

NEW APPLICATIONS FOR OPTICAL EMISSION SPECTROSCOPY

OVERVIEW

Different process solutions require different spectroscopy solutions. Sometimes the solution is simply a software reconfiguration, other times it may be a completely different hardware and software configuration.

This presentation will attempt to paint a broad stroke perspective of practical spectroscopy solutions and implementations.

Emphasis will be placed on individual applications suitable for continuous monitoring of "out of run" conditions; however "chamber matching", which is an important and different metrology approach is included.



OPTICAL EMISSION APPLICATIONS

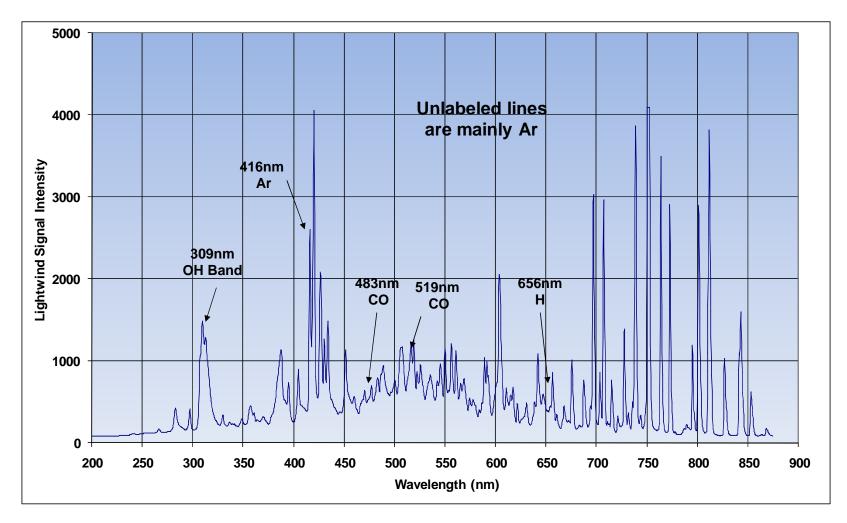
The general concept, examples and applicability as a process diagnostic will be discussed for the following technologies.

Downstream	OES	ICP OES	with a secondary plasma light source
Thru the window	OES	Std OES	where the process plasma is the light source
Thru the window	OES	RF OES	where the process plasma



PRESENTATION OF TYPICAL OPTICAL EMISSION SPECTRA*

*CCD Multichannel Array





Single wavelengths are many times but not always adequate

Full spectra can have overlapping information

Confounding chemistry: chemical reactions highly interactive

Information can be context dependent

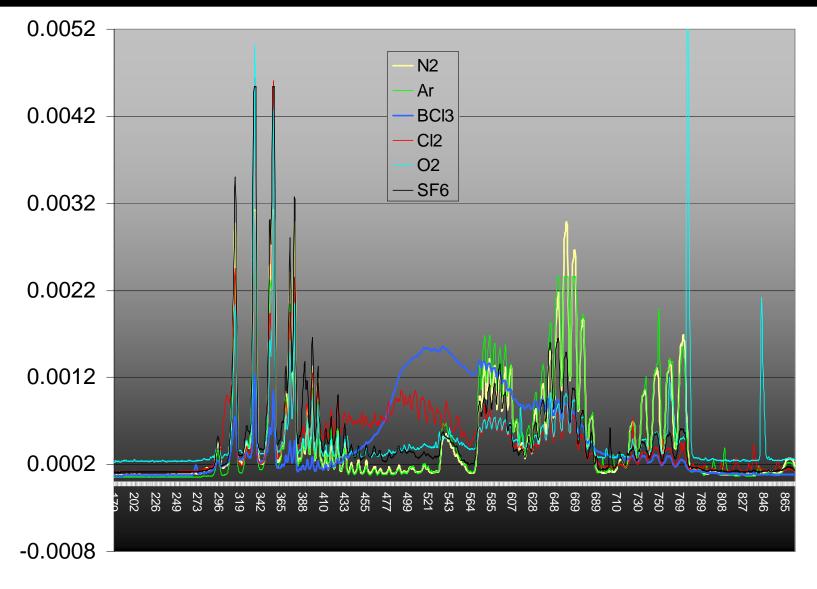
Highly responsive to process chemistry changes

High density data

2 megabytes/minute acquisition rate (based on a 3600 pixel spectrometer at 3 Hz)



OVERLAY OF SPECTRA FOR SIX PROCESS GASES





SO.....WHY USE OES?

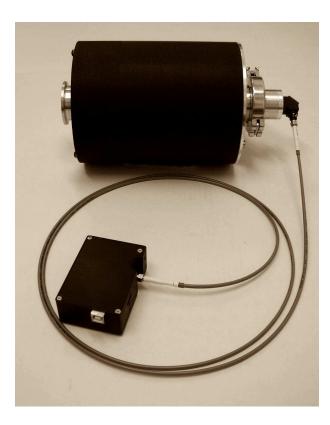
- 1 It provides a high definition metric for the actual process
- 2 It is a count of the atoms and molecules in the process instead of a tool control readout; i.e. it is a <u>direct</u> measure of the process

Three examples:

- 1 Endpoint (process control)
- 2 A small chamber leak can effect a process without being detected during normal tool operations: all tool controls function correctly
- 2 Precursor flow problems in ALD: carrier flow gas is measured, the actual precursor delivered/consumed is not measured



ICPOES L3



OES WITH SECONDARY PLASMA SOURCE

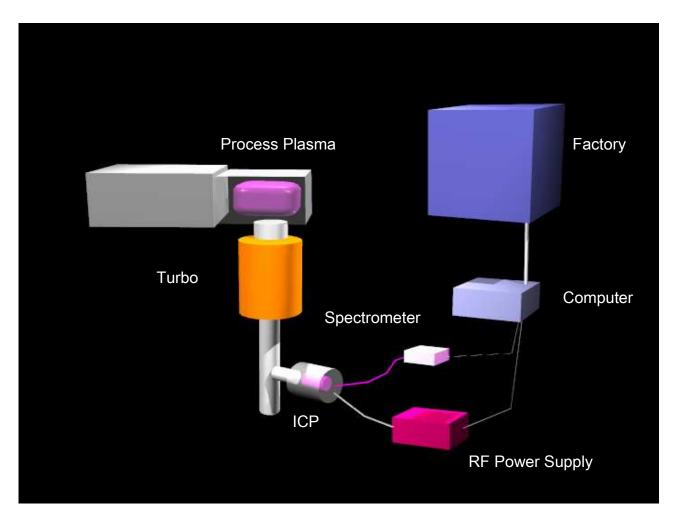
HIGH SENSITIVITY TO CHEMISTRY NON INVASIVE DOES NOT REQUIRE PROCESS PLASMA

APPLICATIONS: CVD ALD CHEMISTRY: ETCH OR CVD ENDPOINT TROUBLESHOOTING ALL NON RF PROBLEMS FAULT DETECTION LEAK DETECTION SEASONING



SYSTEM CROSS SECTION

ICPOES L3



CCD Spectrometer 200 to 800 nm 3600 pixels CCD 1.3nm resolution Full spectra scan 20 msec

ICP Plasma Source No internal electrodes Halogen resistant materials Outstanding signal stability: > 350k mfg hours ALD with no recalibration

