529
Al <sub>2</sub> O <sub>3</sub> + 5Cl → 2AlCl <sub>2</sub> + 3ClO	1279
Al <sub>2</sub> O <sub>3</sub> + 7Cl → 2AlCl <sub>3</sub> + 3ClO	470
Al <sub>2</sub> O <sub>3</sub> + 9Cl → 2AlCl <sub>3</sub> + 3ClO	-277
HfO <sub>2</sub> → Hf + 2O	2261
HfO <sub>2</sub> + 4Cl → HfCl <sub>4</sub> + 2O	271
HfO <sub>2</sub> + 6Cl → HfCl <sub>3</sub> + 2ClO	-264

## Species Volatility

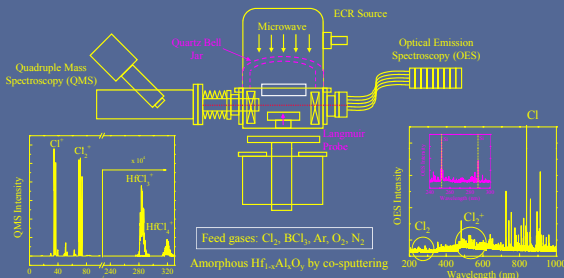
Metal Halides	Sublimation Pt. (°C)	Bond	Bond Strength (eV)
BCl <sub>3</sub> (AlCl <sub>3</sub> )	147	Al-O	5.71
AlCl <sub>3</sub>	180	Al-Cl	5.31
AlO <sub>2</sub> (AlCl <sub>2</sub> )	353 (1800)	Hf-O	8.32
AlO <sub>3</sub> (AlCl <sub>3</sub> )	382 (3800)	Hf-Cl	5.88
Al <sub>2</sub> O <sub>3</sub> (HfCl <sub>3</sub> )	2400		250
HfO <sub>2</sub> (HfCl <sub>2</sub> )	3150 (3000)		-58
HfO <sub>3</sub> (HfCl <sub>3</sub> )	3320 (2800)		79
HfO <sub>4</sub> (HfCl <sub>4</sub> )	3940 (2800)		53

## Species Volatility

Metal Halides	Sublimation Pt. (°C)	Bond	Bond Strength (eV)
AlCl <sub>3</sub>	180	Al-O	5.31
HfCl <sub>4</sub>	317	Al-Cl	5.31
		Hf-O	8.32
		Hf-Cl	5.16

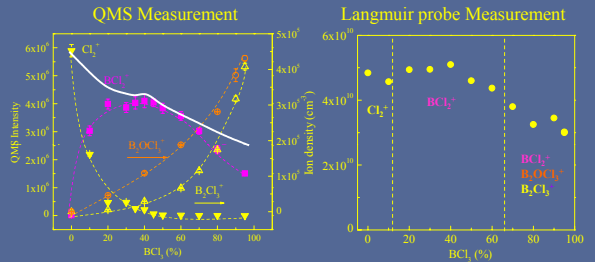
MCl<sub>x</sub>, ClO, and (BOCl)<sub>3</sub> formation drives the etching reactions

# Plasma Diagnostics



- Quantify gas phase and surface reactions by LP, OES, QMS and XPS
- Etch rate  $R_{M_1M_2O} = f(J_{ion}, J_{dep}, E_{M-O}, \theta, J_H, J_{-}, \sqrt{E_{ion}})$

# BCl<sub>3</sub>/Cl<sub>2</sub> Plasma Characterization

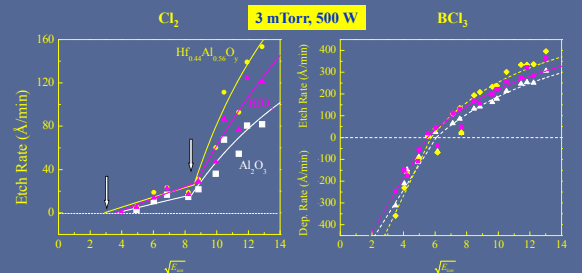


- 5 mTorr, 300 W, -70 V; Ar flow rate was fixed at 5%
- BCl<sub>2</sub><sup>+</sup> is the dominant ionic species
- Ion density was maximized at 40% of BCl<sub>3</sub> and reduced at higher BCl<sub>3</sub> flow rate

