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# **Turning the Evil into Good: Plasma Synthesis of Silicon Nanoparticles and Potential Applications**

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Department of Mechanical Engineering  
University of Minnesota

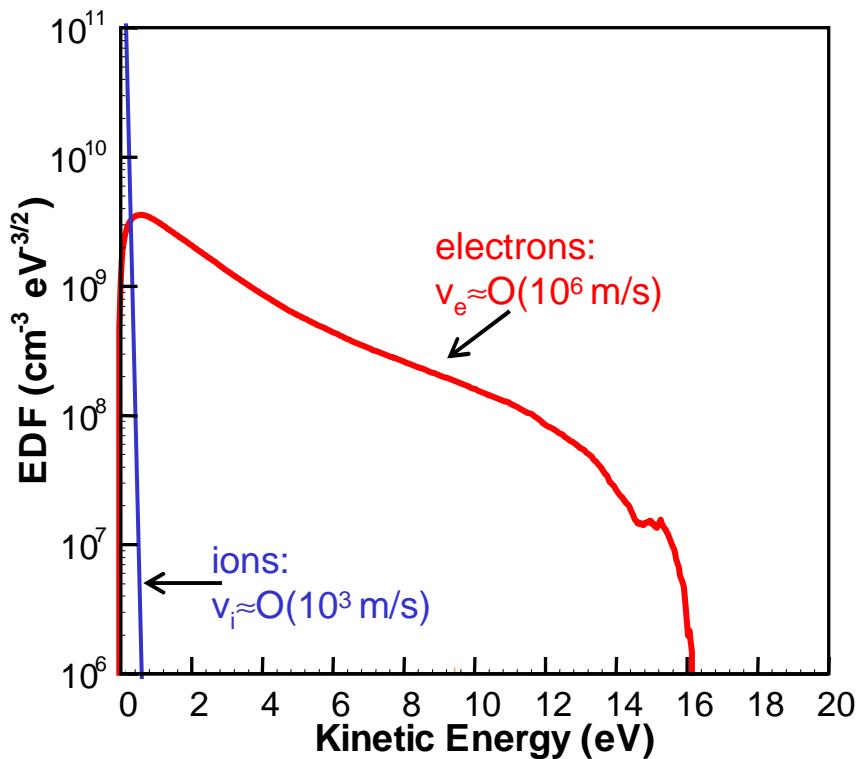
Support: NSF through grants  
ECS-9731568, CTS-9876224, DGE-0114372 (IGERT)  
University of Minnesota Supercomputer Institute

# Overview

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- Introduction
- Single-crystal nanoparticles
- Nanostructured Si:H-films
- Modeling of nanoparticle growth in plasmas

# Electrons and Ions



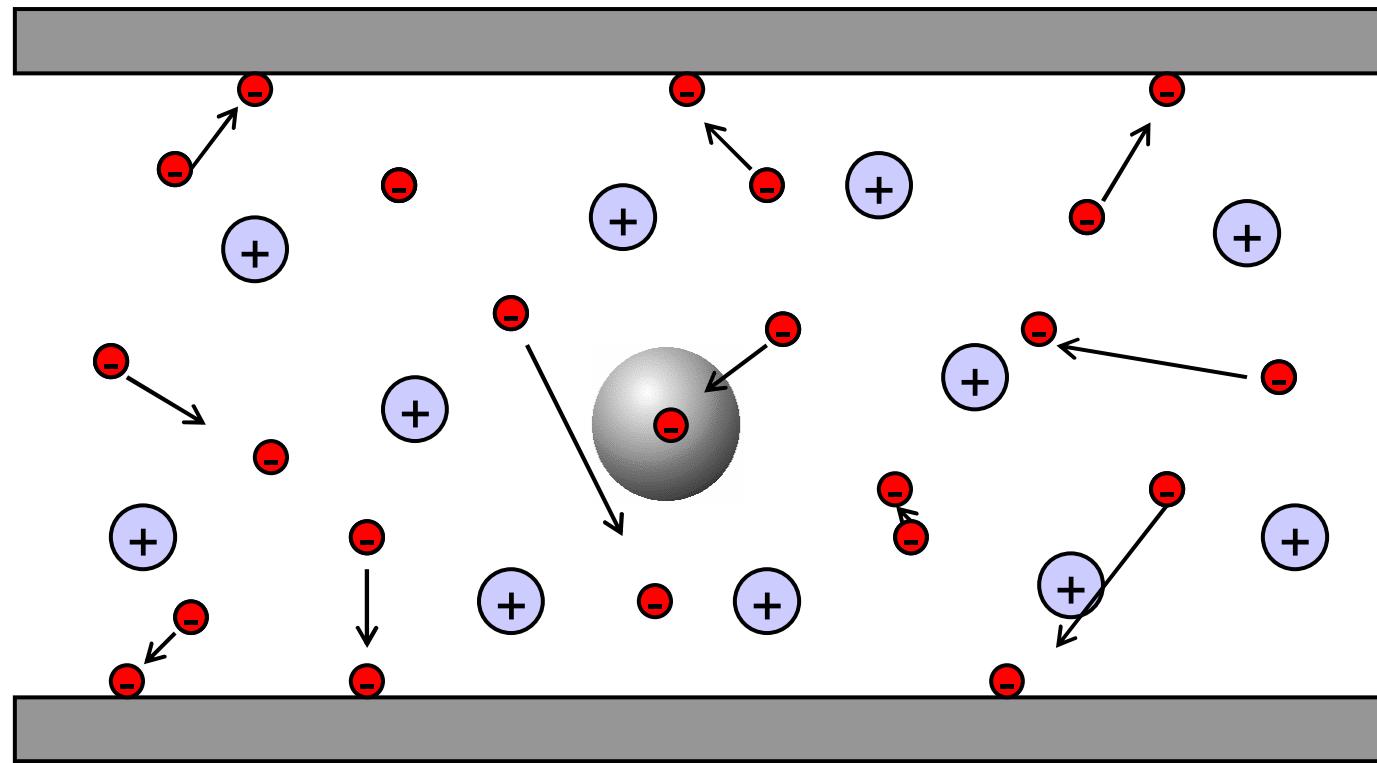
measured EEDF  
in Argon Plasma

Low pressure =  
Non-equilibrium

- pressure: 1-100 Pa
- $T_{\text{gas}} \approx T_i \approx 300\text{-}2000\text{K}$
- $T_e \approx 20,000\text{-}50,000 \text{ K}$   
(2-5 eV)
- Charge carrier density:  
 $n_i = n_e = 10^9\text{-}10^{12} \text{ cm}^{-3}$

# Ambipolar Diffusion

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Particles and reactors walls are negatively charged.

# Why use LP-Plasmas?

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- Reactor walls and particles are negatively charged.
- Particles are confined in the reactor.
- Particles repel each other  
⇒ Coagulation is suppressed.

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# Single-crystal nanoparticles

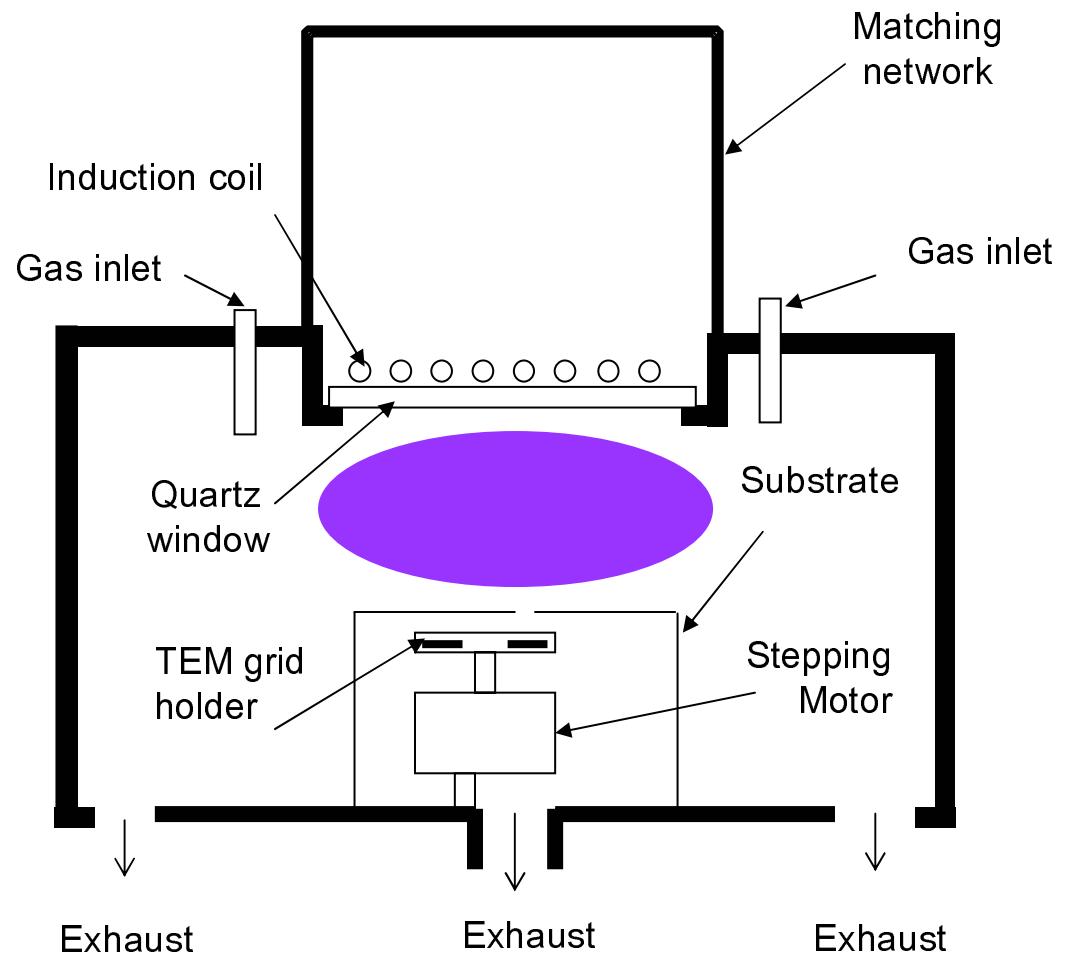
A. Bapat<sup>1</sup>, C. Perrey<sup>2</sup>, Z. Shen<sup>3</sup>,  
S. Campbell<sup>3</sup>, C. B. Carter<sup>2</sup>, U. Kortshagen<sup>1</sup>

<sup>1</sup>Mechanical Engineering

<sup>2</sup>Chemical Engineering and Materials Sci.