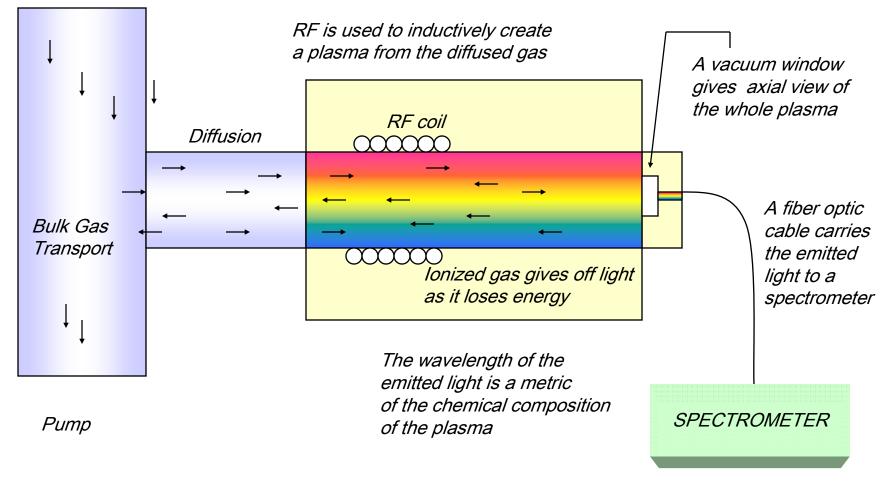
- Separate components: 1 window change 5 min no recalibration 2 spectrometers with different capabilities are easy to implement
 - 3 ICP can be heated to >70 c with no electronic degradation or drift



L3 THEORY OF OPERATION

ICPOES L3

Process Chamber



The spectrometer measures the intensities of different colors (wavelengths) of light



ICP IN EXHAUST (Heated)

ICPOES L3



Heated plasma source for minimal or no maintenance

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While OES is ideally suited for continuous process monitoring it also has high value in troubleshooting processes and chamber matching. Because of the complexity of current processes it is not realistic to think a single sensor is sufficient. Troubleshooting requires a paradigm shift both in using new tool metrology as well as a consistent hierarchical approach: a plan of attack is required.

> Module 1 Process Chemistry Only

Module 2 RF Separate from the Process Chemistry Module 3 Interactions of RF and Chemistry



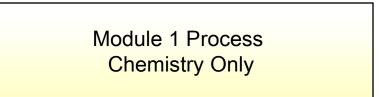
PROCESS DIAGNOSTICS*

LIGHTWIND

TEST VARIABLE	Parameter Change	ICP OES Sensitivity	ICPOES is sensitive to all significant proce changes
Electrode Spacing	Electrode	5 – 10 %	ICPOES can have very high sensitivity to process chemistry without an active Process
Variation	gap	change in	
		gap	
Process Chemistry	Pure gases	1%	
	no plasma required in process chamber	(1 sccm)	
Pressure		4 to 5%	
RF Power		4 to 5%	It is not the most sensitive metrology for all process changes

nsitive nt process

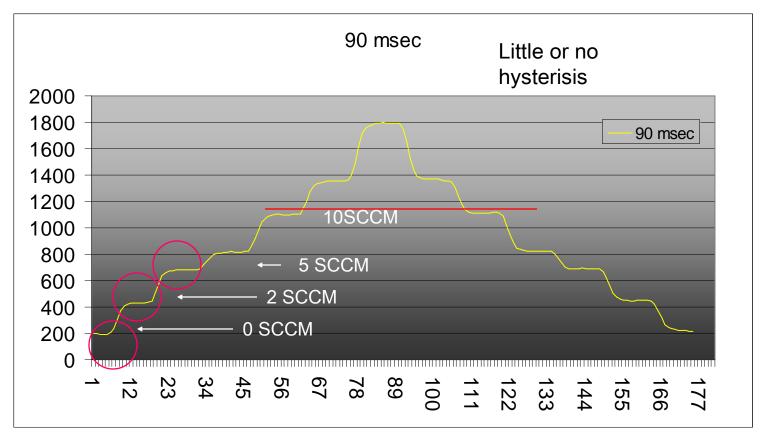
* Oct 2-4, 2006 AEC/APC XVIII Symposium: David Dotan, Intel



PROCESS GAS FLOW MEASUREMENT

ICPOES L3

INTENSITY



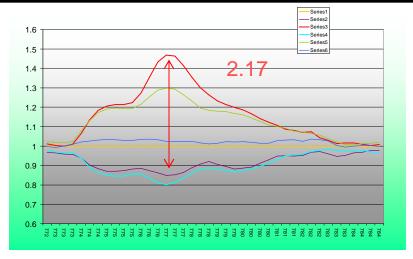
SAMPLE NUMBER

777 Oxygen Intensity change vs flow test



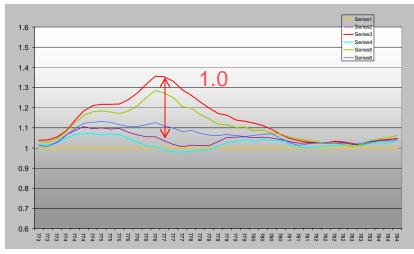
COMPARISON IN SITU TO EX SITU

ICPOES L3



772 nm

784 nm



Gas Only No Chamber RF

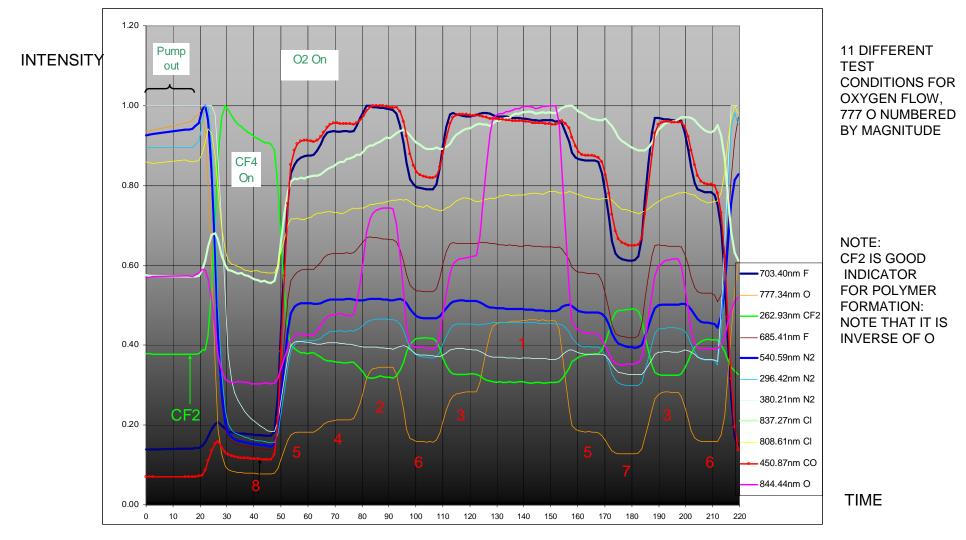
Test Condition*	Compared to Reference
Thru the window	1.00
Exhaust NO process in chamber	2.17

*Same gas flows, pressure, and spectrometer were used

Standard "Through the Window" OES



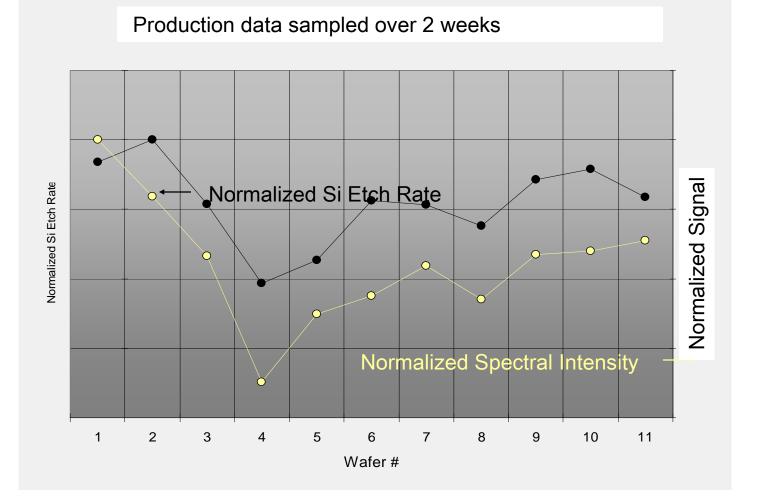
OES CHANGES VS PROCESS CHEMISTRY CHANGES



