

Computer Simulation for Understanding of CMP Process

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1. Introduction

Our approach by using computer simulations

2. Novel modeling of removal rates (RRs)

Our concept to control RRs

Function of chemical additives

Validity of the present model

Application of the present model

3. Novel polishing simulator

Mechanical polishing of SiO₂ patterned film

Implementation of chemical effects and its validation

4. Summary & Future plan

Further understanding
of CMP process



Predictions of
removal rates (RR)
surface morphology of wafers

What we should validate

Roles of chemical additives in CMP

Relation of individual step* in CMP with RR and surface morphology

(*):adsorption / desorption / diffusion of abrasive particles, removal of substrate

Our approach by using **computer simulations**

Novel modeling of RRs from the viewpoints of atoms and molecules**

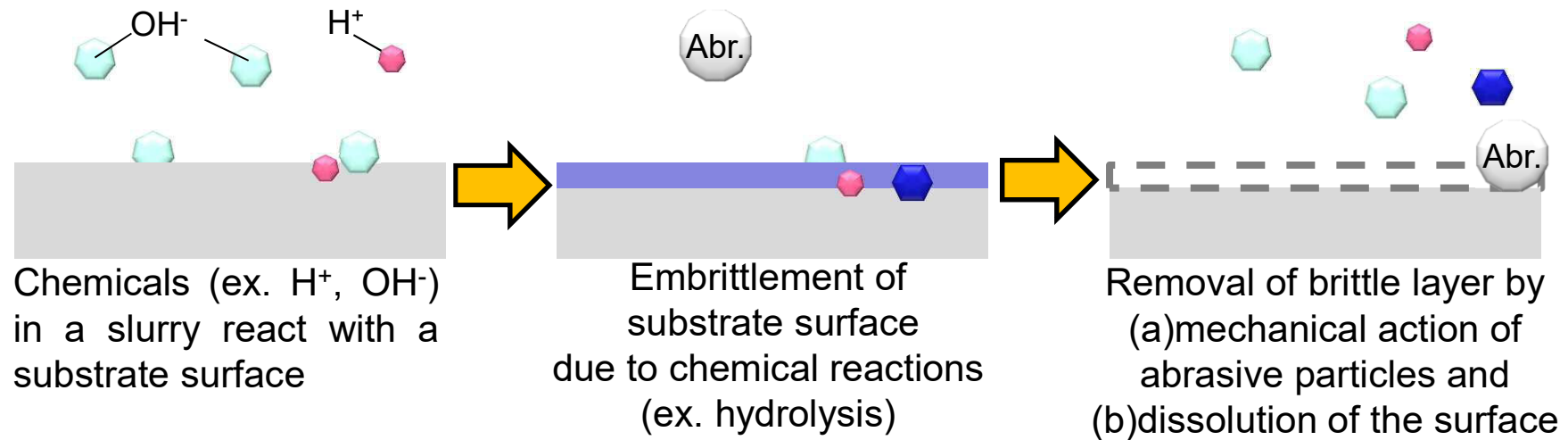
Novel polishing simulator from the viewpoint of particles***

(**)Endou et al., ISSM 2014, PO-46; Shinoda et al., ISSM 2018, PC-O-7.

(***)Endou et al., ISSM2016, PO-O-4.

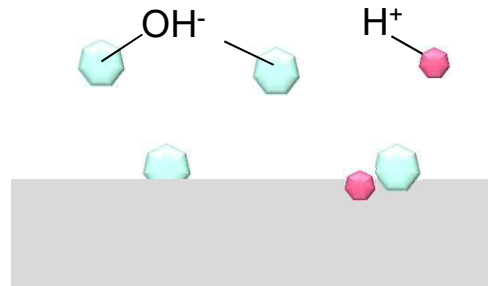
Brief introduction of our modeling activities

CMP mechanism considered so far

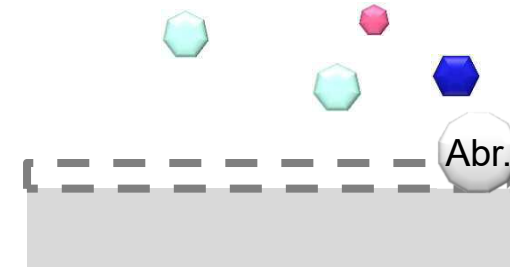


*H. Prigge, P. Gerlach, P. O. Hahn, A. Schnegg, and H. Jacob, *J. Electrochem. Soc.*, **138** (1991) 1388.