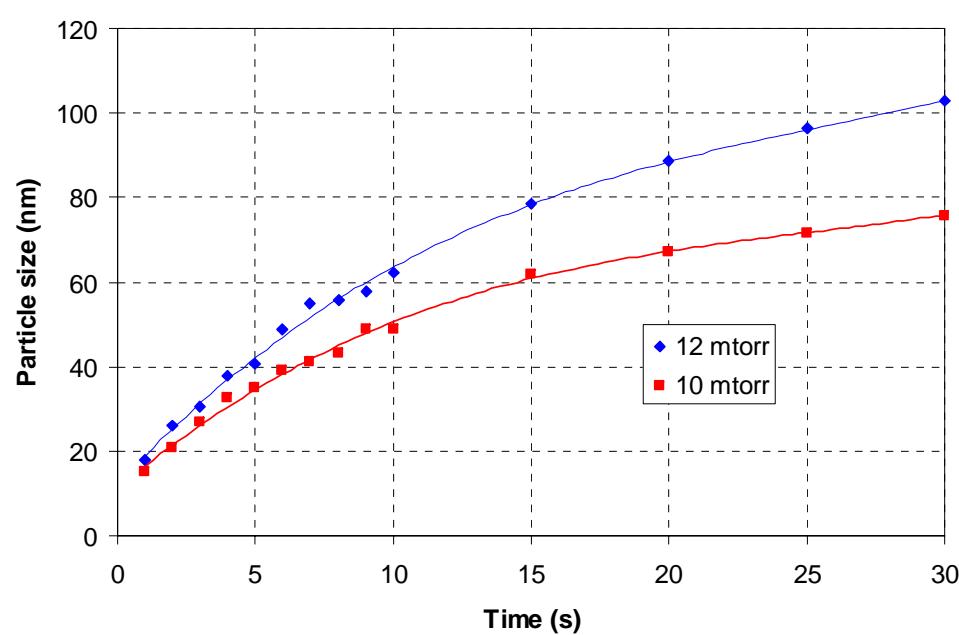
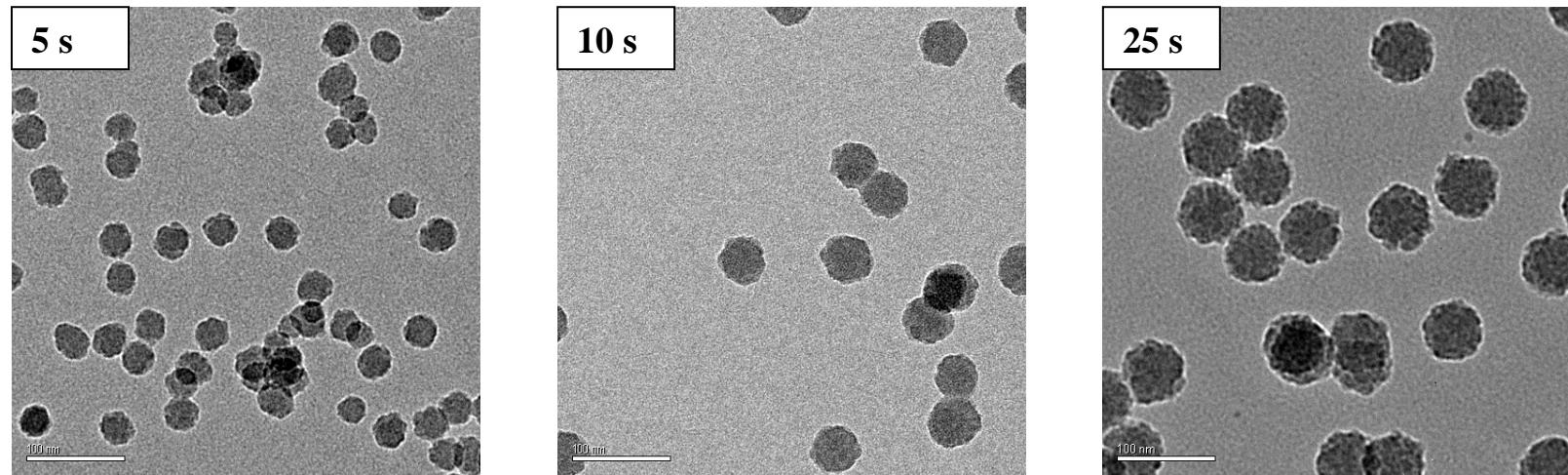
<sup>3</sup>Electrical and Computer Engineering

# Nanoparticles in inductive plasmas



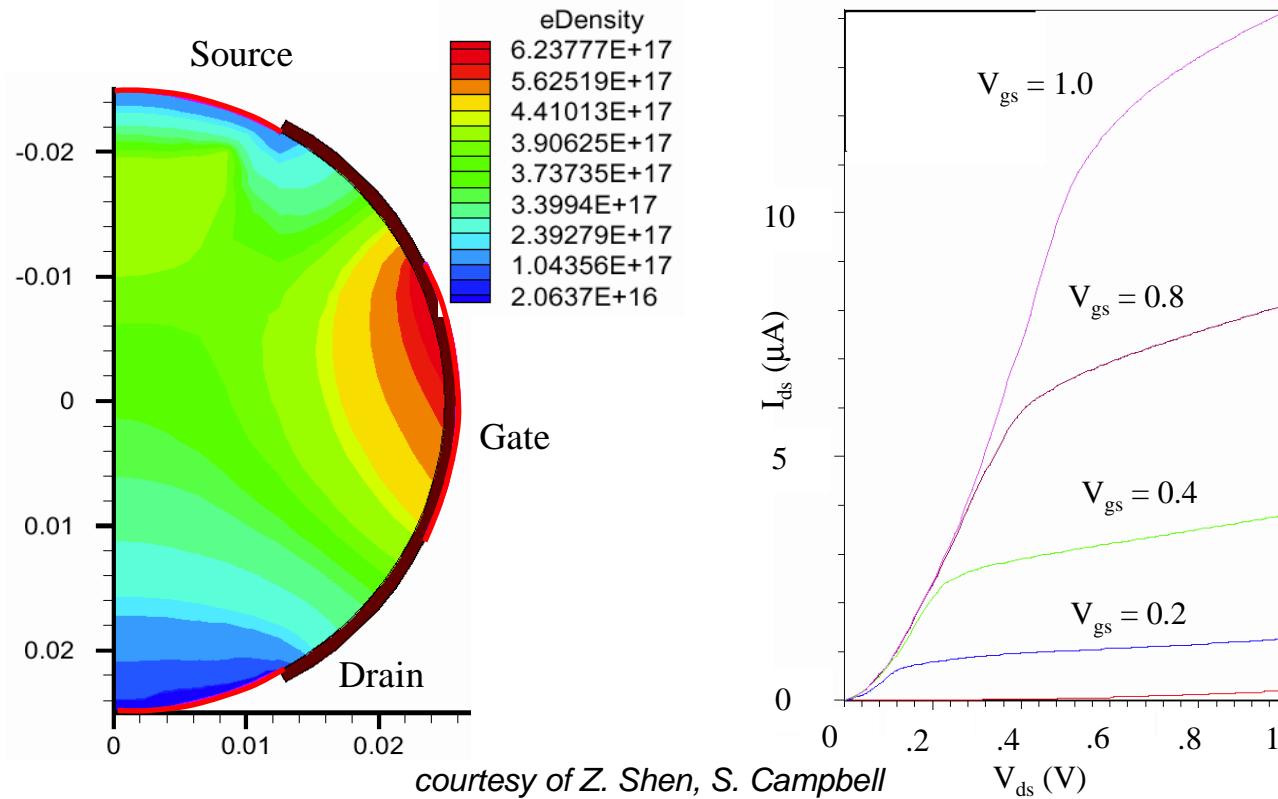
T. Kim, Ph. D. Thesis

# Nanoparticles in inductive plasmas



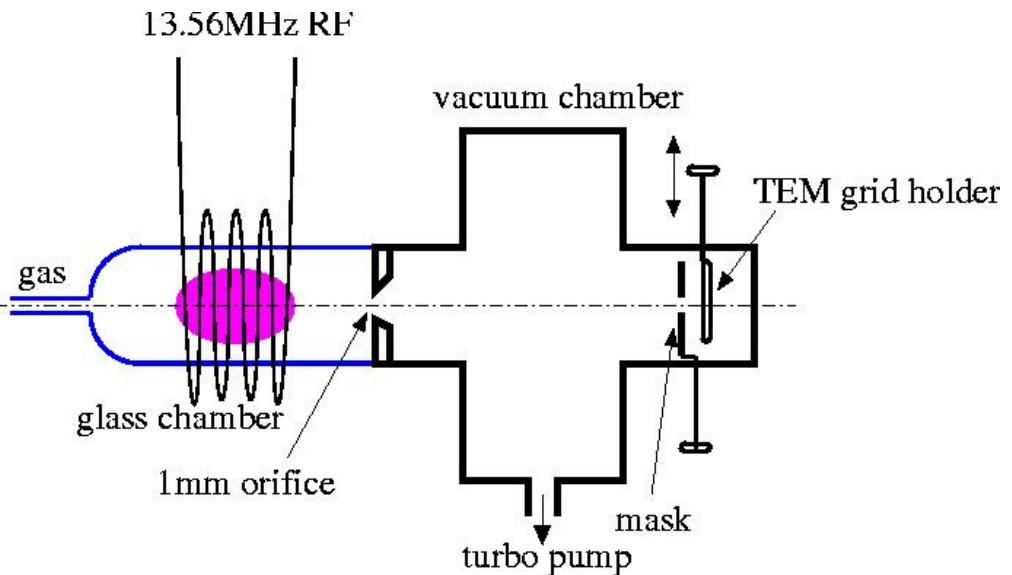
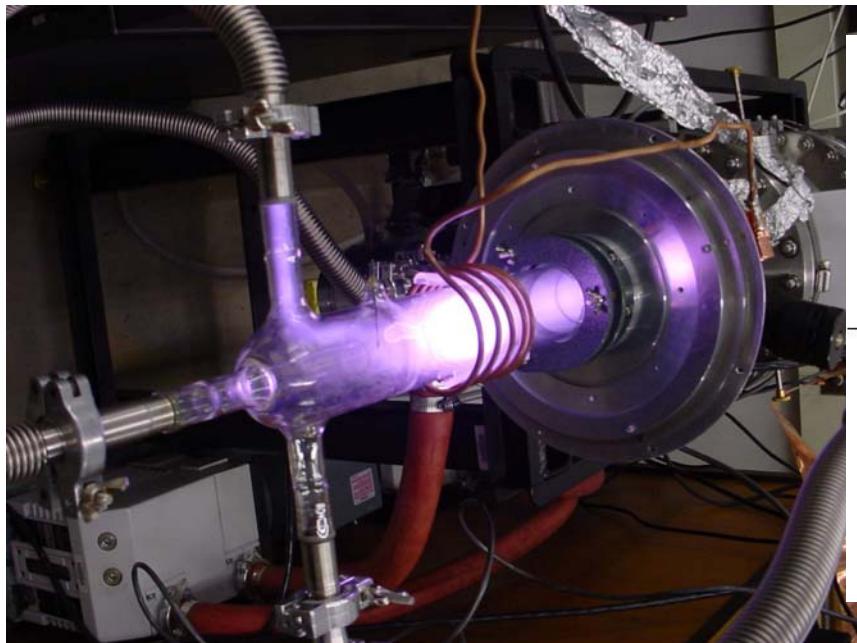
**measured**  
 $\sigma_g \sim 1.03-1.1$

# Science Fiction!!



Simulation of Schottky Barrier Transistor. Left: Charge density for 50 nm particle under  $V_{DS}=V_{GS}=1$  V. Right: Family of curves.