SPECTRAL CHANGES THAT CORRESPOND TO OXYGEN FLOW CHANGES

LIGHTWIND

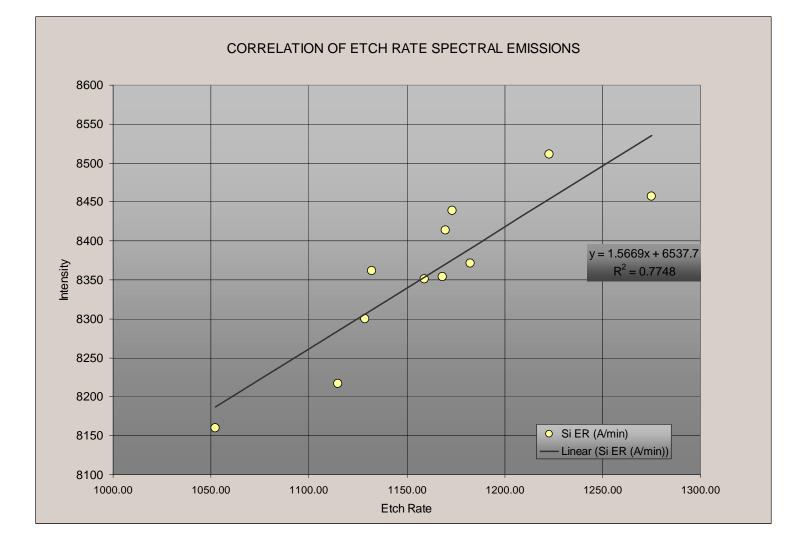






ETCH RATE VS EMISSION INTENSITY

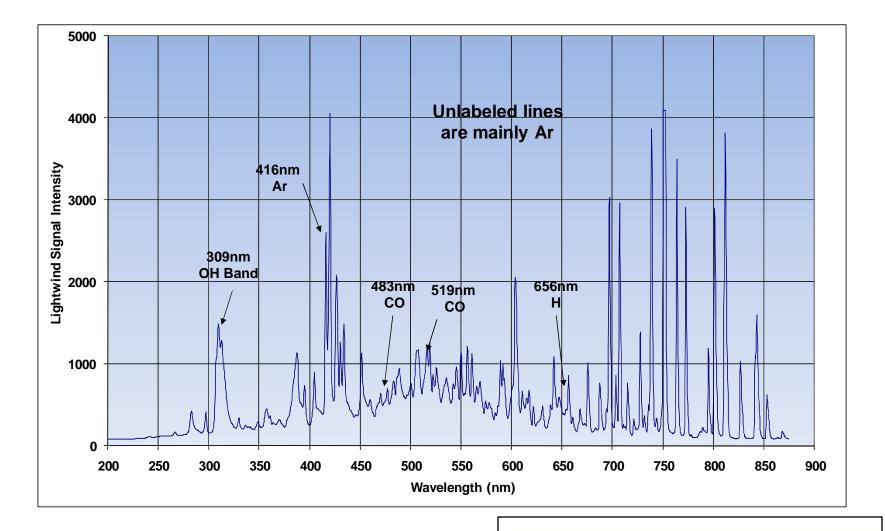






Al2O3 ALD PROCESS (Typical Spectra)