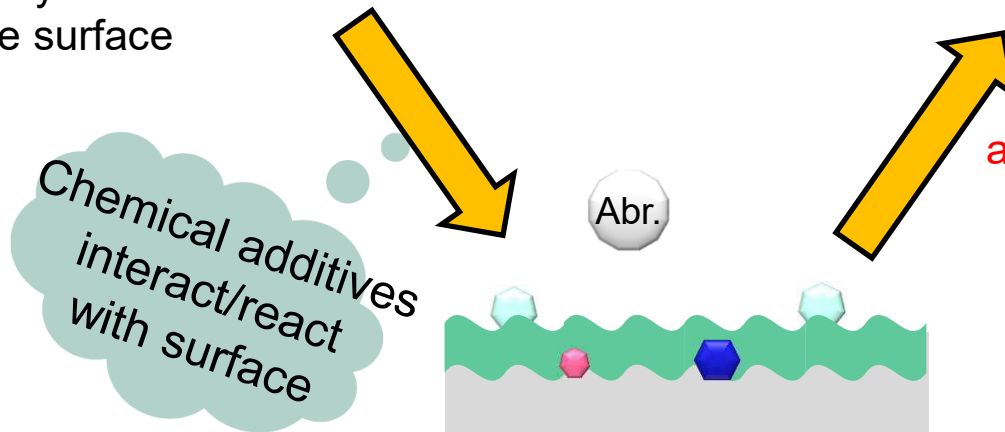
Our concept to control RRs



Chemicals (ex. H^+ , OH^-) in a slurry react with a substrate surface



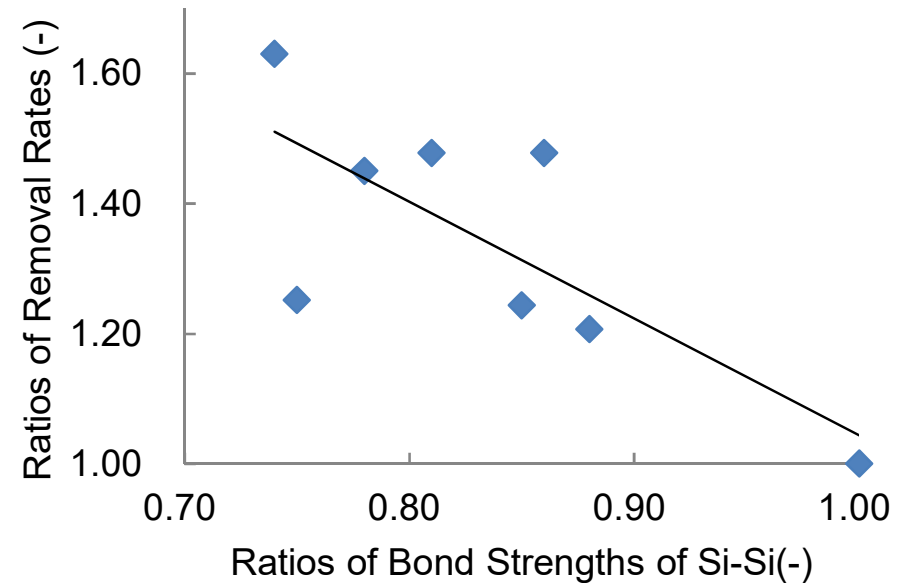
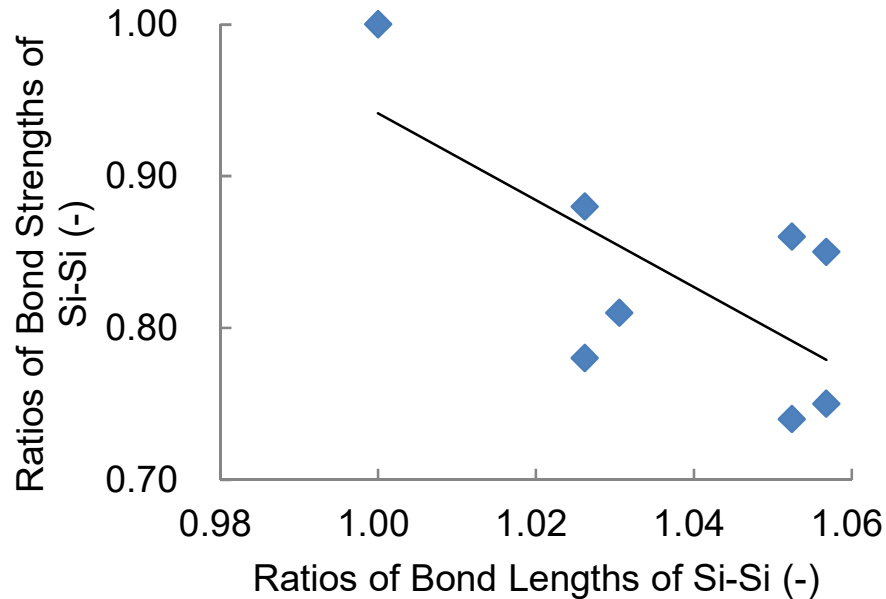
Easier removal of the surface with mechanical action of abrasive particles and attack of chemicals (ex. H^+ , OH^-)