# Outline

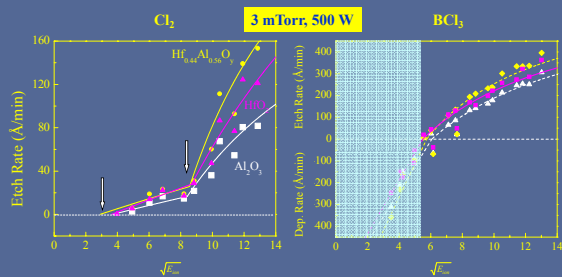
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# Effect of Ion Type/Energy on ER



- Etching rate increases scales with the square root of ion energy and BCl<sub>3</sub> addition
- Etching threshold energy depends on the M-O bond strength

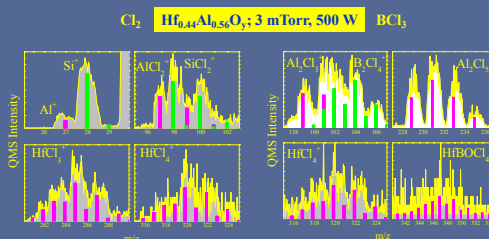
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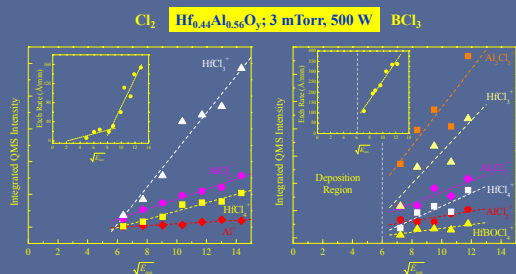
## Effect of Ion Type/Energy on EP



- $\text{Al}$ ,  $\text{AlCl}$ ,  $\text{Al}_2\text{Cl}_3$ , and  $\text{Al}_2\text{Cl}_4$  identified as the primary Al-containing etch products in  $\text{BCl}_3$
- Hafnium removed as  $\text{HfCl}$ ,  $\text{HfCl}_2$ , and  $\text{HfBOCl}_2$  in  $\text{BCl}_3$  plasma
- Etch product formation increases with  $E_i$

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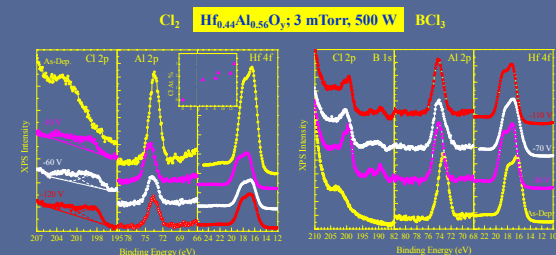
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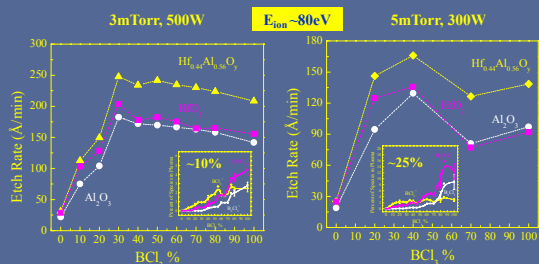
## Surface Composition



- Boron (~3-5 at. %) and chlorine (~1-2 at. %) observed upon etched film surfaces
- Surface composition (Hf, Al, O) relatively constant after etching
- Amount of B and Cl increase with decreasing  $E_{ion}$ ; deposition dominates at lower  $E_{ion}$

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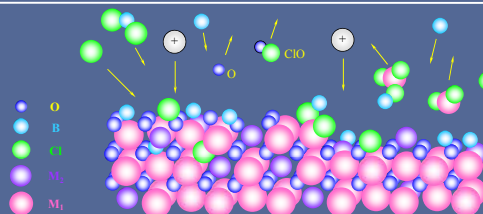
## $\text{Cl}_2/\text{BCl}_3$ Plasmas – Effects on ER



- Etch rate increases possibly due to increasing amount of high mass species  
 $ER(\text{Cl}^+, \text{Cl}_2^+) \leq ER(\text{BCl}_2^+) \leq ER(\text{BCl}_2^+, \text{B}_2\text{O}_2\text{Cl}_2^+)$