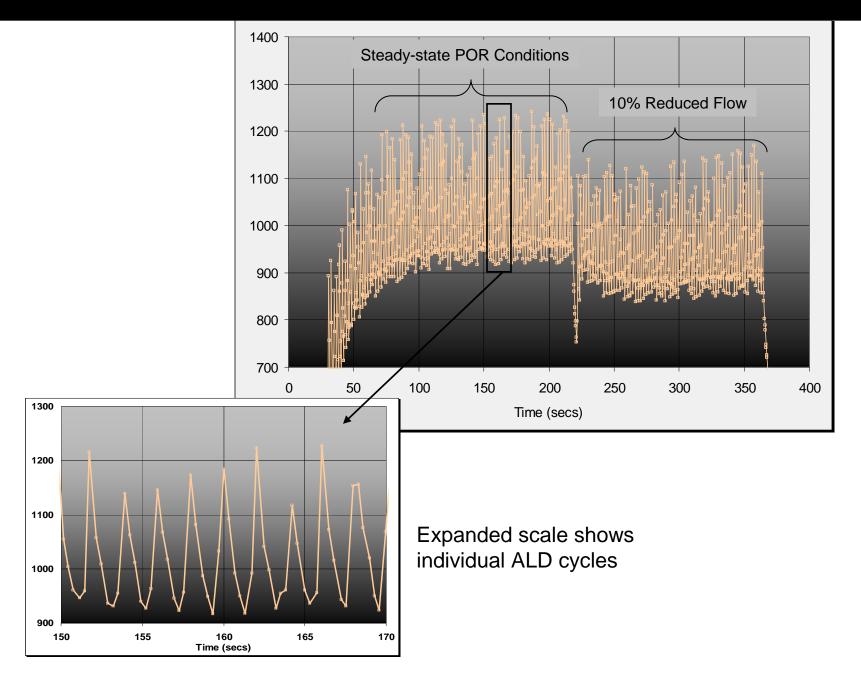




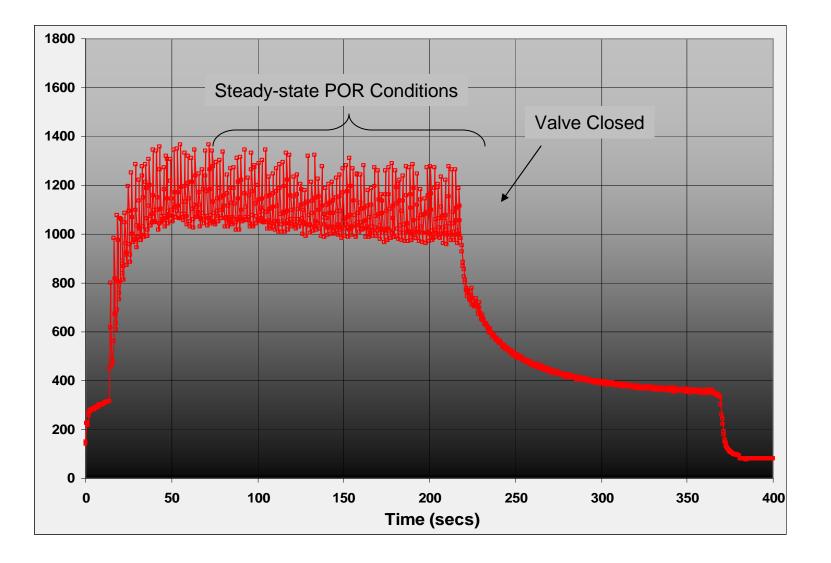
LIGHTWIND

Module 1 Process Chemistry Only

SIMULATION OF A COMMON FLOW FAULT ALD

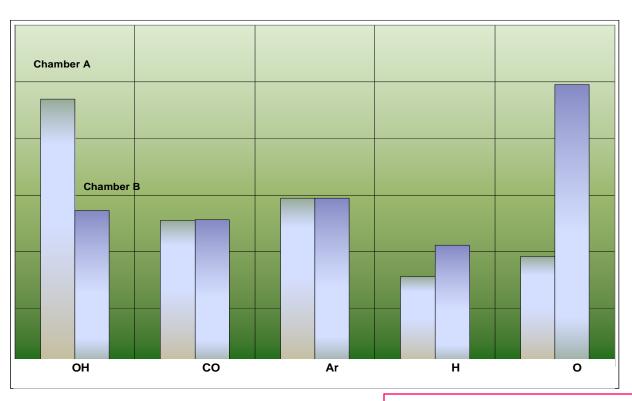


SIMULATION OF A COMMON VALVE FAULT ALD



LIGHTWIND

CHAMBER MATCHING PROCESS CHEMISTRY



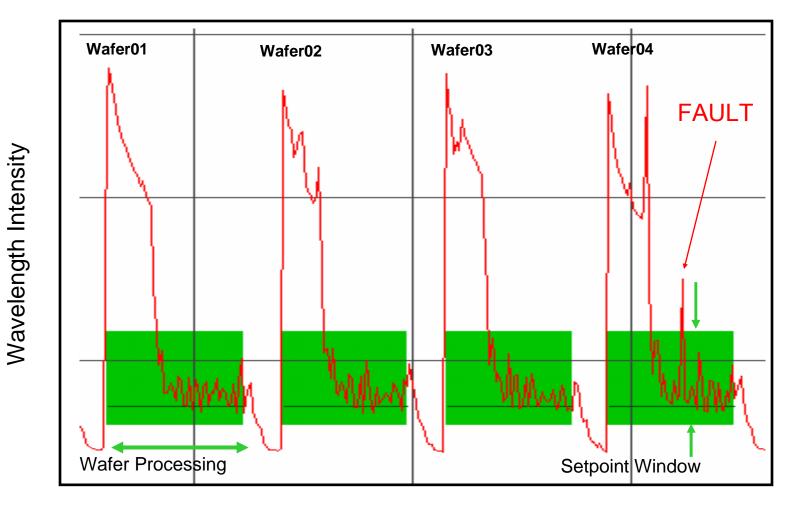
- Higher OH in Chamber A
- Higher O in Chamber B
- CO, Ar nearly identical
- Chamber B may be showing chemical residuals from a different process chemistry

NOTE: This is a measurement of the "Delivered" process chemistry, Not the "Requested" process chemistry

 $|CPOES|_3$



Module 1 Process Chemistry Only Single Wavelength (Univariate) Fault Detection in ALD*



LIGHTWIND

* John Loo



MULTIVARIATE DATA IN GENERAL IS:

MORE COMPLEX THAT UNIVARIATE LESS INTUITIVE HAS GREATER DEFINITION OF PROCESS INTERACTIONS MODEL BASED



ICPOES L3

Major Premise:

Spectral data can easily become complex Spectral data is generally viewed as data rather than chemistry Evaluating spectral data (except in simpler cases) can be non intuitive

Minor Premise:

There may be a different approach that may be better suited to OES data?

Conclusion:

Evaluate an "expert" approach to modeling (replace one black box with shinier black box)

Comment:"Experts" have been demonstrated* to:1 require small data sets for model building2 be more tolerant of noisy data sets

*A good survey can be found in: <u>Optical Diagnostics for Thin</u> <u>Film Processing</u>, Irving P. Herman, Academic Press, Chapter 19



ICPOES L3

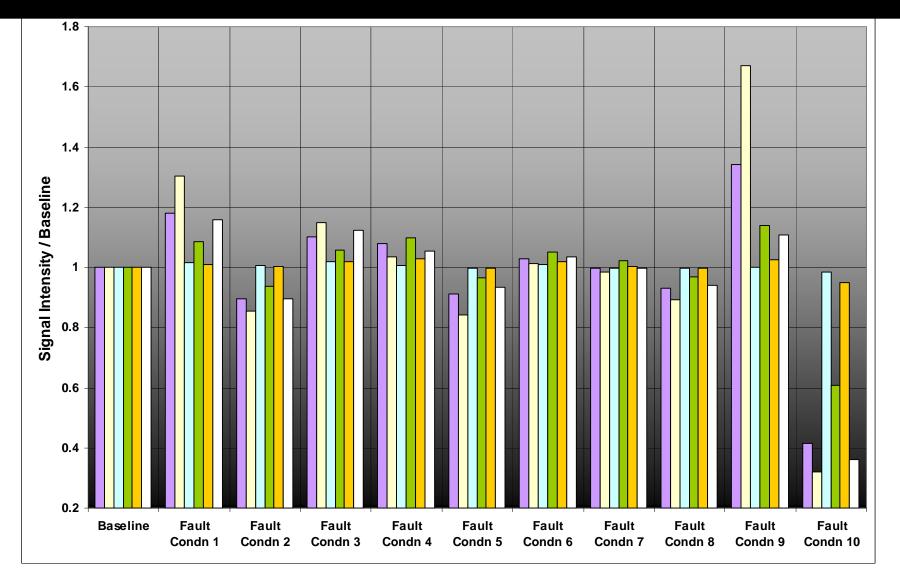
What We Did

Single wafer ALD system Collected baseline information Ran simulated fault Repeated baseline and then new fault Combined Baseline data as part of training set

<u>Comments</u> System was not completely recovered after each fault So the new baseline would show larger variance than usual