By using chemical additives, some modification of the surface will be expected to form weaker surface layer

Validate the function of chemical additives
by using computer simulations

Validation of the function of chemical additives : (ex.)bare-Si



A linear correlation between strengths and lengths for Si-Si

Longer Si-Si bonds correspond to **weaker** ones.

Expected function of chemical additives was validated.

Higher RRs can be realized with **weaker** bonds

... **Weaker** chemical bonds can be **easily broken**.

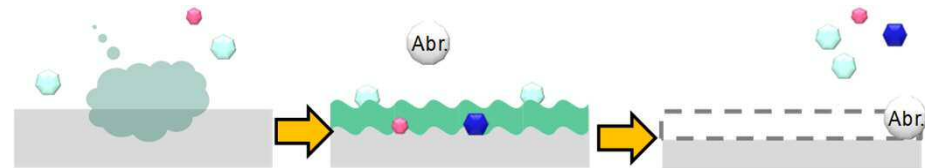
Published model of CMP + our computer simulations

Borst model (*)

(*) Borst et al., J. Electrochem. Soc., 149 (2002) G118-G127.

(1) Chemical effects :

Substrate + nR → **Substrate***; rate = k_1



(2) Mechanical effects :

Substrate* → **Removed !**; rate = k_2

$$RR \propto \frac{k_1}{1 + \frac{k_1}{k_2}}$$

Necessary parameters

Parameter A : ratios of values of k_1

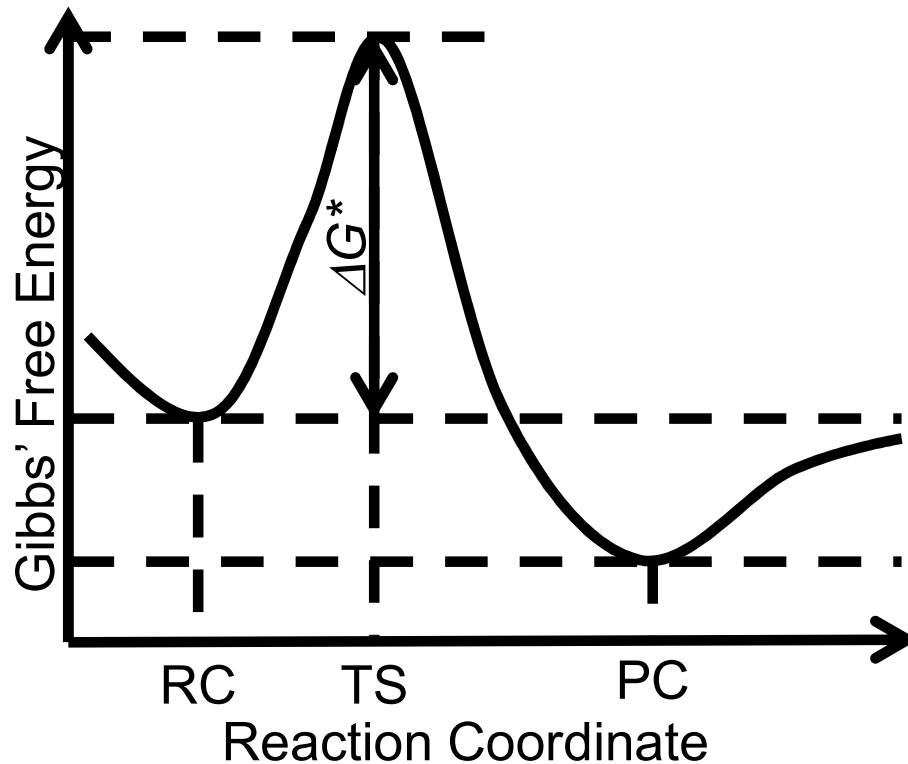
Parameter B : averages of ratios of terms related to k_2