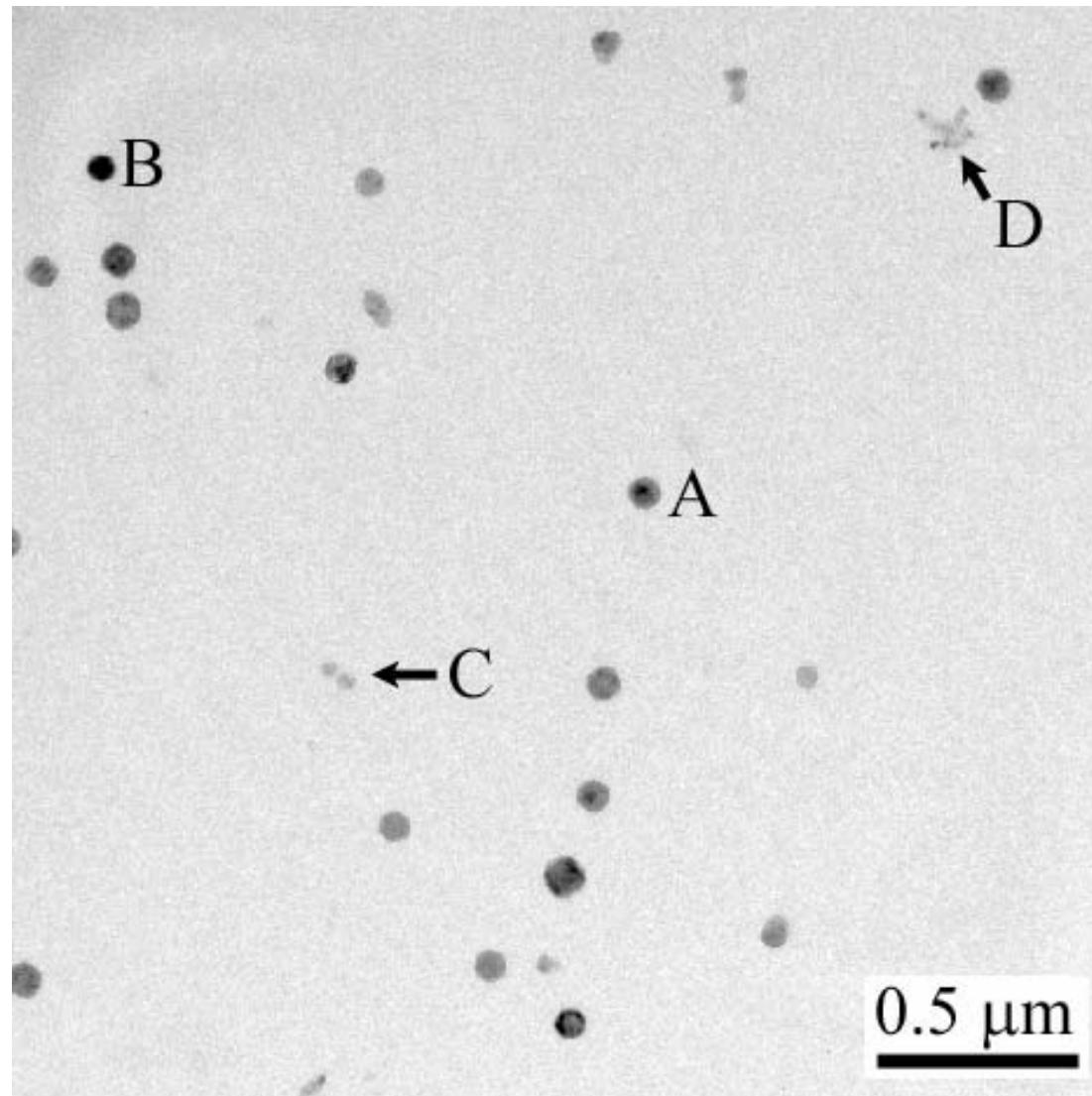
# Nanoparticles in inductive plasmas



gas mixture:	$\text{SiH}_4:\text{He}:\text{Ar}$ (typ.: 1:19:80)
total gas flow:	3-4 sccm
total gas pressure:	500-700 mTorr
$\text{SiH}_4$ part. pres.:	2-7 mTorr
RF power:	120-150 W
plasma volume:	100 cm <sup>3</sup>

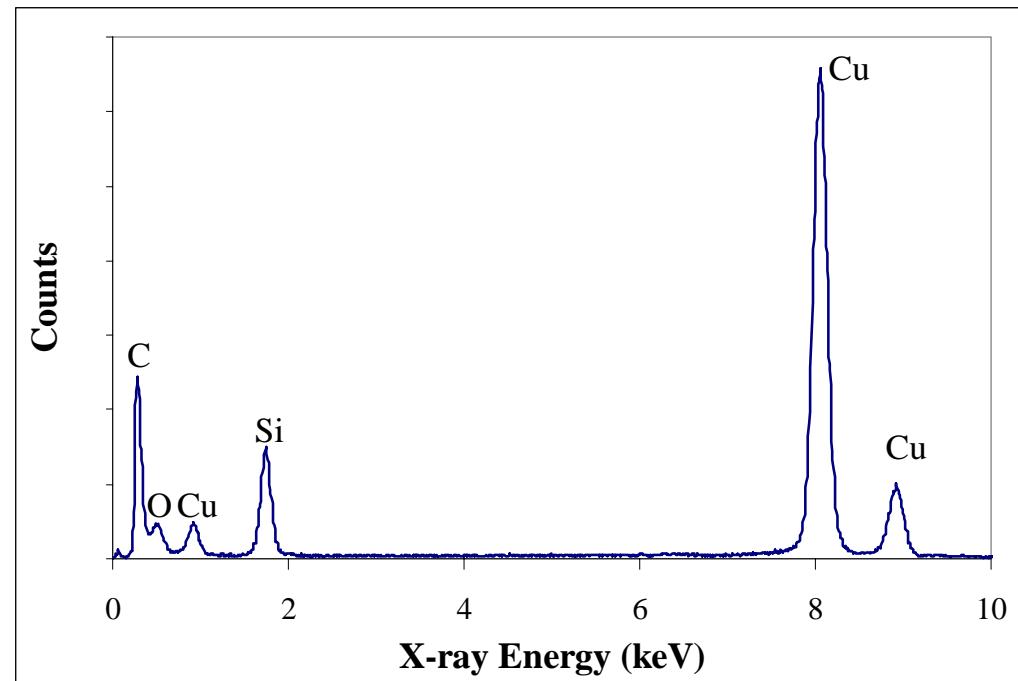
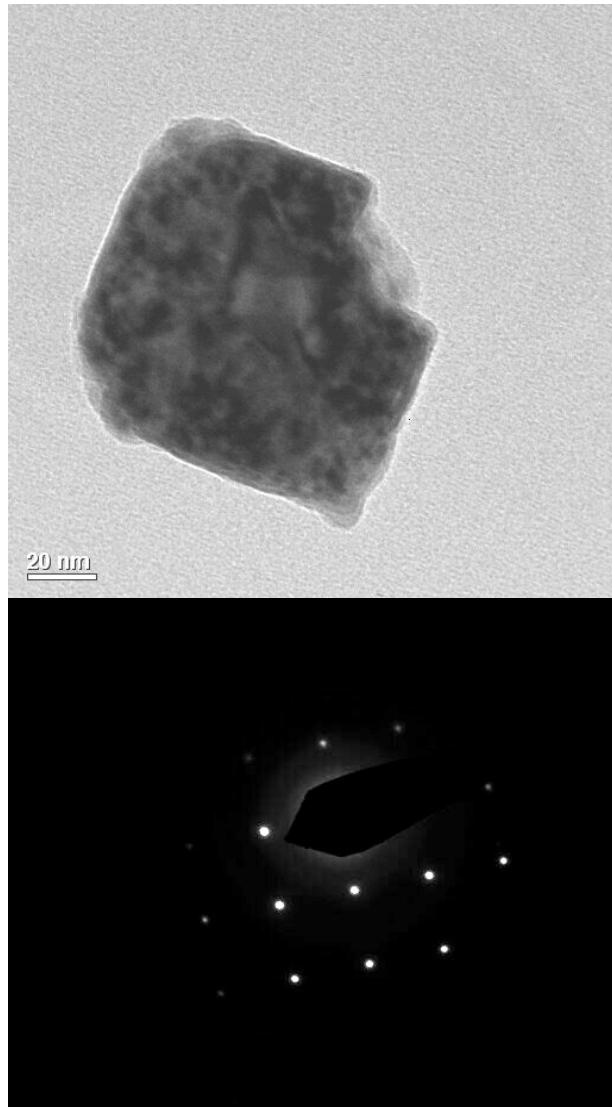
# Nanoparticles in inductive plasma

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*courtesy of C. Perrey, C. B. Carter*

# Nanoparticles in inductive plasma

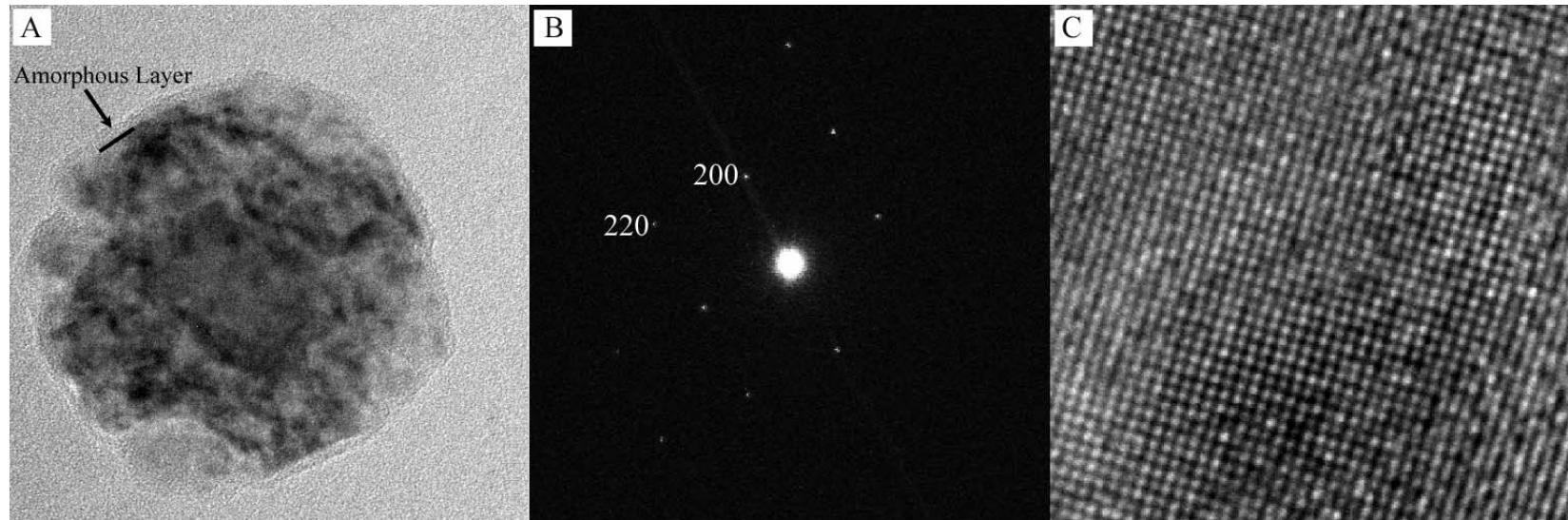


Particles are Single-Crystal Si,  
possibly with oxide layer.

*courtesy of C. Perrey, C. B. Carter*

# Single-Crystal Nanoparticle

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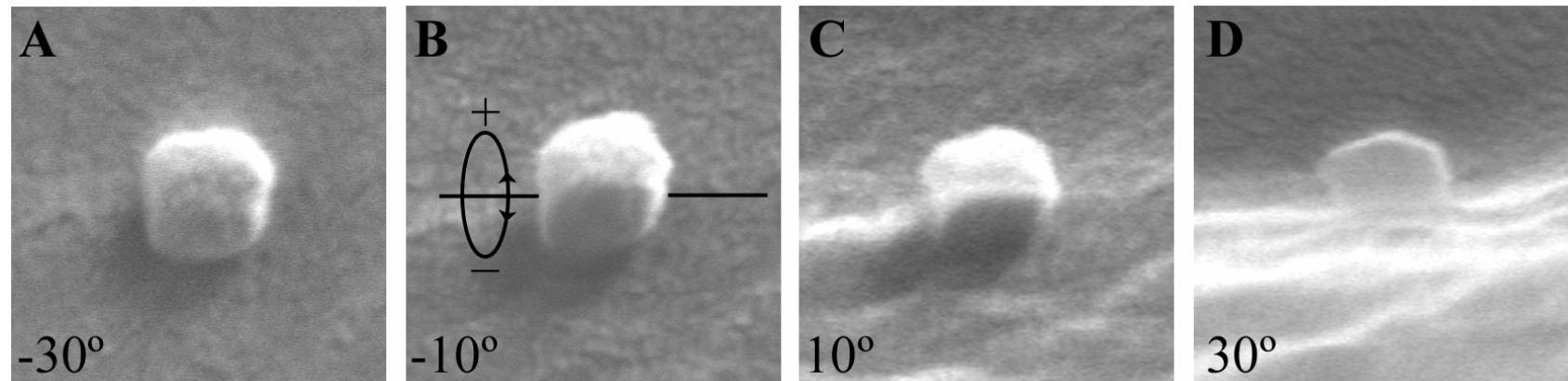


*courtesy of C. Perrey, C. B. Carter*

“Cubic” nanoparticle showing [001] diffraction pattern of diamond-cubic Si.