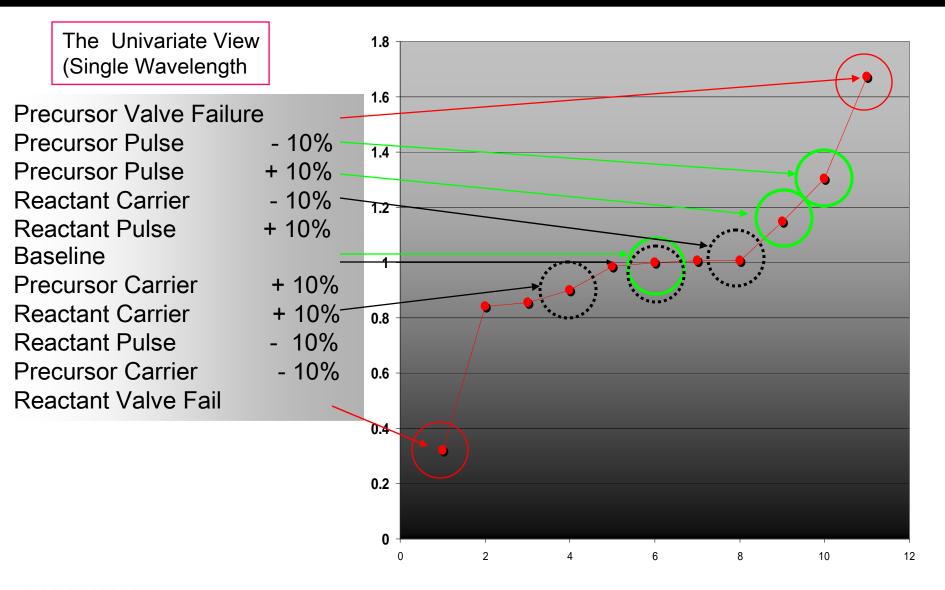


SELECTED OES PEAK INTENSITIES DURING FAULTS ICPOES L3





OES PEAK HEIGHT VS FAULT SIMULATION TESTS 1 - 10





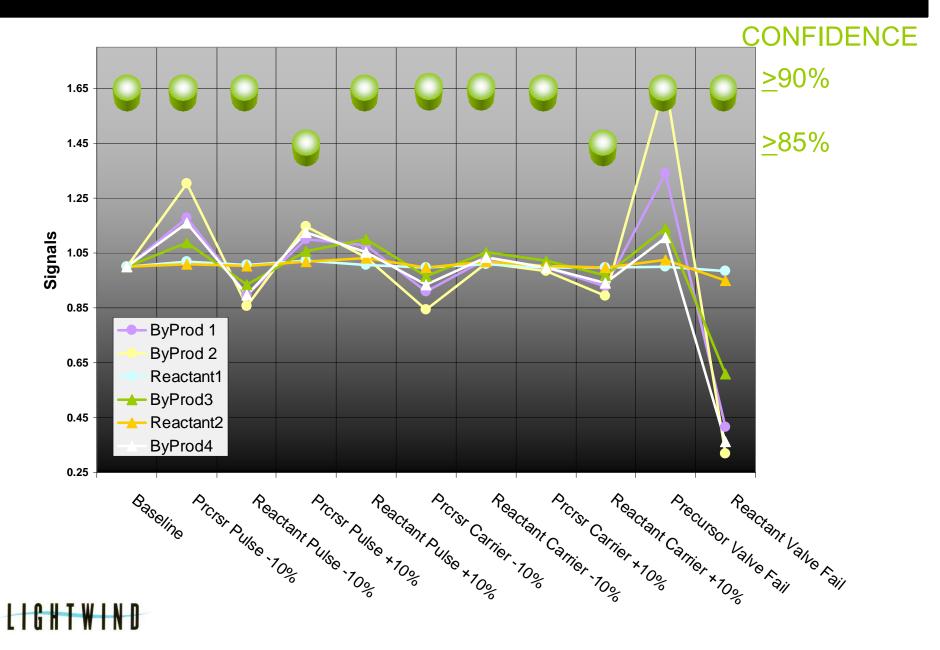
RATHER THAN USE THE TYPICAL APPROACH OF GENERATING A MULTIVARIATE MODEL FOR THE "TEST" FAULTS AN EXPERT SYSTEM WAS CREATED:

A NEURAL NET WAS GENERATED TO RECOGNIZE HIGHLY INTERACTED OES DATA.



ACTUAL FAULT CLASSIFICATION RESULTS

ICPOES L3

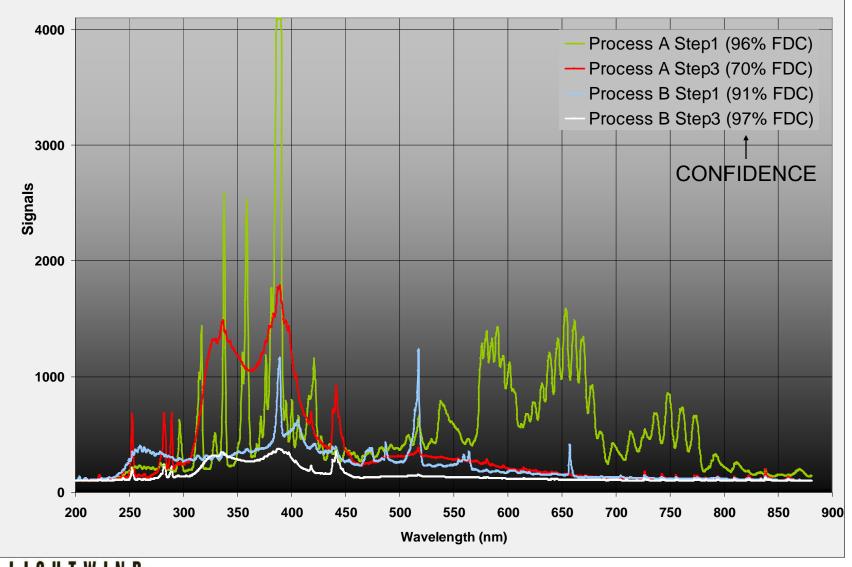


What We Did

Production Data was selected for typical process conditions An expert was trained to recognize multiple start conditions We tested the ability of (IT which shall go un named) to detect the actual process step



RECOGNIZING THE PROCESS FROM THE SPECTA



LIGHTWIND

THOUGHTS ON OES EXPERTS

ICPOES L3

Tests with "expert" models have shown great promise for OES data. While there are many challenges associated with this different approach they are, nevertheless, the same problems that confront other modeling techniques:

1 fundamental immunity to noise
2 ease of modeling
3 ability to generalize results

Limited function experts appear to be very compatible with OES data as a means to reduce complicated information sets and enable rapid decision making with respect to the cause of process failures.



CLASSICAL OES: THROUGH THE WINDOW





<u>BENEFIT</u>: SENSITIVE TO CHEMISTRY BUT SIGNIFICANTLY INTERACTS WITH PROCESS RF

APPLICATIONS: ENDPOINT TROUBLESHOOTING CHAMBER MATCHING FAULT DETECTION



SPECTROMETERS



There is no "perfect" spectrometer: there is a "reasonable" spectrometer for the application.

U4000 3600 Pixels 1.3 nm 20 milliseconds Range 200 to 850 nm Good general purpose spectrometer

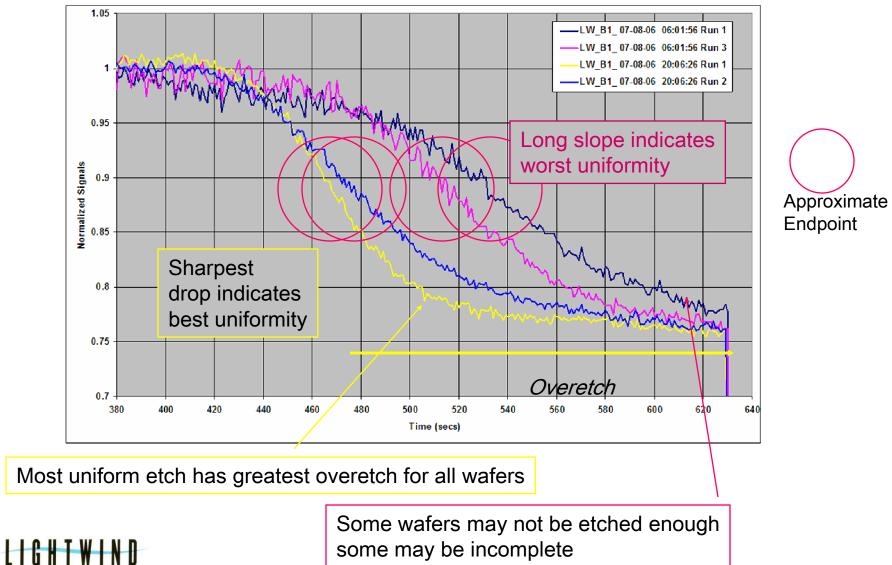
UR2000 2048 Pixels 1.0 nm 20 millisecond sample time Range 200 to 1100 nm Higher resolution, higher range less sensitivity per pixel