Both A and B were led from the results obtained with
our computer simulations

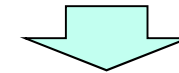
What is 'Parameter A' ?

Chemical reactions

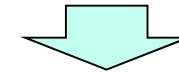
be invoked via formation of
reaction complex (RC)



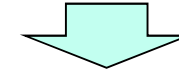
Easy to interact with substrate surface



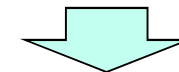
RC will be easily formed



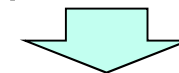
Increasing freq. of chemical reaction



Surface modification with chemical additive will be easily occurred



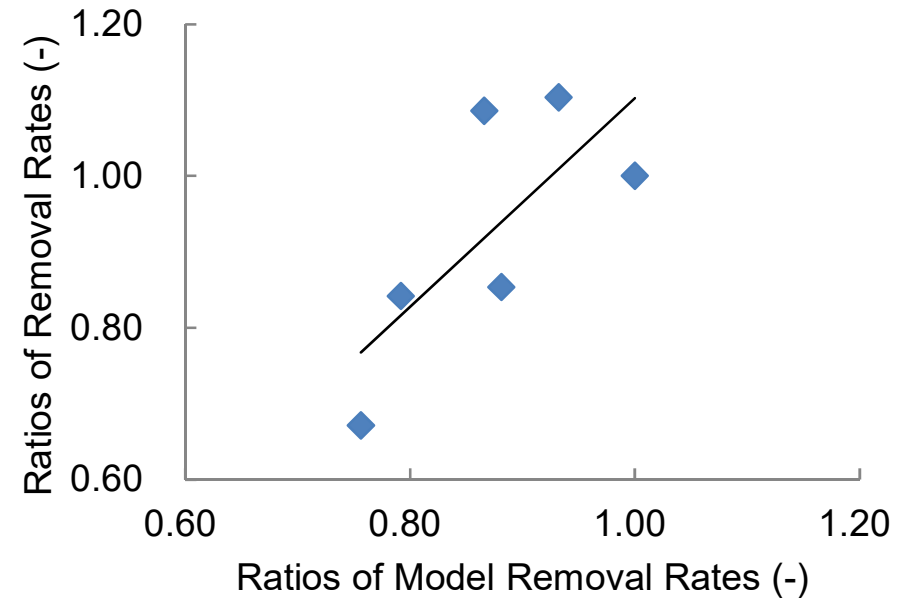
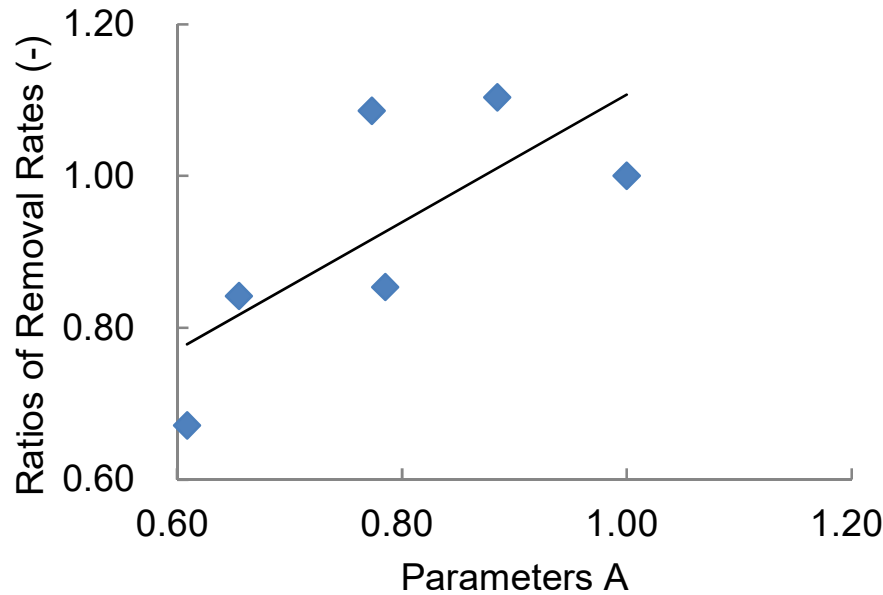
Modified parts of substrate surfaces will be easily removed with abrasive particles