# Single-Crystal Nanoparticle

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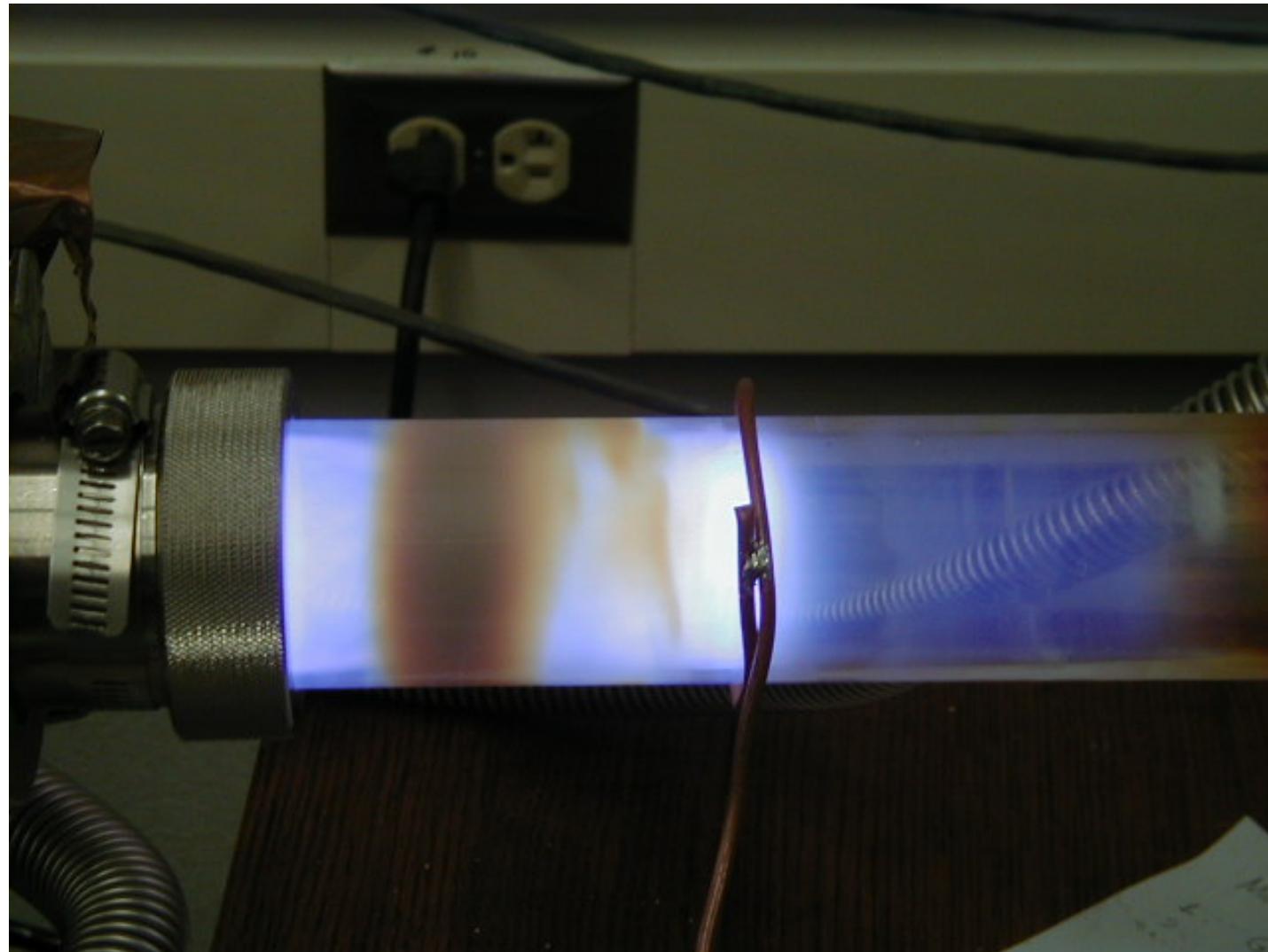


*courtesy of C. Perrey, C. B. Carter*

SEM of Si Nanoparticle

# Single-Crystal Nanoparticle

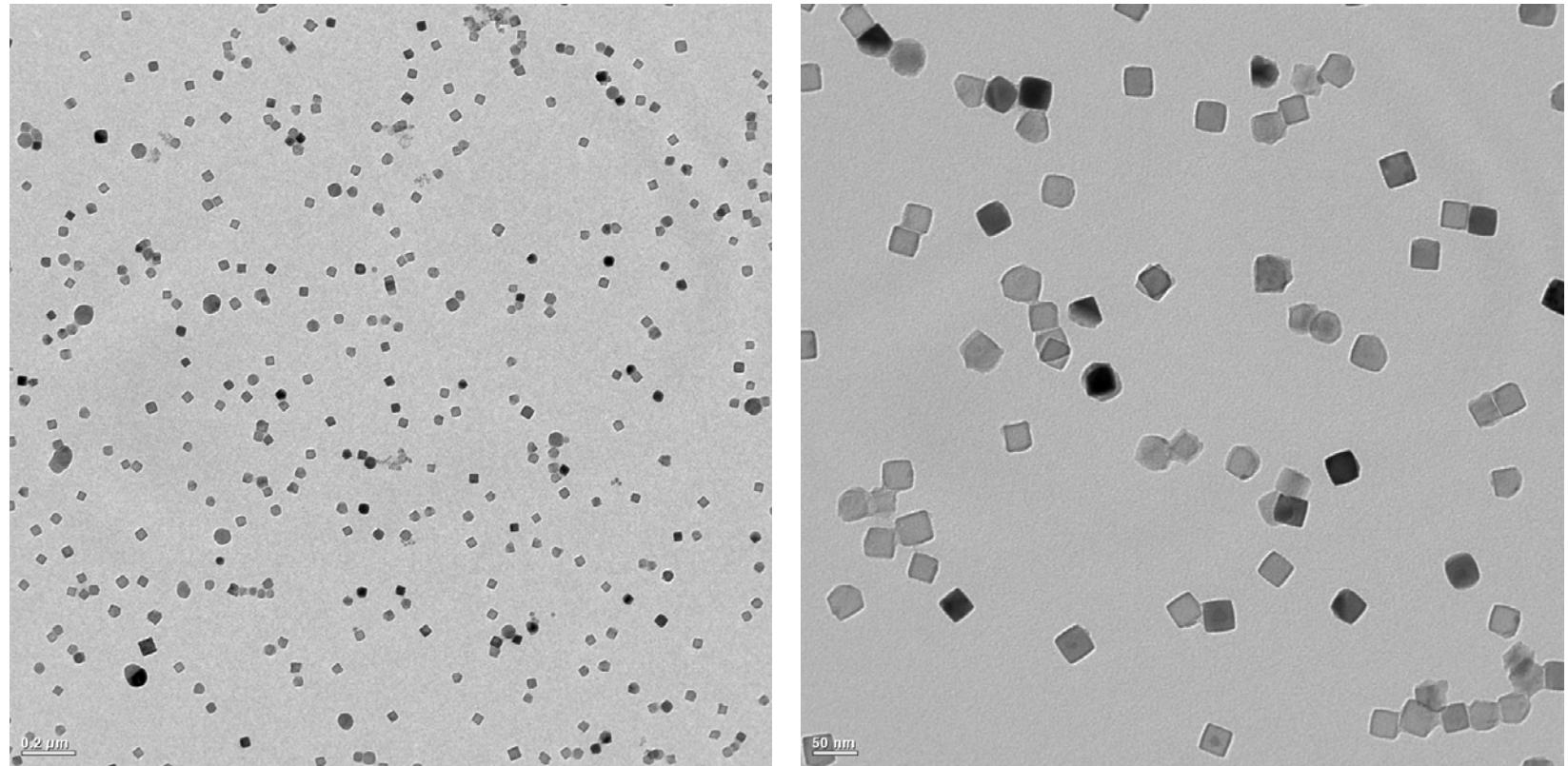
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Unstable “Capacitive” Discharge Mode

# Single-Crystal Nanoparticle

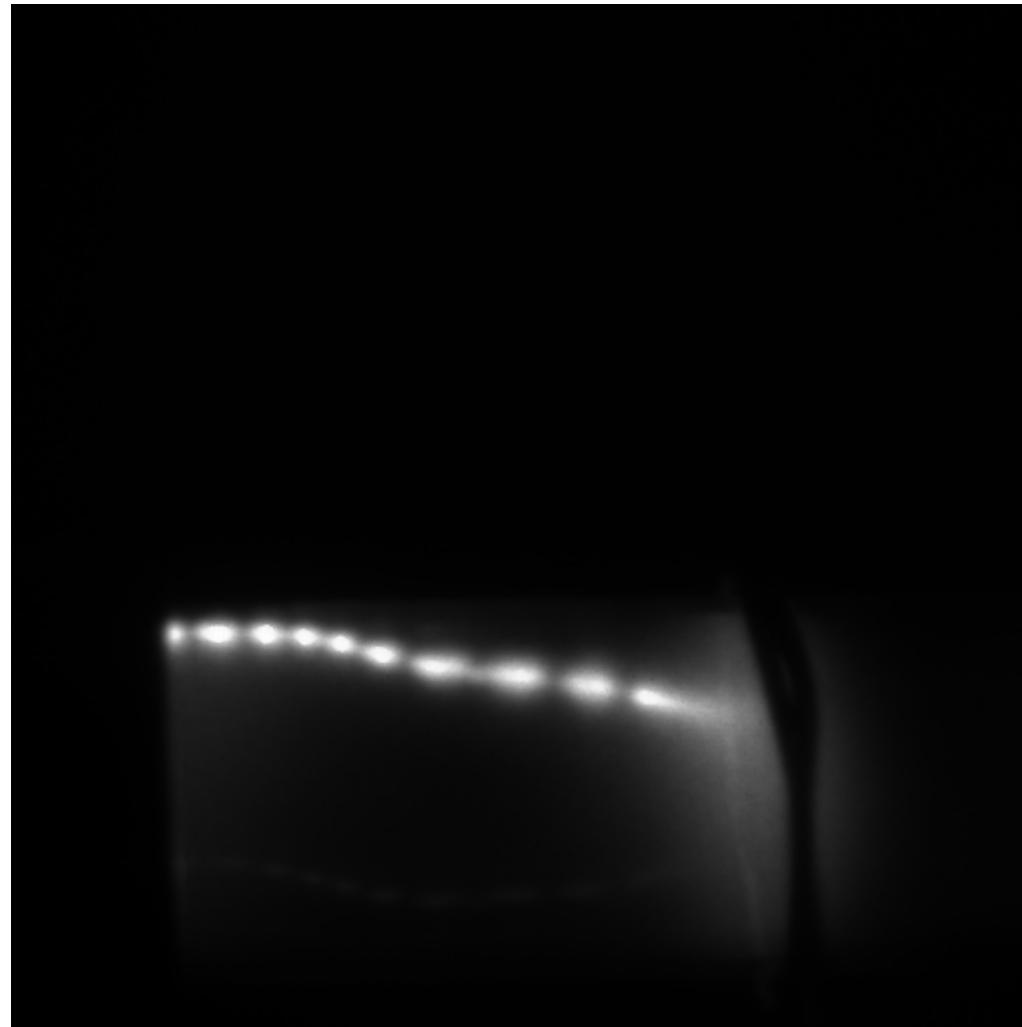
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Particles from Unstable “Capacitive” Discharge Mode