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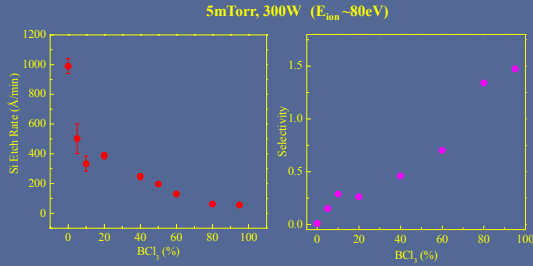
## Etching Mechanism Analysis



- Breaking M-O bond is the critical step  
— Chemically enhanced process  $\rightarrow \text{ClO}$ ,  $(\text{BOCl})_3$
- Cl radicals react with M to form volatile  $\text{MCl}_x$
- Complexity of surface reactions (similar trends for  $\text{HfSi}_x\text{O}_y\text{N}_z$ )  
— Simplifications necessary for modeling

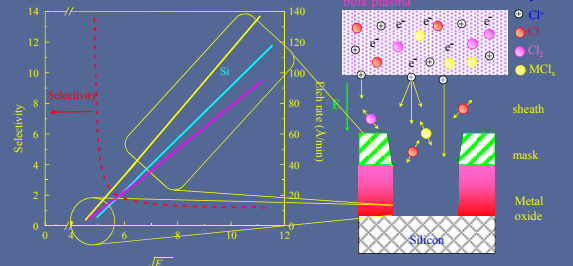
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# Etch Selectivity to Silicon



- High selectivity is required for patterning advanced gate stack

# A Two-Step Etching Process



- Higher threshold energy was obtained for etching Si in BCl<sub>3</sub> plasma
- Low ion energy is preferred towards the end of the etching

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# Plasma Etch Modeling: Review

Steinbrüchel (1989)	Gottschow/Sawin (82-93)	Hershikowitz (1996)	Pearton (2006)
$R = A(E_{ion}^{1/2} - E_{th}^{1/2})$ $J = C_p S_p (E/E_{th})^n f(E_{ion}/E)$ $S_p(E_{ion}/E) = S_p(E_{th}/E)^{1/2}$ $f_e(E_{ion}/E) = 1 - (E_{th}/E)^2$	$R = A0E_{ion} J$ $R = \gamma S_p (1 - \theta) J$ $\theta = \frac{1}{1 + kE_{ion} J / (vS_p)}$	$J(DR) = k_{dep} D_p J_{dep}$ $(DR) = k_{dep} D_p J_{dep}$ $\theta + \theta_p = 1$ $\theta = \theta_0 + \theta_1 + \theta_2$ $\theta_p = \theta_0 + \theta_1 + \theta_2$ $k_{dep} = J_{dep} (S_p \sqrt{E_{ion} - E_{th}})$	$ER = (J_{dep} S_p + k_{dep}) D_p / N$ $\gamma_{dep} = A_{dep} (\sqrt{E_{ion}} - \sqrt{E_{th}})$ $\theta_p = \frac{1 - \beta(J_{dep} S_p + k_{dep}) / J_{dep} S_p}{1 + \beta(J_{dep} S_p + k_{dep}) / J_{dep} S_p}$ $k_{dep} = J_{dep} (S_p \sqrt{E_{ion} - E_{th}})$

- Challenges: flux/energy dependence and etch/deposition competition

# Modeling Formulation

$E_{ion} > E_{th}$  ( $E_{ion} > E_{th}$ )

Etch rate of metal oxide  
 $(ER) = J_e A_e (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_e + J_p B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p$   
 $= J_e v_p S_p \theta_e$

Deposition rate on metal oxide  
 $(DR) = D_p \theta_p = J_p v_p S_p \theta_p$

Etch rate of polymer  
 $(ER)_p = J_p C_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p = J_p v_p S_p \theta_p$

Deposition rate of polymer  
 $(DR)_p = D_p \theta_{dp} = J_p v_{dp} S_{dp} \theta_{dp}$