Increasing RRs for substrate

Easiness of interaction of additive with substrate surface
= **Driving force** of chemical reactions (parameter A)

Validity of the present model : (ex.)bare-Si

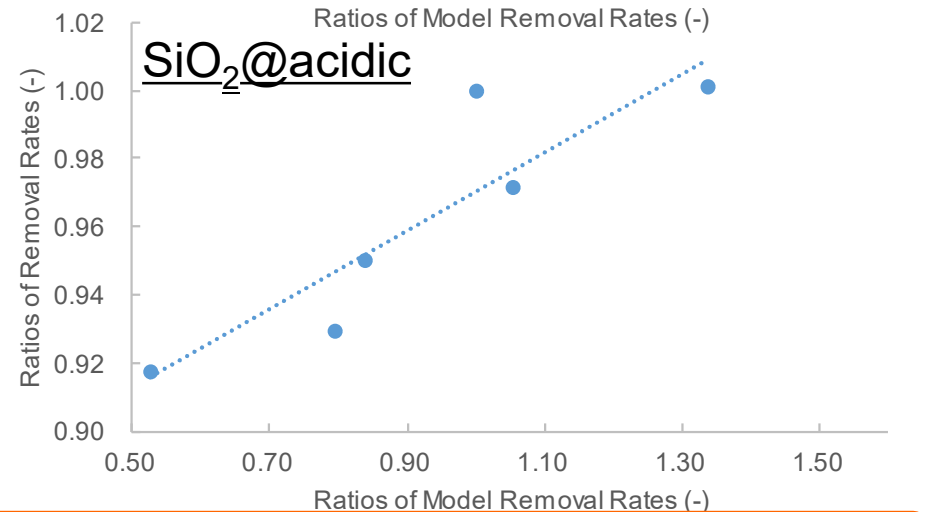
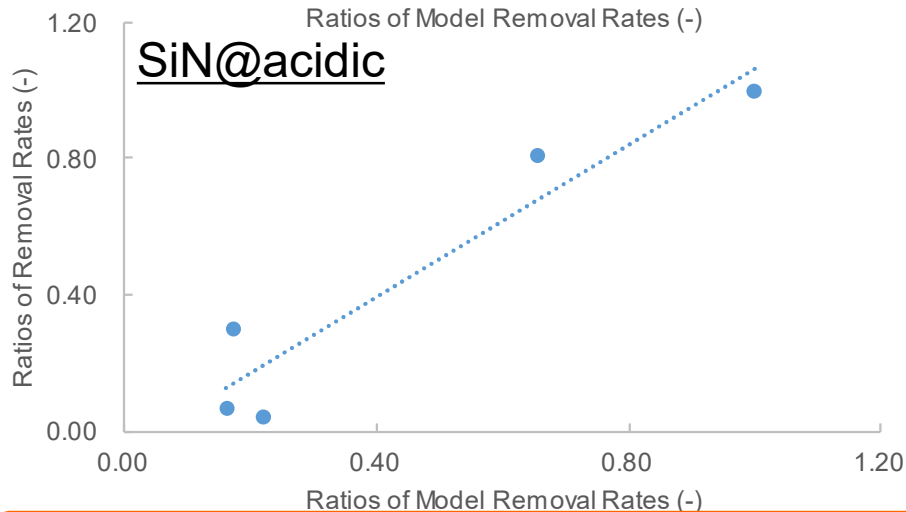
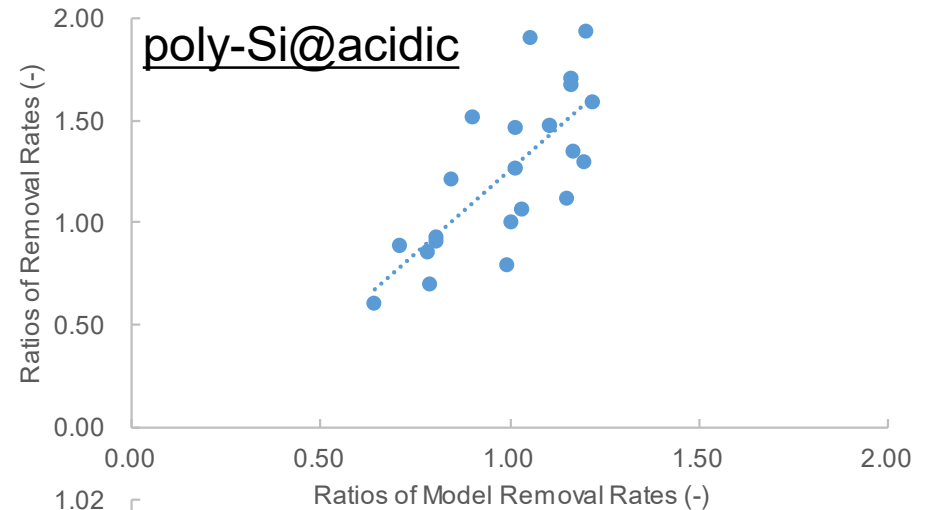
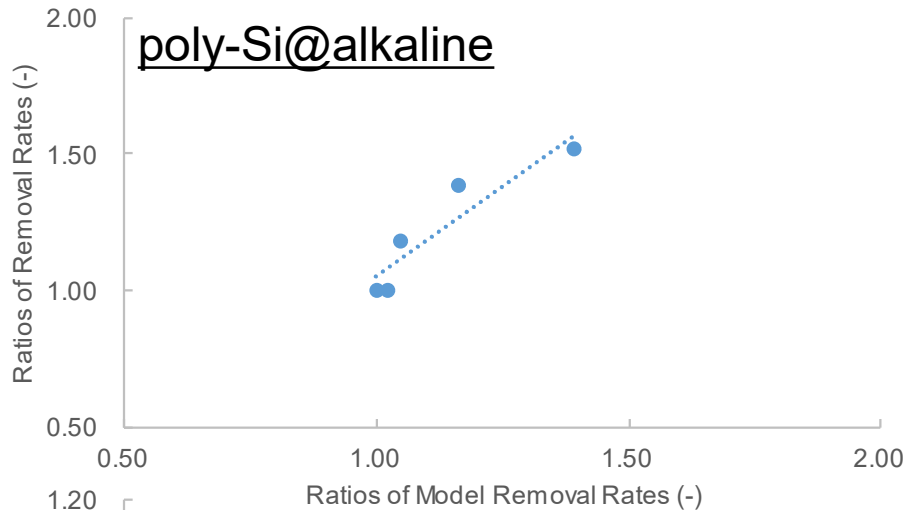