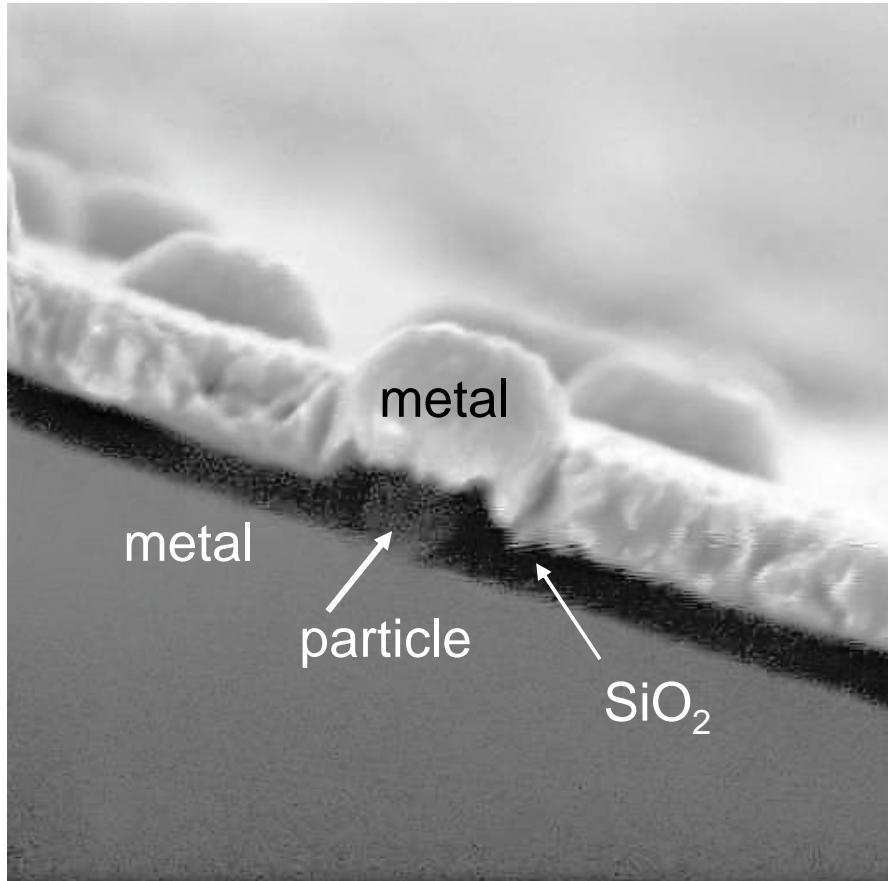
# Single-Crystal Nanoparticle

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High-Speed ICCD movie

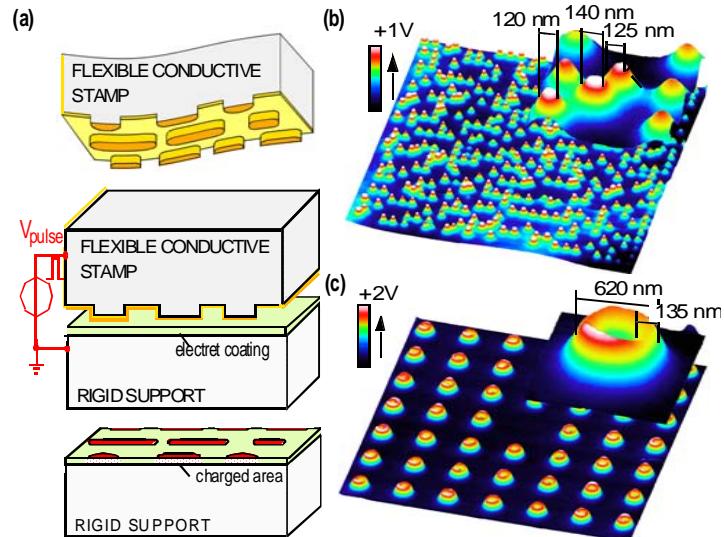
# Science Fiction??



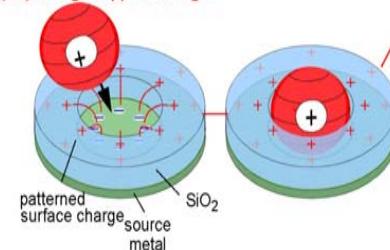
courtesy of Z. Shen, S. Campbell

200nm 100000X

Electrical contact to amorphous nanoparticles.



(B2) Using Trapped Charge



Work of Heiko Jacobs' group.

# What is next?

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- Optimize plasma process: produce monodisperse particles.
- Study electrical properties ⇔ Campbell group.
- Understand if particles are extracted with remaining charge ⇔ Jacobs Group.
- Can charge be used for electrostatic manipulation? Focusing, deflection?

Demonstrate Nanoparticle Devices ⇔  
Cambell, Carter, Jacobs groups

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# Nanostructured Si-films

S. Thompson<sup>1</sup>, C. Perrey<sup>2</sup>, J. Belich<sup>3</sup>,  
C. B. Carter<sup>2</sup>, J. Kakalios<sup>3</sup>, U. Kortshagen<sup>1</sup>

<sup>1</sup>Mechanical Engineering

<sup>2</sup>Chemical Engineering and Materials Sci.

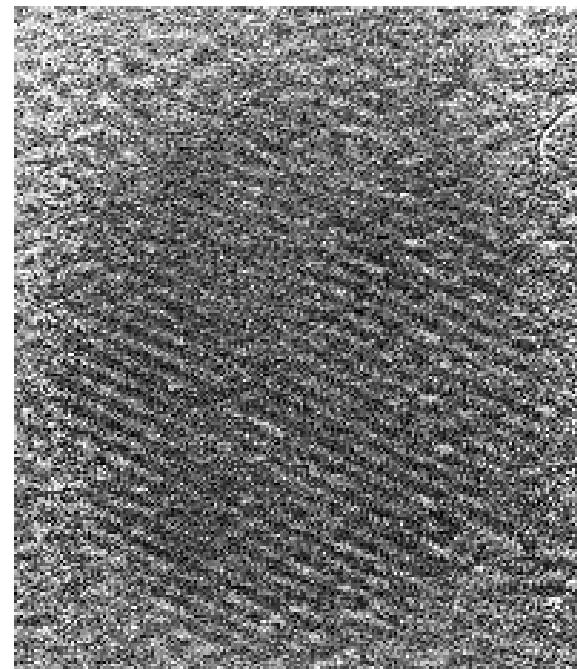
<sup>3</sup>Physics

# Nanostructured Si Thin Films

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- Dispersed nanocrystallites in an “amorphous” matrix
- Compared to a-Si:H

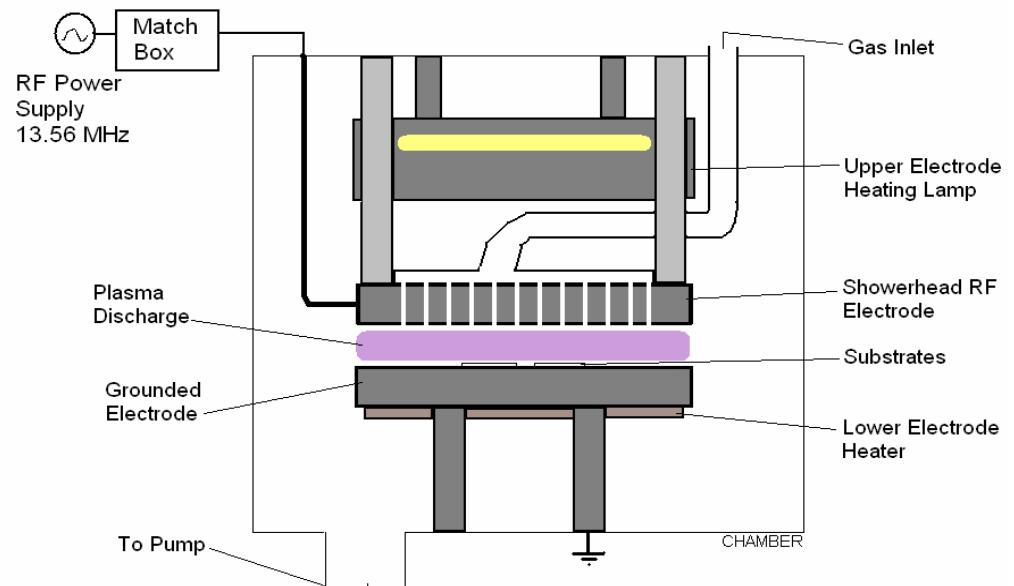
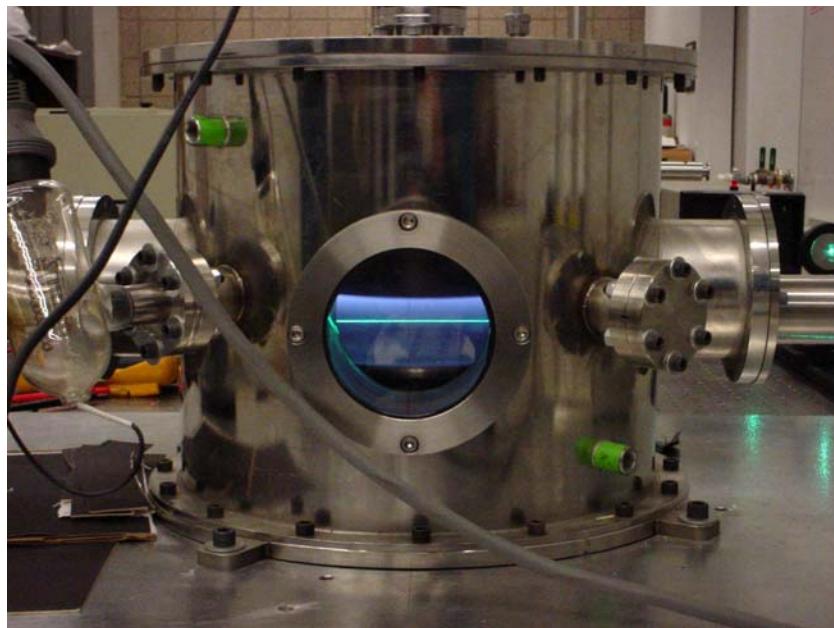
- Similar optical properties
- Improved transport properties
- Enhanced medium range order
- Reduced Staebler-Wronski effect



*C. Longeaud, J. Kleider, P.R. Cabarrocas et al./J. Non Cryst. Solids. 277-230 (1998) 96-99.*

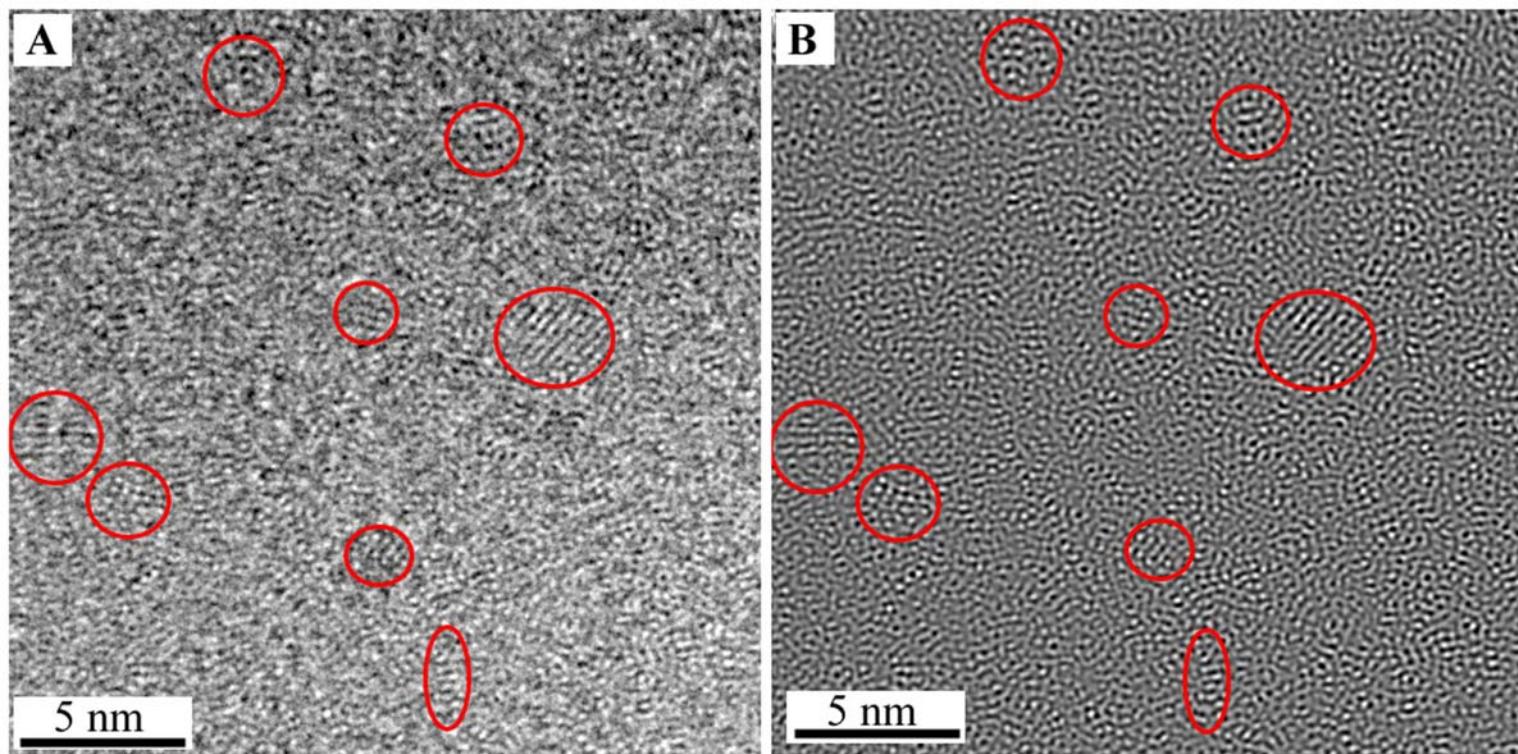
HRTEM image of a 4 nm nanocrystalline inclusion.