Site balance  
 $\theta_e + \theta_p = 1 \Rightarrow \theta_e + \theta_{ep} + \theta_{op} + \theta_{dp} + \theta_{pp} = 1$

Total reaction rate:  
 $R_e = (ER) - (DR) + (ER)_p - (DR)_p$   
 $= (ER) - (DR)_p$   
 $= J_e A_e (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_e + J_p B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p - D_p \theta_{dp}$

Summary of Rate Model Parameters		
Parameter	Definition	Units
$J_e$	Etch rate ion flux	$\text{cm}^{-2} \text{s}^{-1}$
$J_p$	Etching Species flux	$\text{cm}^{-2} \text{s}^{-1}$
$D_p$	Deposition flux	$\text{cm}^{-2} \text{s}^{-1}$
$E_{th}$	Etch threshold energy	eV
$v_p$	Etch rate velocity	$\text{cm} \text{s}^{-1}$
$C_p$	Etch rate constant	$\text{cm}^{-2} \text{s}^{-1} \text{eV}^{-1/2}$
$B_p$	Etch rate constant	$\text{cm}^{-2} \text{s}^{-1} \text{eV}^{-1/2}$
$v_{dp}$	Deposition rate velocity	$\text{cm} \text{s}^{-1}$
$S_p$	Surface area of polymer	$\text{cm}^2$
$S_{dp}$	Surface area of metal oxide	$\text{cm}^2$
$\theta_e$	Etch rate probability of etching species on substrate	-
$\theta_p$	Etch rate probability of etching species on polymer	-
$\theta_{ep}$	Etch rate probability of etching species on substrate	-
$\theta_{op}$	Etch rate probability of etching species on polymer	-
$\theta_{dp}$	Deposition rate probability of depositing species on substrate	-
$\theta_{pp}$	Deposition rate probability of depositing species on polymer	-
$A_e$	Etch rate constant	$\text{cm}^{-2} \text{s}^{-1} \text{eV}^{-1/2}$
$A_p$	Etch rate constant	$\text{cm}^{-2} \text{s}^{-1} \text{eV}^{-1/2}$
$B_e$	Etch rate constant	$\text{cm}^{-2} \text{s}^{-1} \text{eV}^{-1/2}$
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$D_e$	Deposition rate	$\text{cm}^{-2} \text{s}^{-1}$
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$v_p$	Etch rate velocity	$\text{cm} \text{s}^{-1}$
$v_{dp}$	Deposition rate velocity	$\text{cm} \text{s}^{-1}$
$S_e$	Surface area of metal oxide	$\text{cm}^2$
$S_p$	Surface area of polymer	$\text{cm}^2$
$S_{dp}$	Surface area of metal oxide	$\text{cm}^2$
$S_{ep}$	Surface area of polymer	$\text{cm}^2$

# Model Development

$$R = \frac{J_e v_p S_p \theta_e - J_p v_{dp} S_{dp} \theta_{dp}}{J_e v_p S_p \theta_e + \frac{J_p v_p S_p \theta_p}{D_p} + \frac{J_p v_p S_p \theta_p}{J_p C_p (E_{ion}^{1/2} - E_{th}^{1/2})} + \frac{J_p v_p S_p \theta_p}{D_p} + J_e A_e (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_e + B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p}$$

$J_e = 0$   $J_p = 0$

$$R_e = \frac{J_e A_e (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_e + B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p}{1 + J_p \left[ \frac{A_e (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_e + B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p}{J_e v_p S_p} \right]}$$

$$R_p = - \frac{J_p v_{dp} S_{dp} \theta_{dp}}{1 + J_p v_p S_p / D_p}$$

In Cl<sub>2</sub>  $J_e \approx 0$   $R_e = \frac{J_p \left[ A_e (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_e + B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p \right]}{1 + J_p \left[ A_e (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_e + B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p \right] / J_e v_p S_p}$

In BCl<sub>3</sub>  $J_e A_e (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_e \ll J_p B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p$

$$R = \frac{J_e v_p S_p \theta_e - J_p v_{dp} S_{dp} \theta_{dp}}{J_e v_p S_p \theta_e + \frac{J_p v_p S_p \theta_p}{D_p} + \frac{J_p v_p S_p \theta_p}{J_p C_p (E_{ion}^{1/2} - E_{th}^{1/2})} + \frac{J_p v_p S_p \theta_p}{D_p} + J_e v_p S_p \theta_e + B_p (E_{ion}^{1/2} - E_{th}^{1/2}) \theta_p}$$