Importance of
parameter A
in describing RRs

Model ratios **correlate** with
experimental ones

Validate our concept

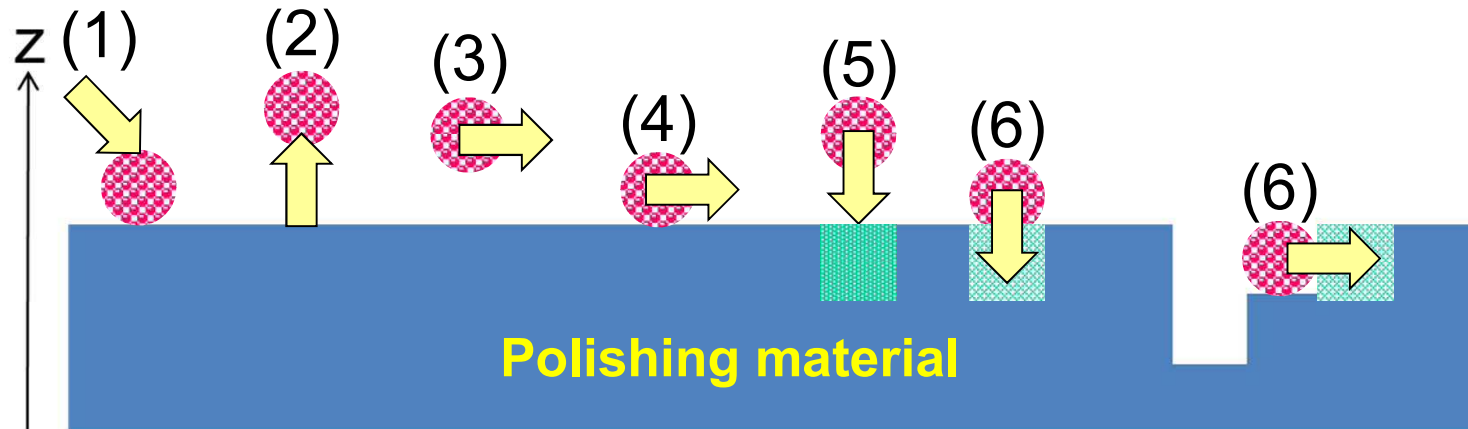
Application of the present model



Modeling of RRs for various substrates has been succeeded.

Development of novel polishing simulator

(1): mechanical effects



Considered effects in mechanical polishing:

- (1) **Adsorption** of abrasive particle on polishing surface
- (2) **Desorption** of abrasive particle from polishing surface
- (3) **Diffusion** of free abrasive particle **without** adsorption
- (4) **Diffusion** of abrasive particle on polishing surface
- (5) **Nano-indentation** of abrasive particle on polishing surface
- (6) **Removal** of polishing surface by abrasive particle
(vertical or horizontal)

They are selected with
the assigned probabilities and **random numbers**.

Input parameters and output data

Input

Pressure adding to the substrate

Linear velocity of abrasive particles

Temperature

Diameters of abrasive particles

Easiness of removal of polishing materials

Probabilities for the mechanical effects and chemical ones

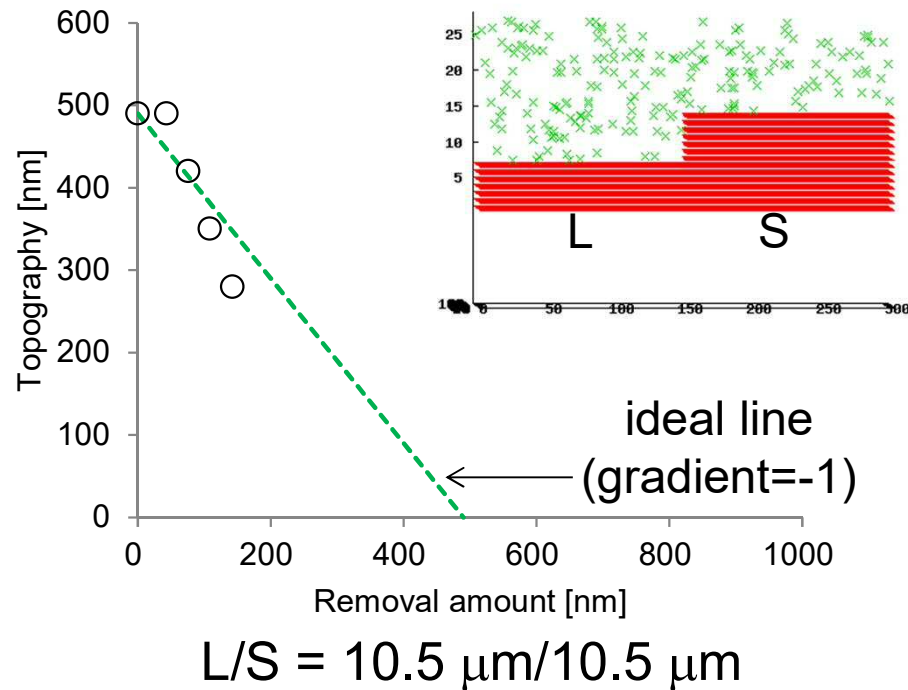
Output

Total elapsed time

Removal amount per time (RAPT)

= (number of the removed lattice points) / total elapsed time

Mechanical polishing of patterned SiO_2 (under 1 psi and at room temperature)



Gradient of PE plot

Simulation	:	-1.57
Experiment	:	-1.69

Obtained theoretical tendency agrees with
the experimental one.