UR4000
3600 Pixels
.5 nm
20 millisecond sample time
Range 200 to 850 nm

Higher resolution, higher range least sensitivity per pixel



OES CLASSIC: THROUGH WINDOW ENDPOINT

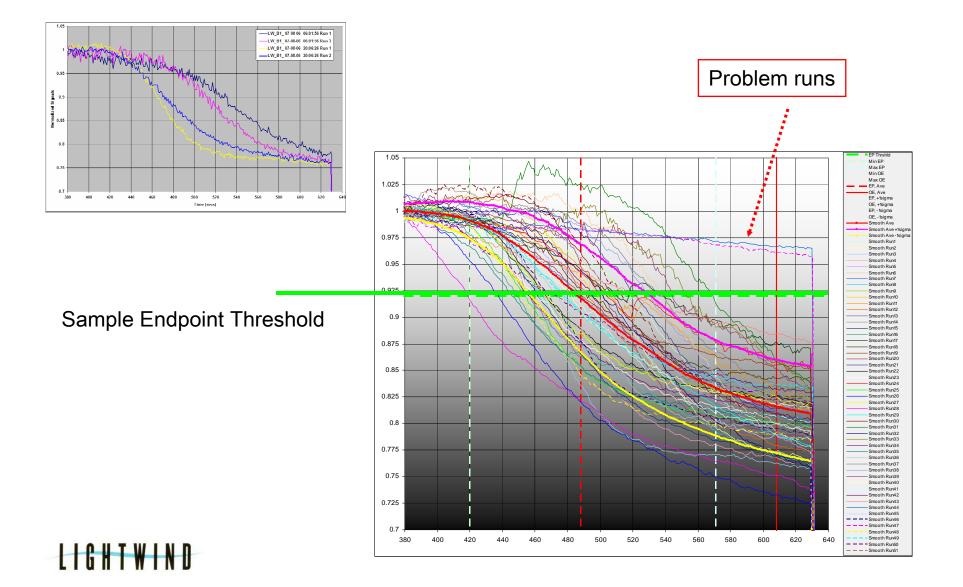


 $OES S_3$

some may be incomplete

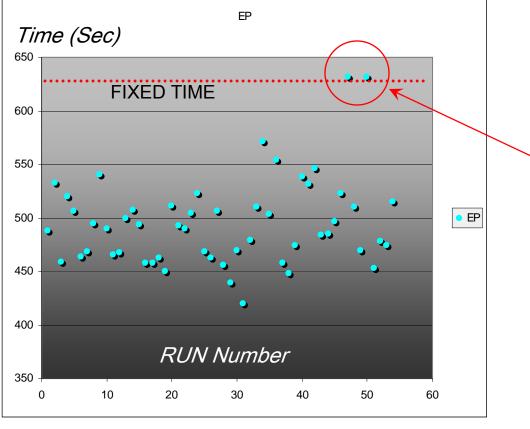
ENDPOINT VARIABILITY

OES S3

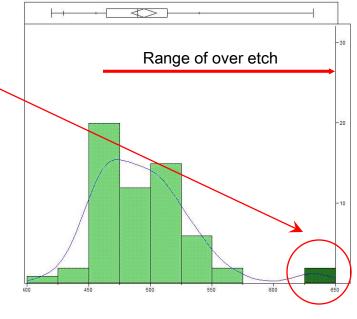


VARIATION IN ETCH

OES S3



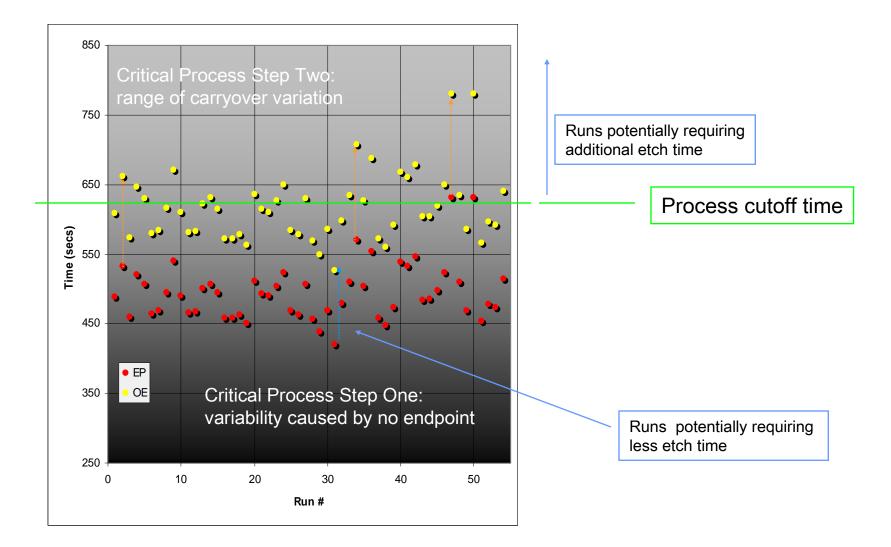
Distribution of Times



<u>Max-min</u> Mean = 43%



CONSEQUENCES OF FIXED TIME PROCESSES

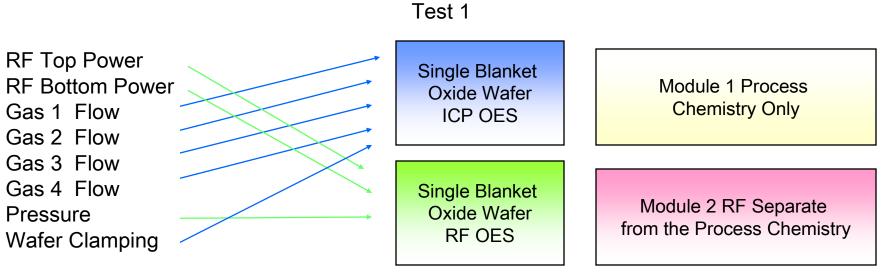


 $OES S_3$



CHAMBER MATCHING MIXED SENSORS OF SANDICPOES L3

TWO TEST CYCLES TO CONVERGE ON CHAMBER DIFFERENCES



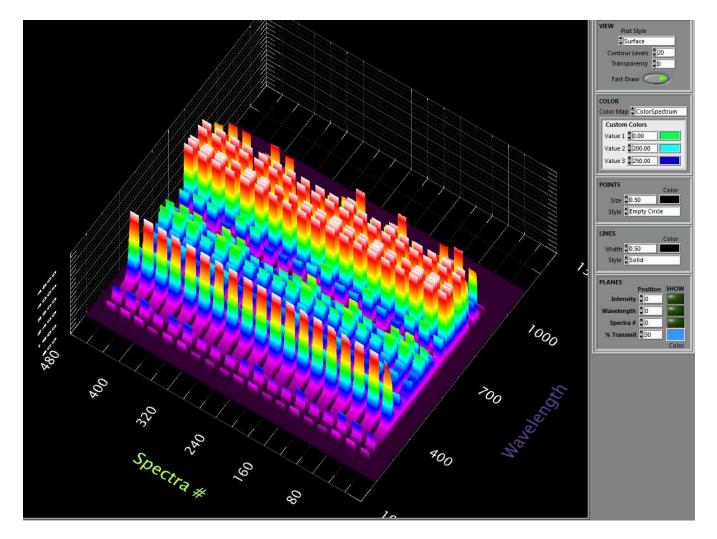
Test 2



TEST 2 FOR RF POWER

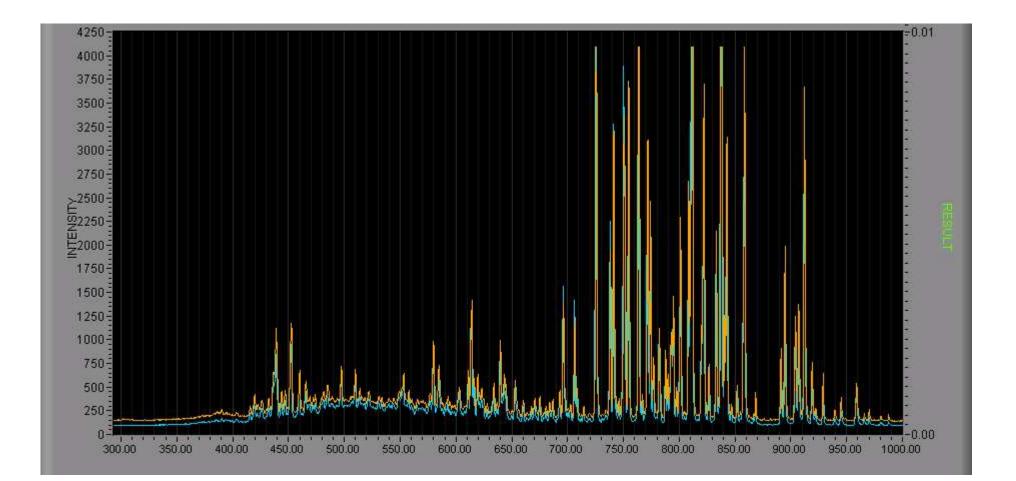


16 Different Process Conditions with 3 Repeats: Full Spectral map for each process condition





RAW SPECTRA CHAMBER 2 AND CHAMBER 1



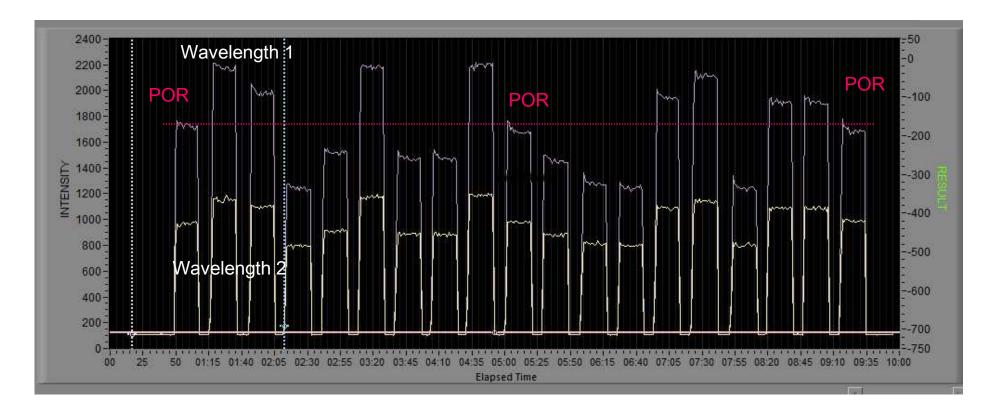
 $OES S_3$



VARIATION IN ETCH