# Set-up for ns-Si Film Growth



# ns-Si films

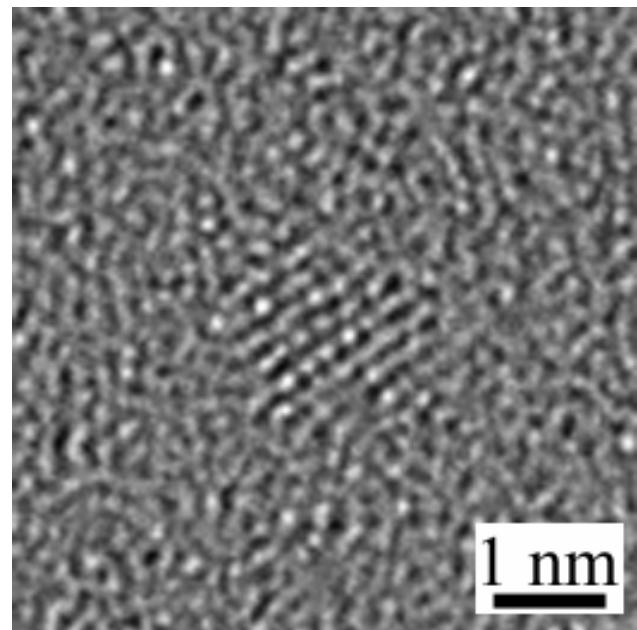
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ns-Si:H film deposited at 1450 mTorr.

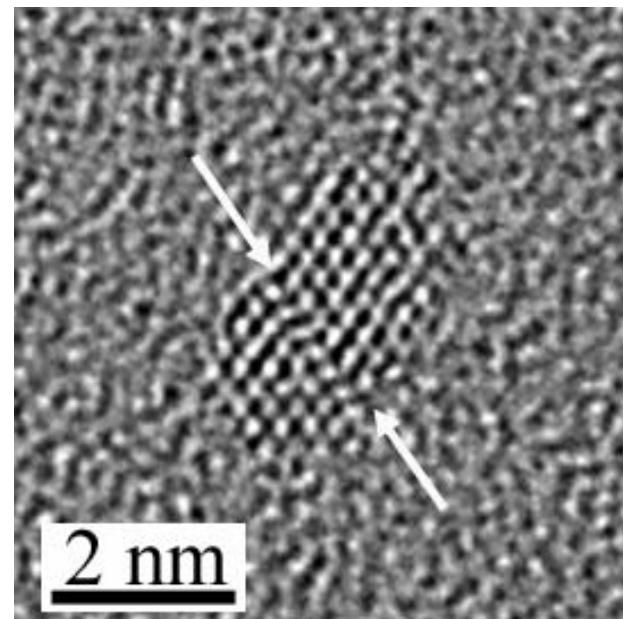
# Film Structure

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~1.5 nm Si particle

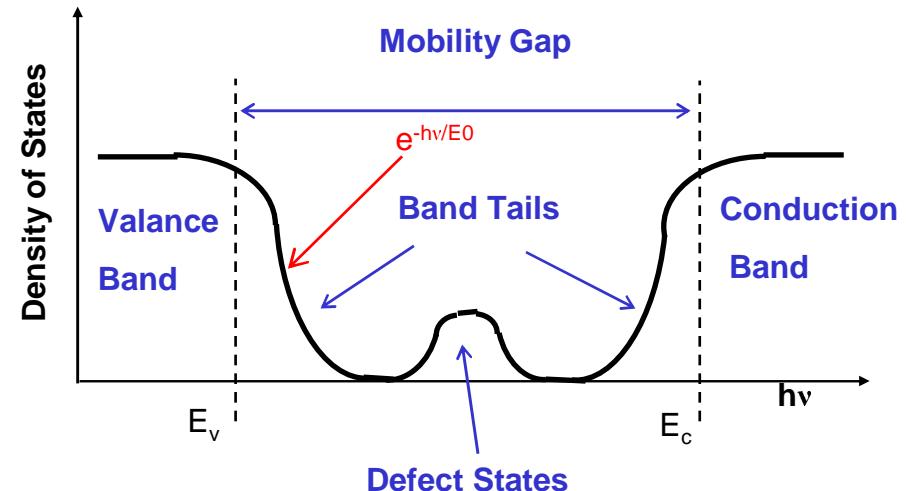
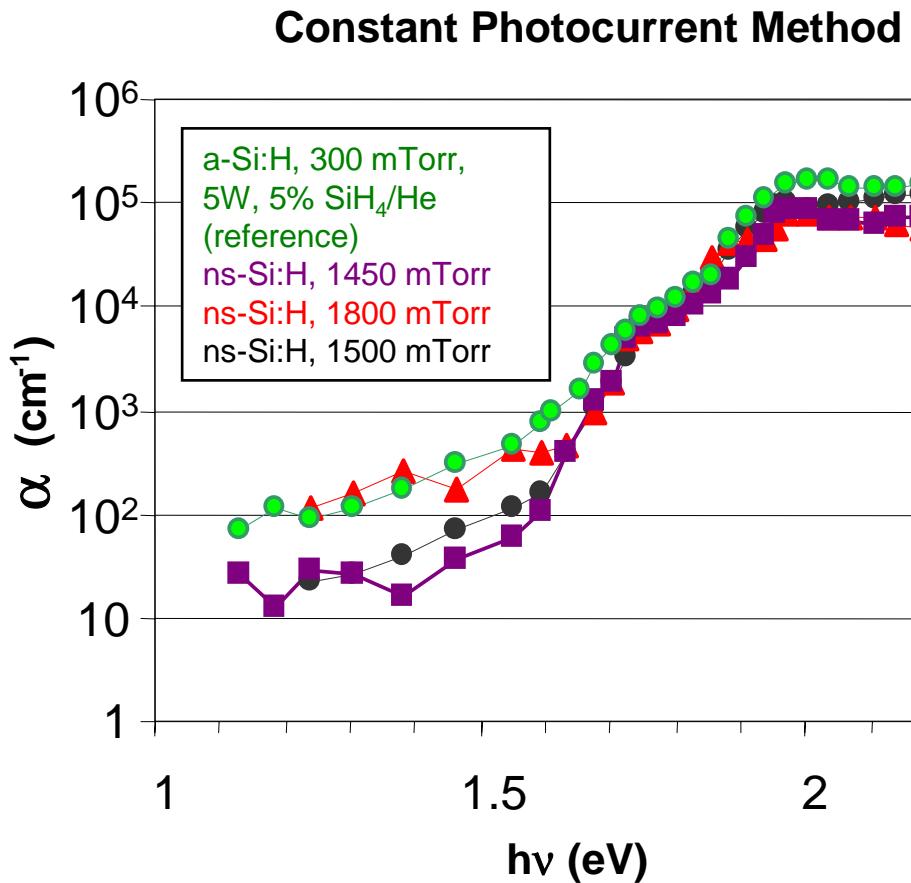
ns-Si:H  
1450 mTorr



Si nanoparticle with a  
stacking fault

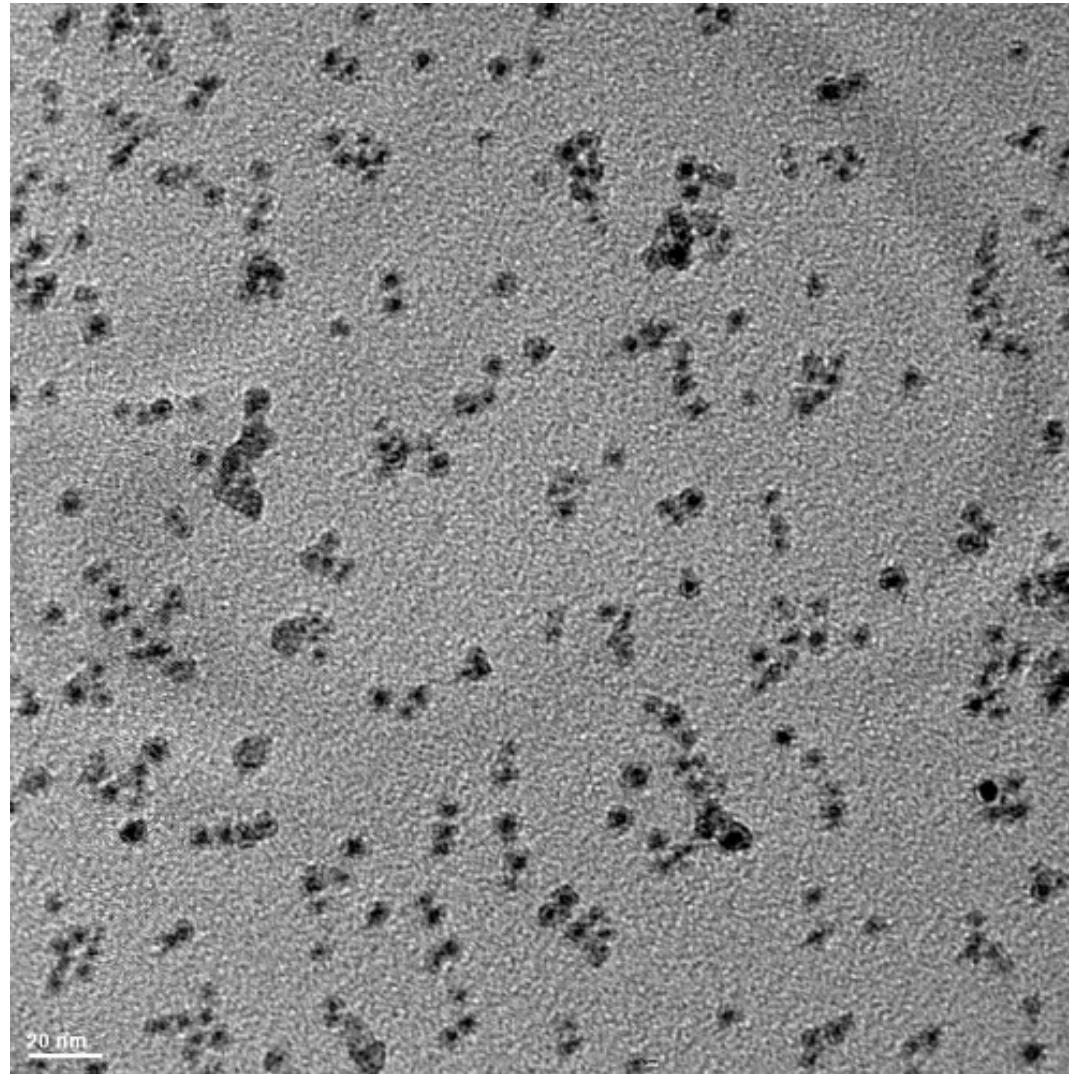
Images taken with a Philips CM 200 FEG with a spherical aberration corrector. Courtesy of C. Perrey and C. Barry Carter (Dept. of Chemical Engr. & Material Sci) with Dr. Markus Lentzen and Prof. Knut Urban (Research Center Jülich, Germany).