Development of novel polishing simulator (2): chemical effects

Adsorption of RR modifier (enhancers or suppressors)

Desorption of RR modifier

Oxidation of polishing surface

Hydrolysis of polishing surface

Dissolution of polishing surface

Deposition of dissolved polishing surface

*They are selected with **the assigned probabilities** and **random numbers**.

*An arbitrary combination of the above is available.

Implementation of chemical effects

Ratio of deviation of RAPT

$$Y = 100 \times \left(\frac{y_C}{y_M} - 1 \right)$$

RAPT : Removal amount per time

Y : Ratio of deviation of RAPT

y_M : RAPT with only mechanical effects

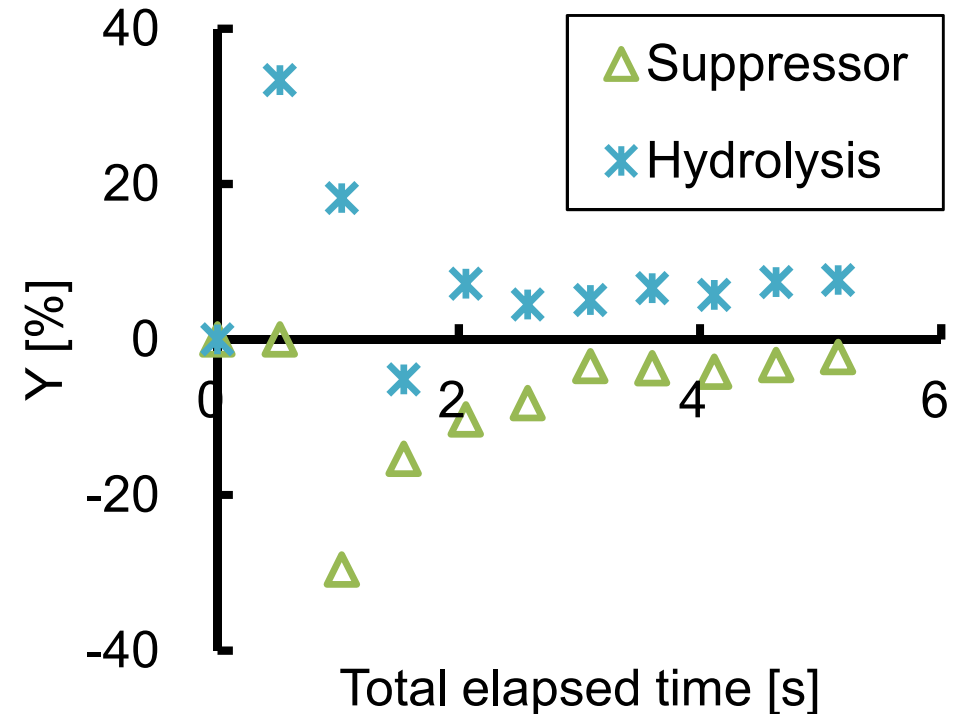
y_C : RAPT with mechanical + chemical effects

Larger Y ... $y_C > y_M$

Adsorption and desorption of RR suppressor : 0 or smaller than y_M

Hydrolysis : larger than y_M

Result for SiO₂ substrate



The chemical effects implemented in the simulator on RAPT worked as expected.

Novel modeling of RRs in atomic and molecular levels

*Function of chemical additives which interact/react with substrate surface was considered to change the surface state of substrate.

- Justified with both **computer simulations** and experiments
- **Effectiveness of the chemical additives** on controlling RRs

*Combination of published model on RRs and computer simulations results

- **Good correlation** with the ratios of experimental RRs
- **Available** to not only bare-Si but also poly-Si, SiN, and SiO₂

Novel polishing simulator in particle level

*It is applicable to both blanket and patterned wafers.

- **PE curve** can be obtained.

*This simulator can use 6 kinds of chemical effect.

- Chemical effects on removal amount per time work as expected.
- Be useful to **model mechanical and/or chemical effects** in CMP.