OES S3

Selected Lines show how emission levels change with each different process condition





DIAGNOSTICS

OPTICAL RE R3

Module 1 Process Chemistry Only This test does not require an "active" rf process

Module 2 RF Separate from the Process Chemistry

> Module 3 Interactions of RF and Chemistry



This test requires an active RF process, but traditional OES will typically have a lot of interaction between the system RF and the chemistry



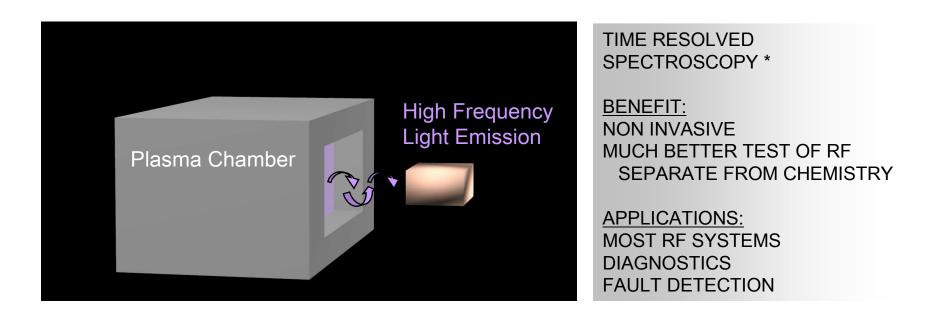
OPTICAL RE R3

BACKGROUND:

RF related problems one of the most common in manufacturing RF problems can be difficult to diagnose and time consuming Existing VI probe technology is viewed as "perturbing" the RF path and perhaps the process: it is considered invasive Existing tool readouts (sensors) measure only Vpp or Bias and these measurements can be inaccurate or misleading. For example: there are many ways to adjust the process to produce the same Vpp and Bias but the processes are not equivalent.