# Optical Absorption Measurements



# Free-standing silicon particles

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# Conclusions and Future Work

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- ns-Si:H films produced with 2-3 nm crystals in film
- ns-Si:H show lower defect density than a-Si:H films.

## Future Work:

- Role of particles in film?
- Co-deposition of particles of material A into films of material B.

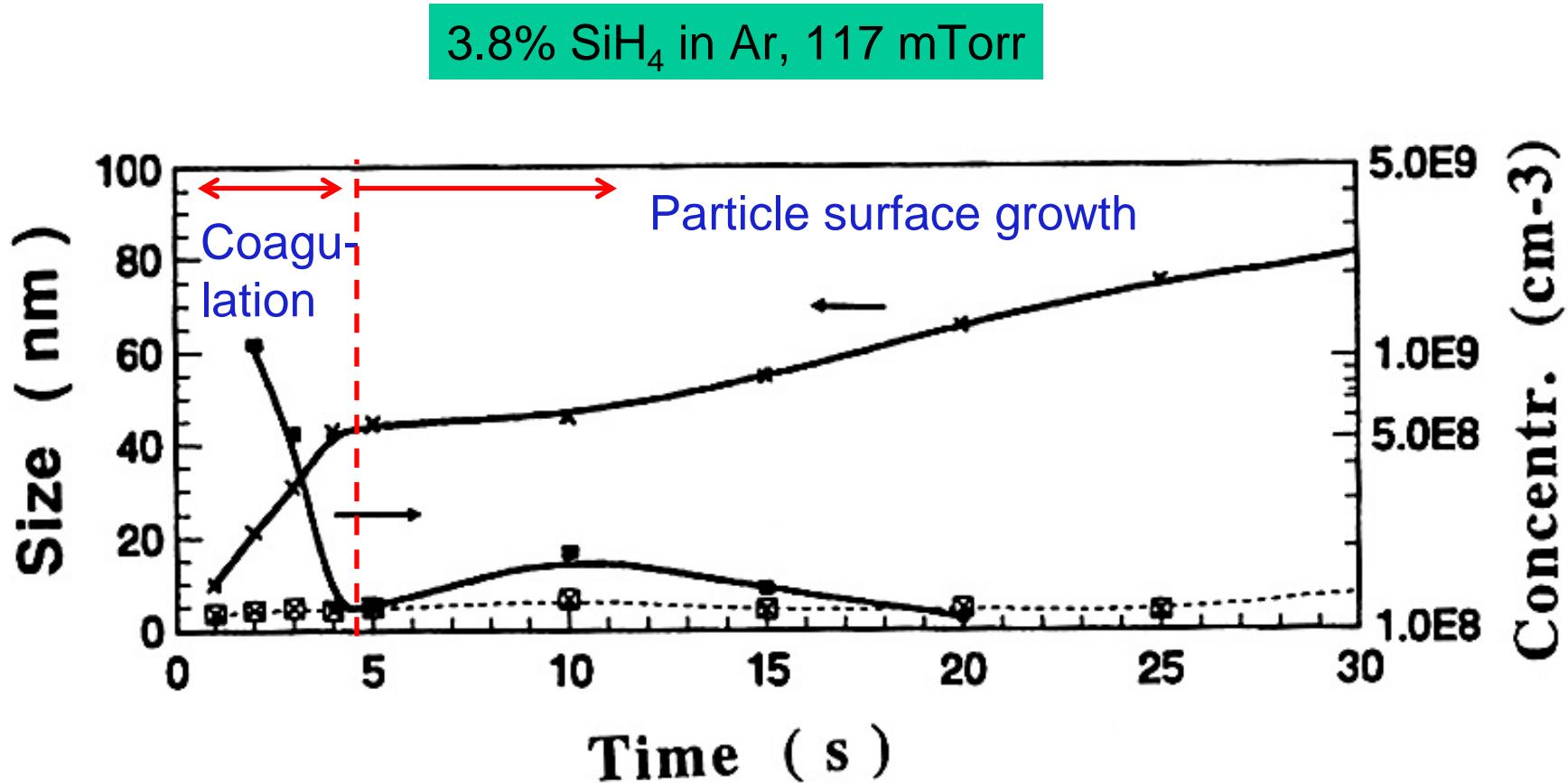
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# Particle Growth Modeling

U. Bhandarkar, S. Warthesen,  
S. Girshick, U. Kortshagen

Mechanical Engineering

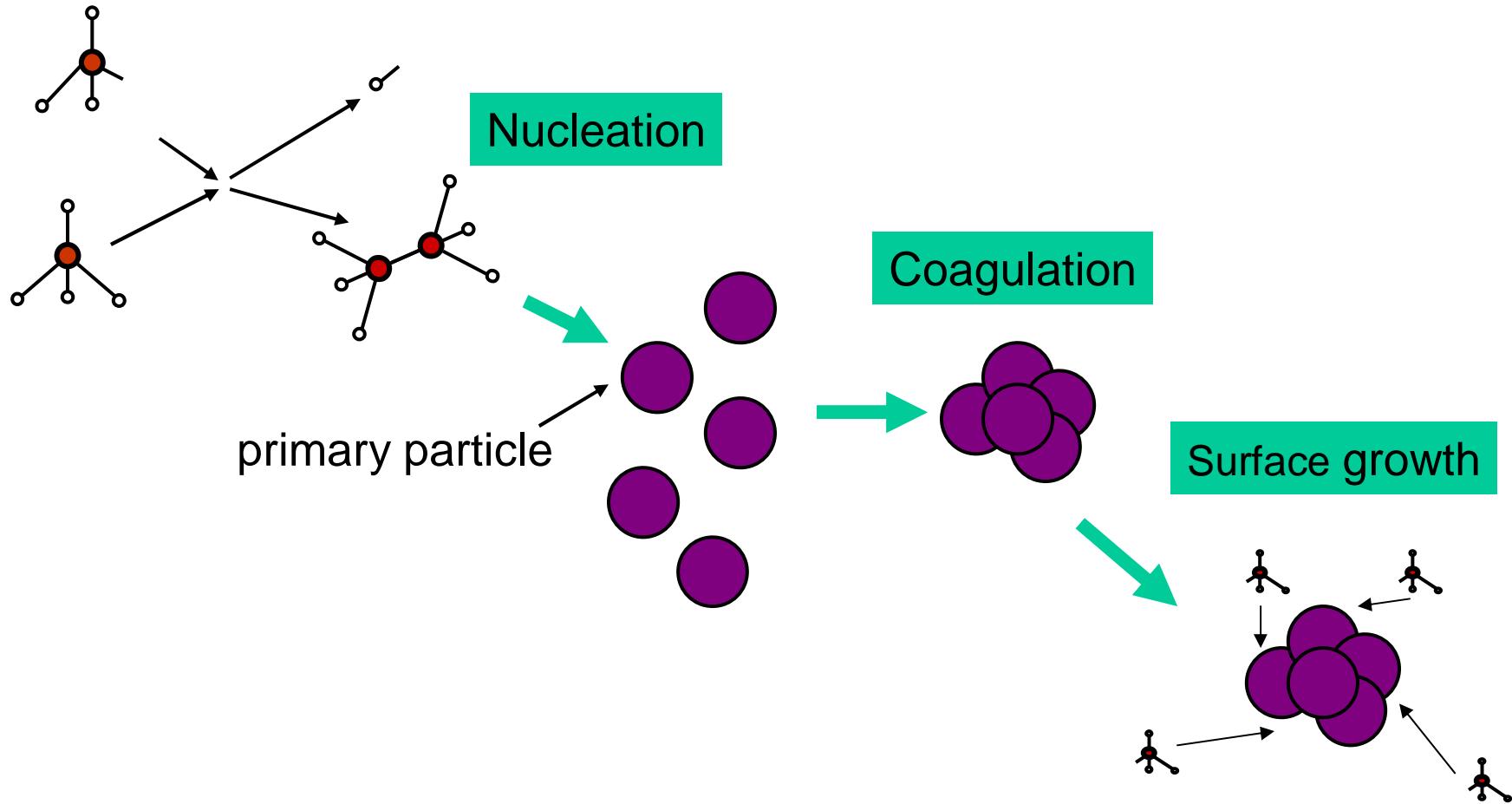
# Particle Growth Scenario



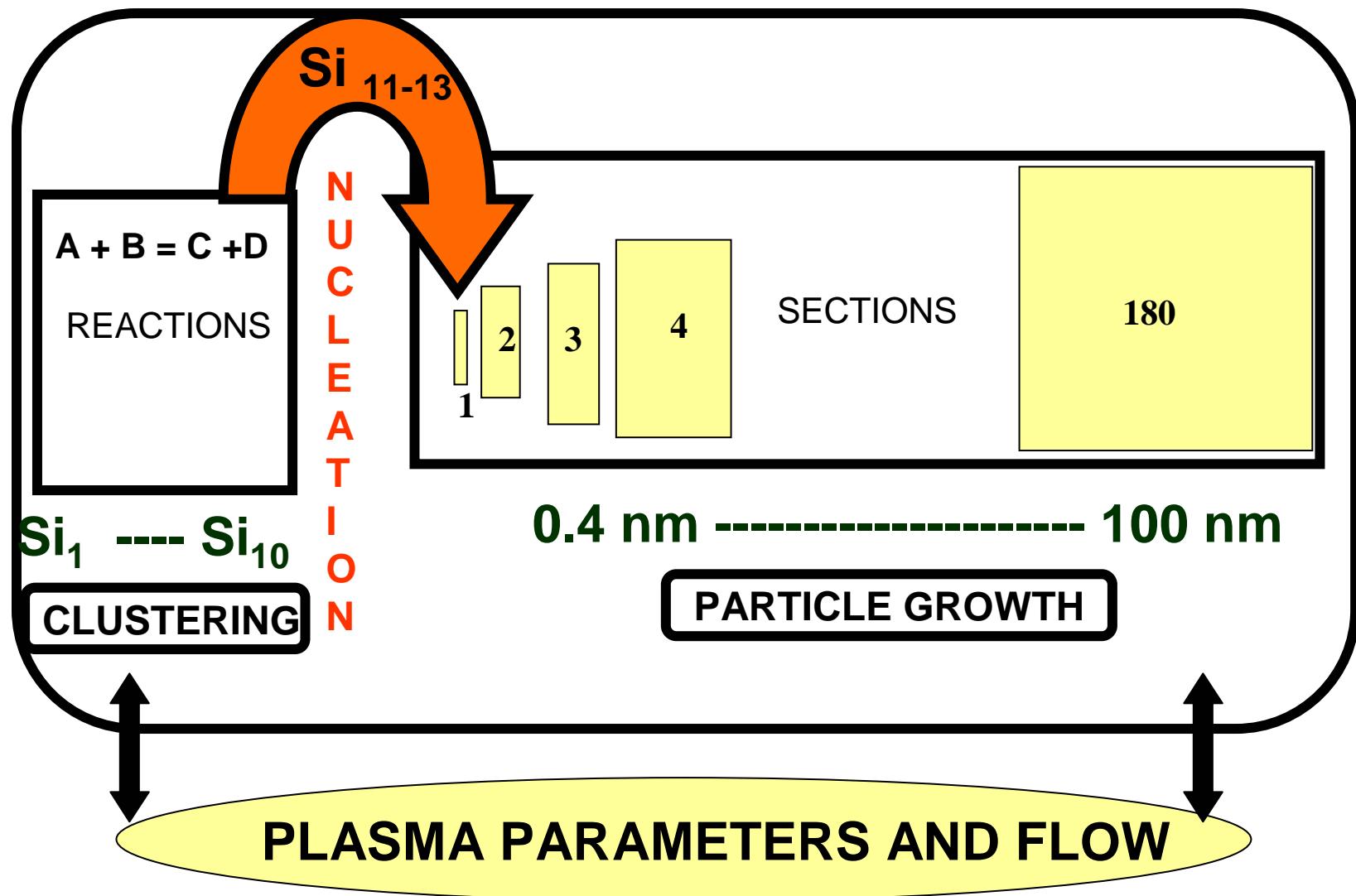
Boufendi & Bouchoule, *Plasma Sources Sci. Technol.* **3**, 262 (1994)