# Final Model and Evaluation

$$E_{ion} > E_{th,s}$$

$$R_i = \frac{J_p^2 Z_{sp} Z_{op} - J_d^2 Z_{ds} Z_{op}}{J_p Z_{sp} + \frac{J_p^2 Z_{sp} Z_{op}}{D_p} + \frac{J_p J_d Z_{ds} Z_{op}}{J C_p (E_{ion}^{1/2} - E_{th,s}^{1/2})} + J_d Z_{ds} + \frac{J_p J_d Z_{ds} Z_{op}}{D_s} + \frac{J_d^2 Z_{ds} Z_{op}}{J B_s (E_{ion}^{1/2} - E_{th,s}^{1/2})}}$$

$$E_{th,p} < E_{ion} < E_{th,s}$$

$$R_i = (E.R.)_{sp} - (D.R.)_{sp} = J C_p (E_{ion}^{1/2} - E_{th,s}^{1/2}) - J_d Z_{ds}$$

$$E_{ion} < E_{th,p}$$

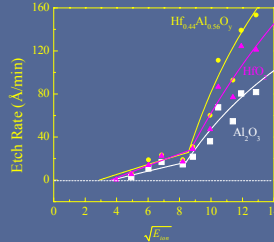
$$R_i = -(D.R.)_{sp} = -J_d v_{sp} S_{sp} = -J_d Z_{ds}$$

$-(D.R.)_{sp} = 300 \text{ \AA/min}$   
 $J_p = 26 \text{ #/\AA}^2\text{s}$   
 $S_{sp}$  of  $\text{BCl}_3$  ranges 0.001 to 0.1\*  
 $\rightarrow v_{sp} \sim 19 \text{ \AA}^2$

\* Choi and Verweigang PNST A 16(3), 1873 (1998)

# Modeling of ER in $\text{Cl}_2$

$\text{Cl}_2$  3 mTorr, 500 W



$$R_i = \frac{J_p [A_i (E_{ion}^{1/2} - E_{th,i}^{1/2}) + B_i (E_{ion}^{1/2} - E_{th,i}^{1/2})]}{1 + J_p [A_i (E_{ion}^{1/2} - E_{th,i}^{1/2}) + B_i (E_{ion}^{1/2} - E_{th,i}^{1/2})] / J_d Z_{ds}}$$

$$J_i = n_i u_i = n_i \left( \frac{eE}{M} \right)^{1/2} \rightarrow \frac{3.2 \times 10^{19} \text{ cm}^{-3}}{2.33 \text{ eV}} \rightarrow 1.06 \text{ Cl}^-/\text{\AA}^2\text{s}$$

$$J_i = \frac{P}{4RT} \left( \frac{8kT}{\pi m} \right)^{1/2} \rightarrow 53.9 \text{ Cl}/\text{\AA}^2\text{s}$$

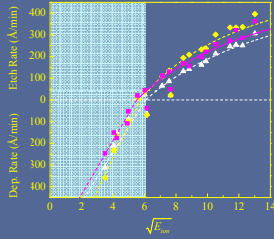
Parameter	Range
$E_{th,i}$	6-20
$E_{th,s}$	8.2-9

Root-mean-square (RMS) error is calculated for each  $R_i = f(\sqrt{E_{ion}})$  compared to the experimental result to obtain the best fitted curve from the combination of varying parameters

- Adequate fitting is achieved with physically reasonable values of model parameters