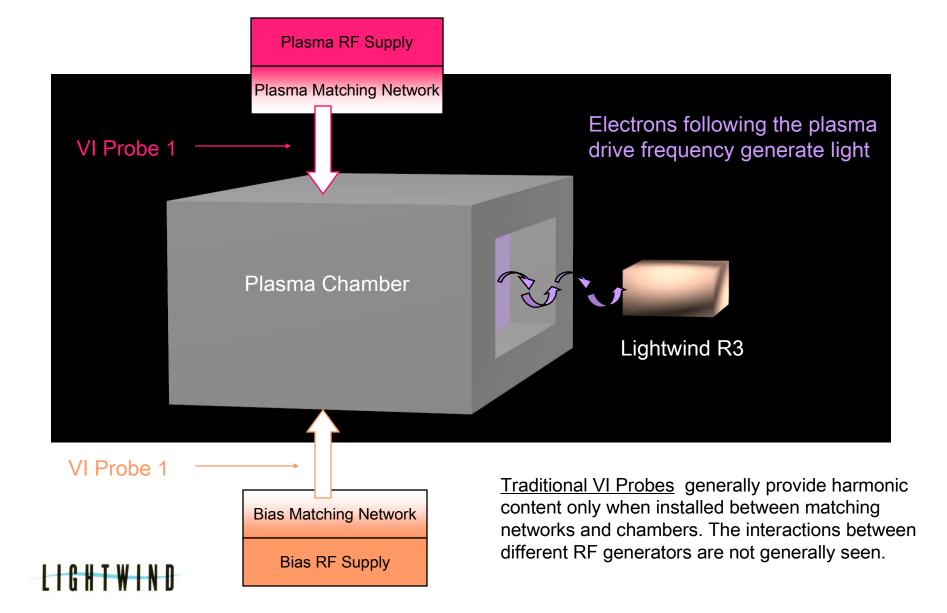


*This technique was originally demonstrated by Flamm and Donnelly



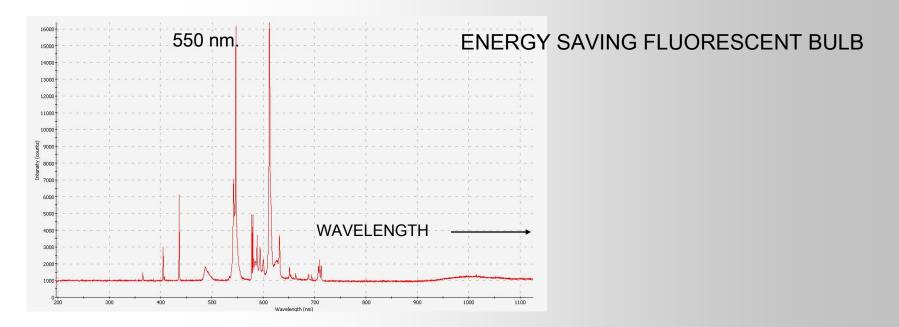
THEORY OF OPERATION: OPTICAL RF

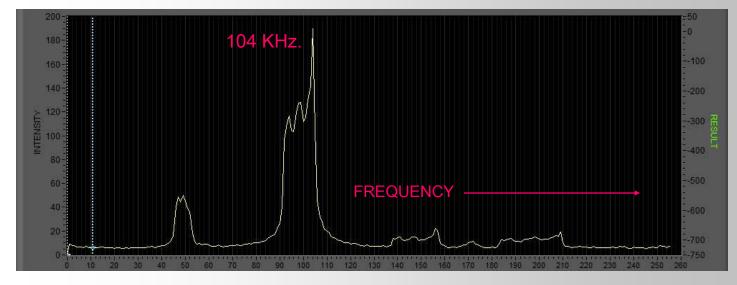
RFOES R3



A PARADIGM SHIFT: OPTICAL RF

RFOES R3

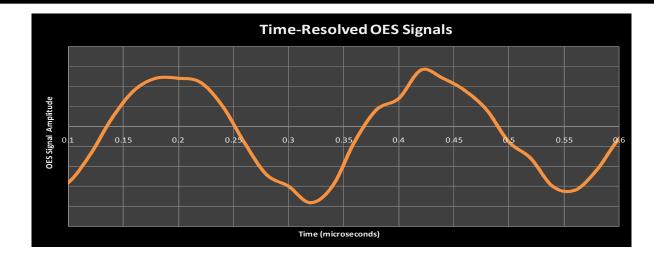






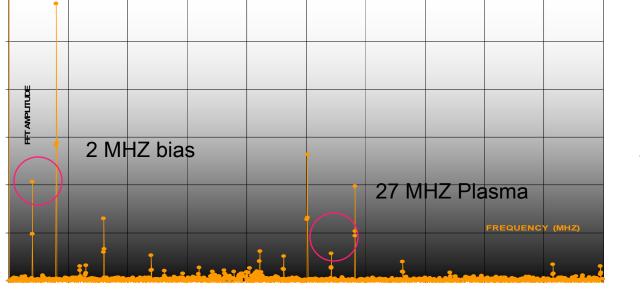
RAW vs STANDARD VIEW

RFOES R3



Raw Thru the Window OES Signal: The primary RF signal can be seen with superposed harmonics

Note: this trace is not associated with the spectra below

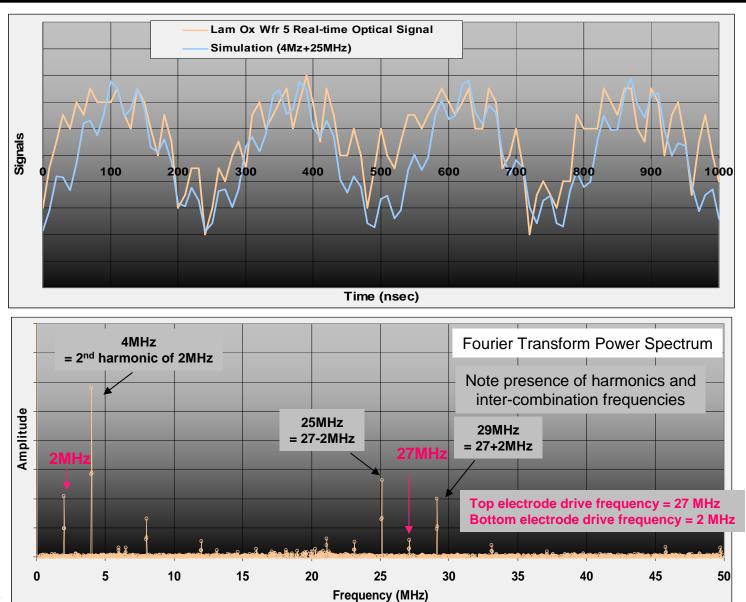


R3 Spectra for chamber with 2 RF inputs:

FFT of Raw Signal shows the RF from both the Bias and Plasma RF supplies as well as their unique interaction harmonics

LIGHTWIND

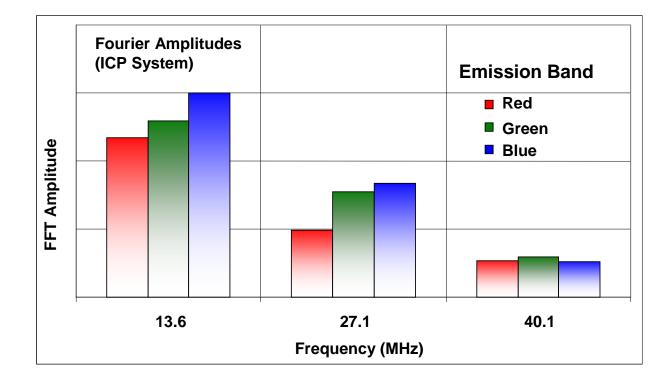
RAW AND SIMULATION vs STANDARD VIEW



RFOES

LIGHTWIND







DETAILED COMPARISON WITH VI PROBE

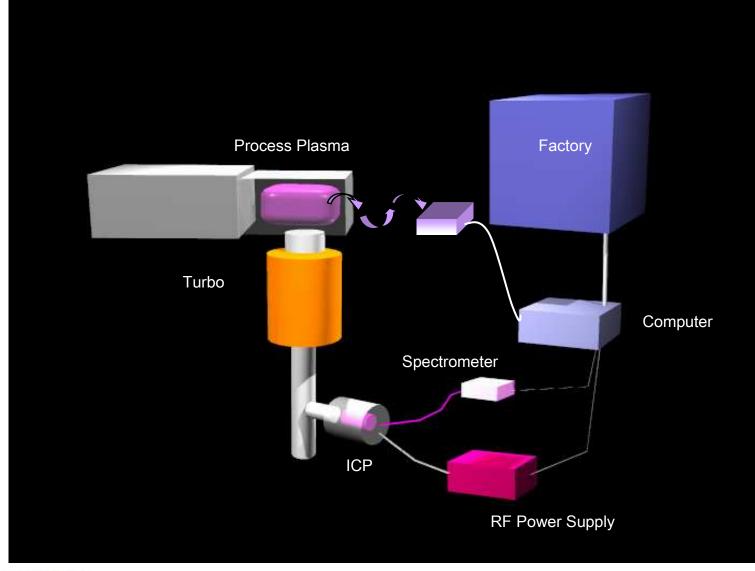
RFOES R3

CHARACTERISTICS	RF OES	VI Probe
Detects Primary Frequency and Harmonics	Yes	Yes
Measures Applied Voltage	No	Yes
Violates RF path from Supply to Chamber	No	Yes
Monitors all rf supplies on chamber at same time or individually	Yes	No
Walk up sampling	Yes	No



CHAMBER MATCHING

ICPOES AND REOES





PROCESS DIAGNOSTICS AND TROUBLESHOOTING

Module 1 Process Chemistry Only	ICPOES	<u>BENEFIT:</u> COMBINATION OF RF AND OES PROVIDES COMPLETE PROCESS MAP SUPPORTS <u>INDEPENDENT TESTS</u> OF <u>PROCESS CHEMISTRY AND RF</u>
Module 2 RF Separate from the Process Chemistr	RFOES	APPLICATIONS: PROCESS BASELINE/CHARACTERIZATION CHAMBER MATCHING
Module 3 Interactions of Tool RF and Chemistry	OES	TROUBLESHOOTING PROCESS TRANSFER



VARIATION IN ETCH

$\ensuremath{\mathsf{OES}}$ and $\ensuremath{\mathsf{RFOES}}$

TEST VARIABLE	Parameter	ICP OES	
	Change	Sensitivity	
Electrode Spacing Variation	Electrode	5 – 10 %	
	gap	change in	
		gap	
Process Chemistry	Pure gases	1%	<u> </u>
	no plasma required in process chamber	(1 sccm)	
Pressure		4 to 5%	
RF Power		4 to 5%	

* Oct 2-4, 2006 AEC/APC XVIII Symposium: David Dotan, Intel



Acknowledgments

We would like to thank all the atoms and molecules that share their light with us