# Particle Growth in Plasmas

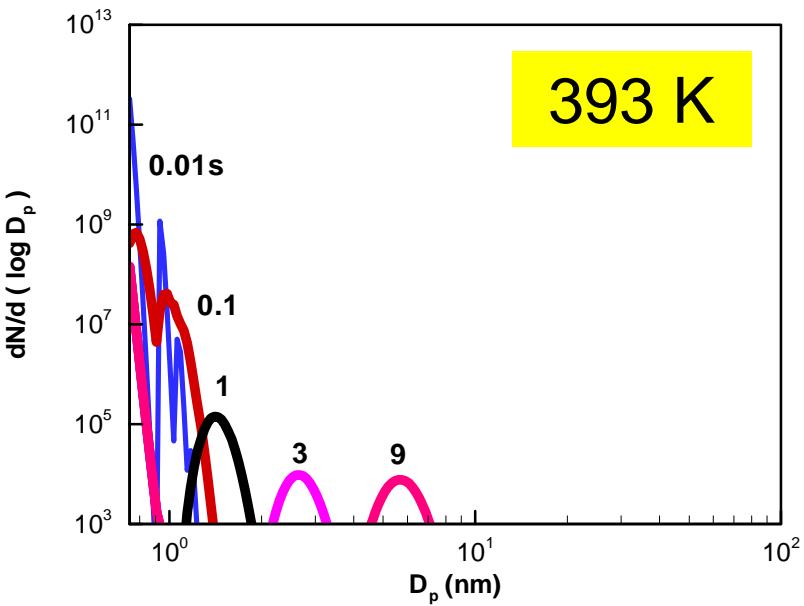
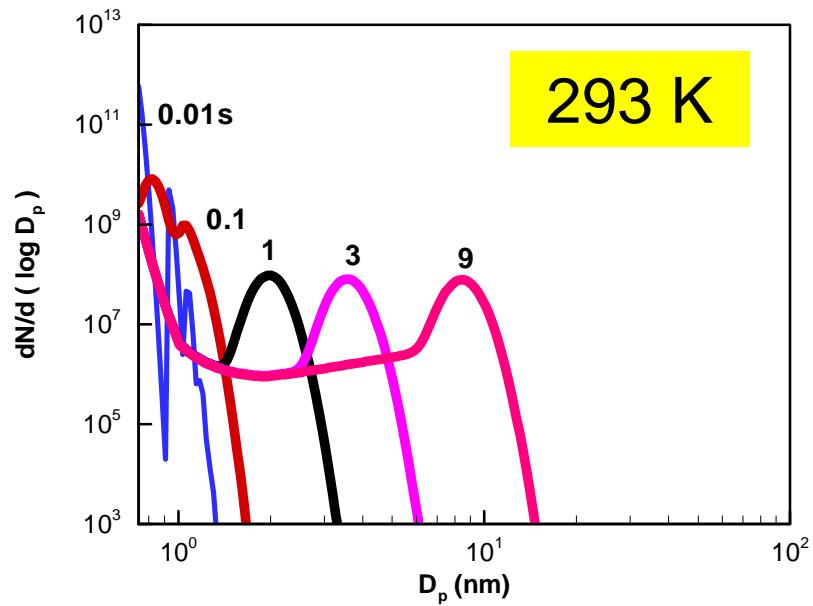
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# Overview over Growth Model

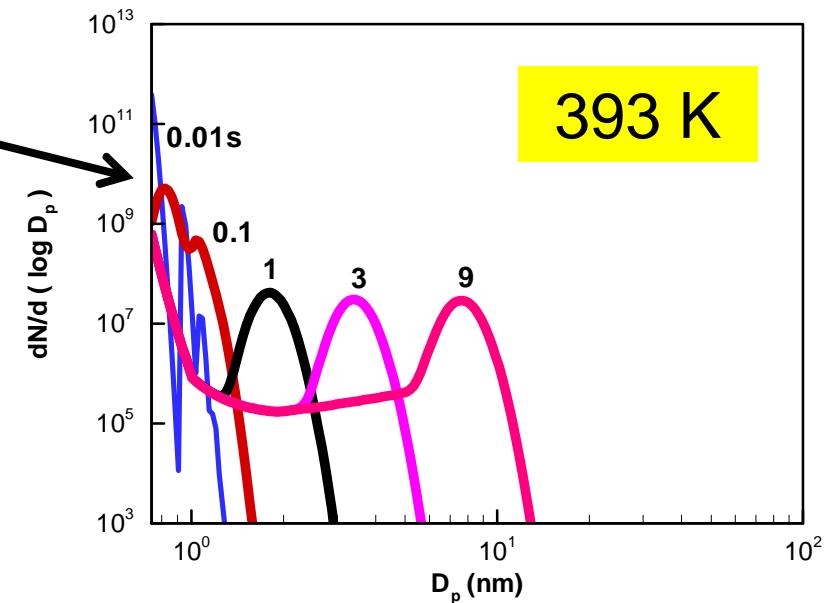


# Effect of Gas Temperature



all reactions at 393K  
only diffusion at 293K

3.8% SiH<sub>4</sub> in Ar, 117 mTorr

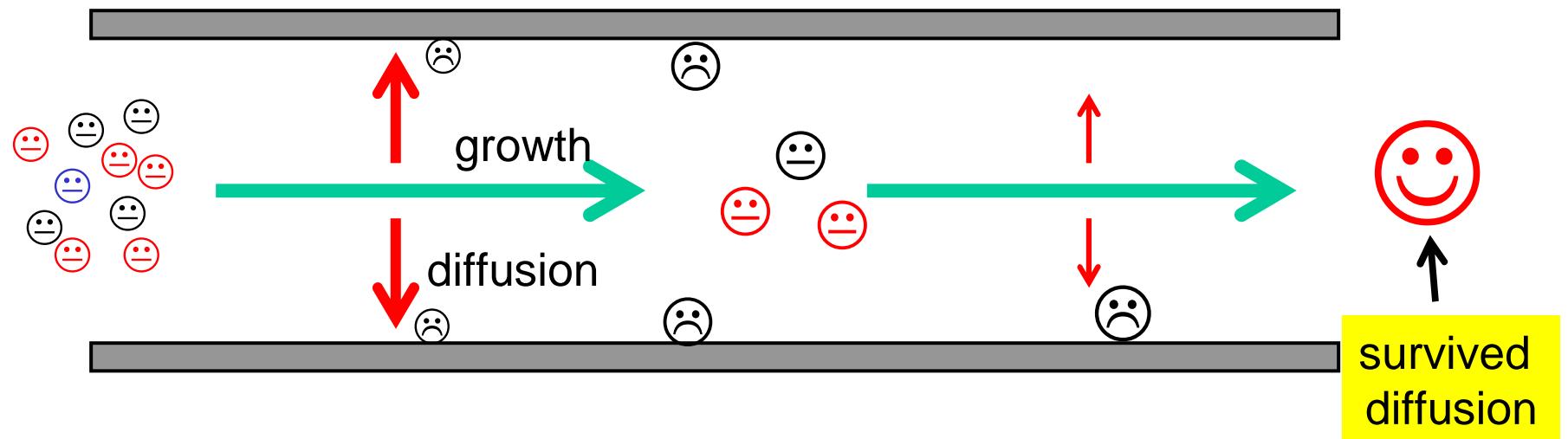


# Growth and Diffusion

primary particles

$$D_p \propto \frac{1}{d_p^2} \left( \frac{T^{3/2}}{m_g^{1/2} p} \right)$$

“safe size”:  
particles negative  
no diffusion



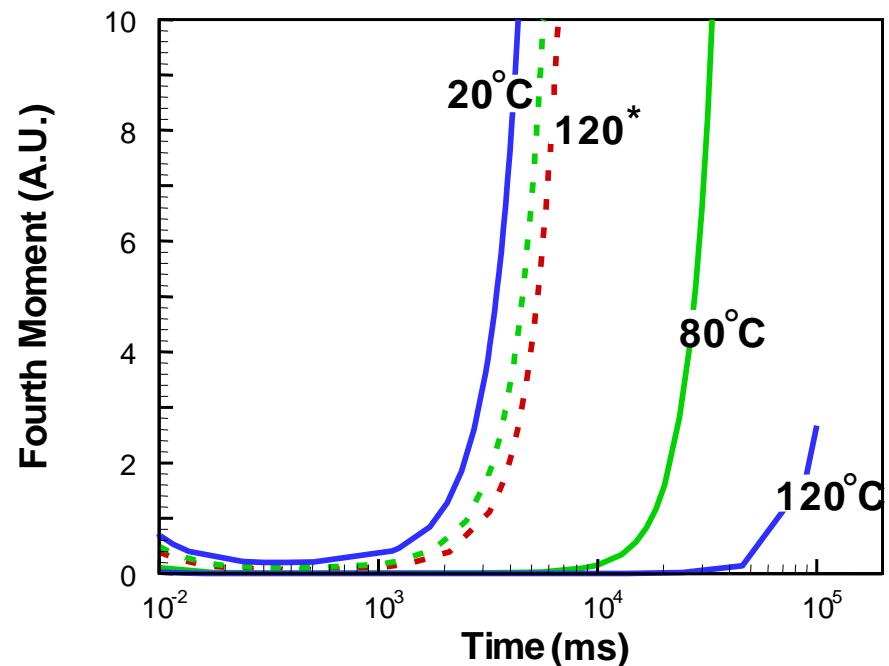
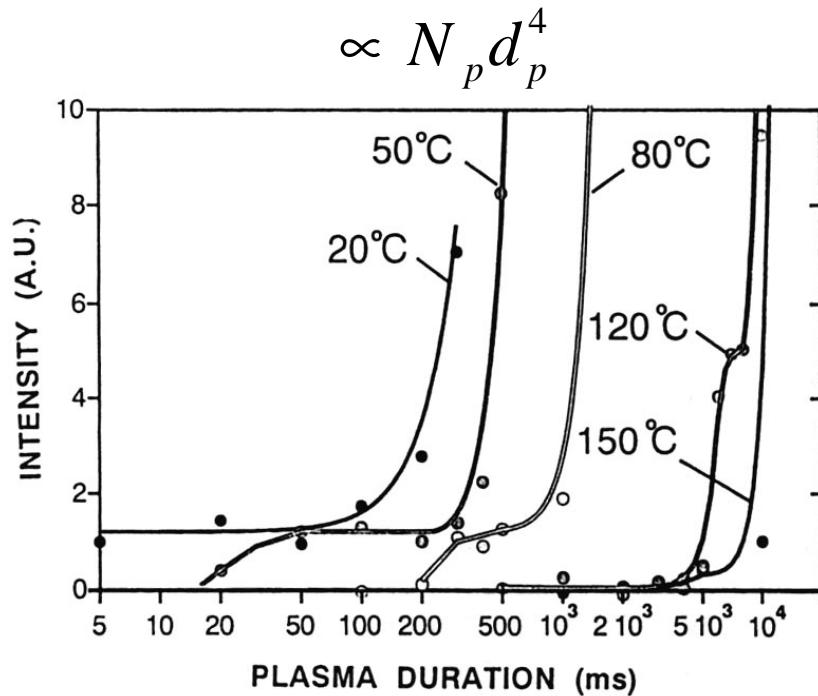
size = growth rate x time



# Effect of Gas Temperature

3.8% SiH<sub>4</sub> in Ar, 117 mTorr

LIPEE measurement



Temperature dependence of growth rate and diffusion explains this effect.

# Conclusion

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Important Results of Model:

- Positive ion density is threshold density for coagulation.
- Anions are important for fast clustering reactions.
- Temperature dependence of diffusion explains retarded nucleation.