# Modeling of ER in $\text{BCl}_3$

$\text{BCl}_3$  3 mTorr, 500 W



$$R_i = \frac{J_p^2 Z_{sp} Z_{op} - J_d^2 Z_{ds} Z_{op}}{J_p Z_{sp} + \frac{J_p^2 Z_{sp} Z_{op}}{D_p} + \frac{J_p J_d Z_{ds} Z_{op}}{J C_p (E_{ion}^{1/2} - E_{th,s}^{1/2})} + J_d Z_{ds} + \frac{J_p J_d Z_{ds} Z_{op}}{D_s} + \frac{J_d^2 Z_{ds} Z_{op}}{J B_s (E_{ion}^{1/2} - E_{th,s}^{1/2})}}$$

$$R_i = J C_p (E_{ion}^{1/2} - E_{th,s}^{1/2}) - J_d Z_{ds}$$

$$J_i = n_i u_i = n_i \left( \frac{eE}{M} \right)^{1/2} \rightarrow \frac{3.2 \times 10^{19} \text{ cm}^{-3}}{1.96 \text{ eV}} \rightarrow 0.69 \text{ BCl}_3/\text{\AA}^2\text{s}$$

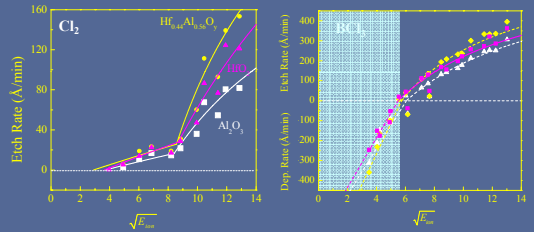
$$J_i = \frac{P}{4RT} \left( \frac{8kT}{\pi m} \right)^{1/2} \rightarrow 52 \text{ (Cl+BCl)}/\text{\AA}^2\text{s}$$

Parameter	Value	Parameter	Value
$J_p$	0.1-0.9	$Z_{sp}$	0.1-2
$D_p$	90-900	$Z_{op}$	0.01-1
$J_d$	5-25	$Z_{ds}$	0.1-1.5
$R_p$	1-4	$Z_{os}$	0.01-1
$C_p$	0.5-2	$v_{sp}$	3-9
$D_s$	0.1-1.5	$B_{sp}$	1.5-2.2
$D_{sp}$	0.1-1.5		

- Adequate fitting is achieved with physically reasonable values of model parameters

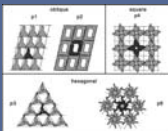
# Plasma Etch Modeling

$$R_i = \frac{J_p^2 Z_{sp} Z_{op} S_{sp} - J_d^2 Z_{ds} Z_{op} S_{ds}}{J_p v_{sp} S_{sp} + \frac{J_p^2 v_{sp} Z_{sp} Z_{op} S_{sp}}{D_p} + \frac{J_p J_d v_{ds} Z_{ds} Z_{op} S_{ds}}{J C_p (E_{ion}^{1/2} - E_{th,s}^{1/2})} + J_d v_{ds} S_{ds} + \frac{J_p J_d v_{ds} Z_{ds} Z_{op} S_{ds}}{D_s} + \frac{J_d^2 v_{ds} Z_{ds} Z_{op} S_{ds}}{J B_s (E_{ion}^{1/2} - E_{th,s}^{1/2})}}$$



- The model address flux/energy dependence and etch/deposition competition

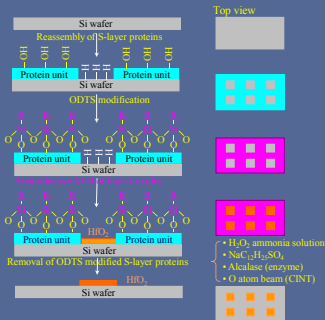
# Nanoscale Patterning of Oxides



U. B. Meyer et al. Progress in Surf. Sci. 68, 211 (2003)



F. S. Geyer et al. J. Microscopy 212, 300 (2003)



- $\text{H}_2\text{O}_2$  ammonium solution
- $\text{Na}_2\text{S}_2\text{O}_8$
- Alkaline (enzyme)
- O atom beam (CINT)

- Area-selective ALD for nano-scale patterning of multifunctional oxides

# Conclusions

- Multifunctional metal oxides have numerous applications
- Well controlled doping in metal oxides can be accomplished by atomic layer deposition
- Effective patterning of metal oxides by chlorine based plasmas
  - Etch rate scales with the square root of  $E_{ion}$
  - Etching product distributions depends strongly on ion energy
- A comprehensive reaction model accounts for etch/deposition
  - Captures basic etch rate dependencies on fluxes and ion energy
  - Highlights the importance of competitive mechanisms
- Plasma patterning enables the integration of multifunctional materials