

# Surface and Electrochemical Evaluations for Barrier and Packaging Level CMP Optimization

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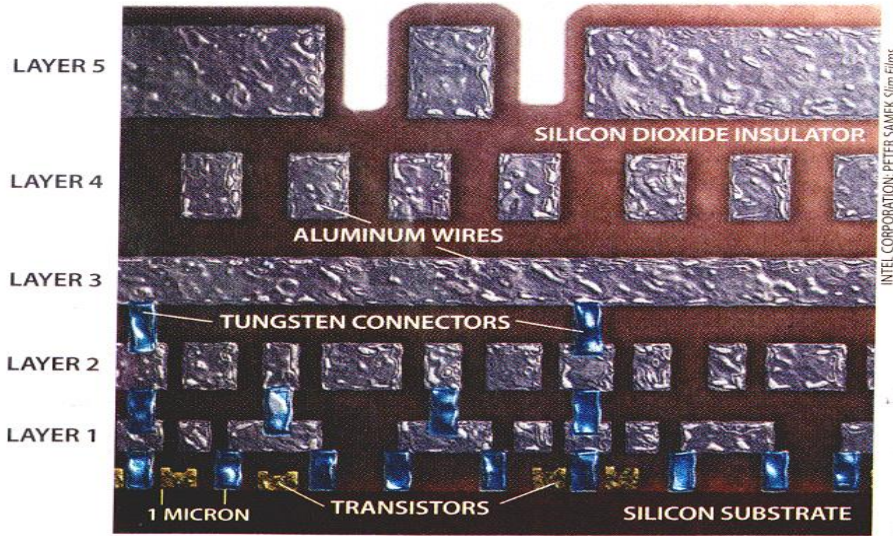
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Advancements in CMP Applications and Research

2020 CMPUG Fall Meeting  
September 1<sup>st</sup>, 2020

## Application of CMP Beyond 10 nm Technologies

## Multi Level Metallization



$$RC = \rho \epsilon \frac{l^2}{td}$$

Resistance

Capacitance

Resistivity

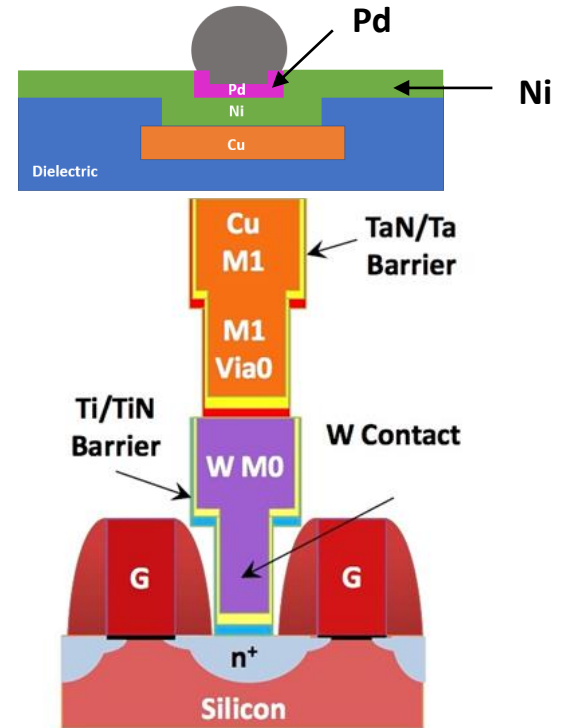
Permittivity

Line length

Thickness

- CMP achieves global planarity enabling multi level metallization.

## Barrier Materials



- Shrinking sizes of the plugs reduce the volume of tungsten, increasing  $\rho$
- Use of palladium (Pd) plated lead-frame for packaging has improved the processing cost and reliability by simplifying the process integration\*.

# Introduction

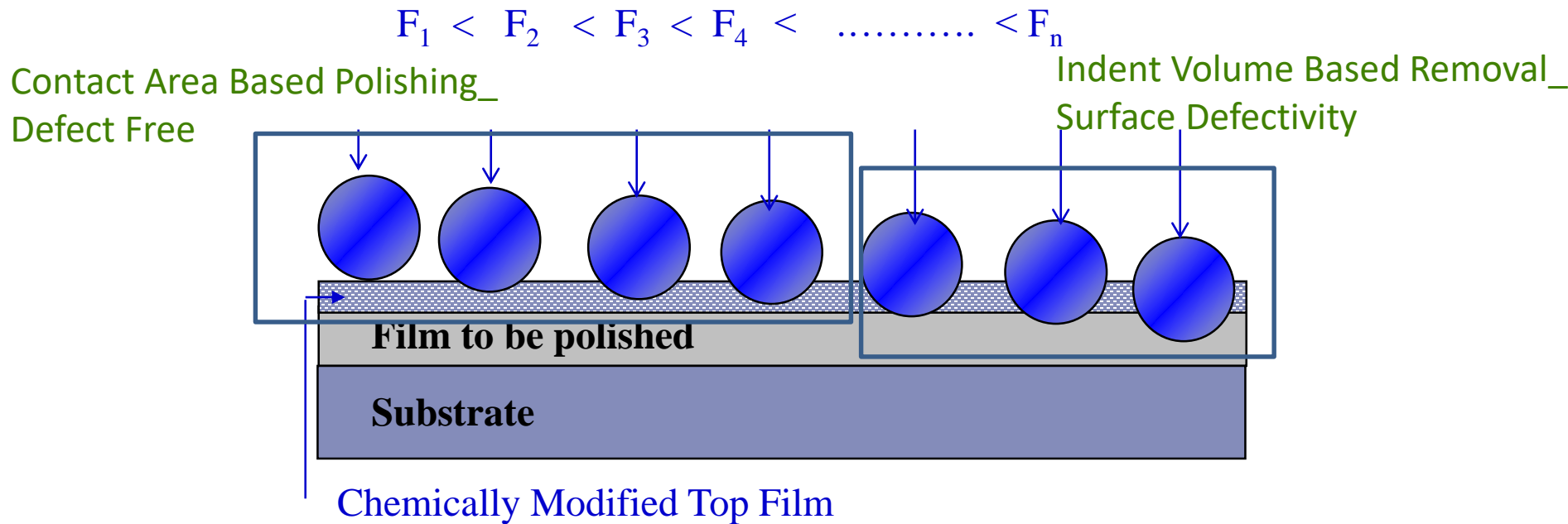
- Chemical Mechanical Planarization (CMP) process development for 10nm nodes and beyond demands a systematic understanding of atomic-scale chemical and mechanical surface interactions for the control of material removal, selectivity, and defectivity.
- CMP of barrier/liner films is challenging with new materials introduced to better adhere the contact metal at the interface and limit the probability of metal diffusion to the transistors.
- The relative selectivity of the CMP removal rates of the barrier materials against the contact metal needs to be controlled depending on the integration scheme.

## Objectives

- Utilize ex-situ electrochemical evaluations to evaluate the corrosion/passivation rates in various slurry formulations as a function of the slurry chemistry and the abrasive particle solids loading.
- Optimize selectivity to obtain 1:1:1 MRR on W/Ti/TiN films.
- Implement the fundamental understanding on Cu barrier film evaluations for packaging level CMP applications.

# Role of Polishing Slurry in CMP Applications

## \_Formation and deformation of the chemically modified thin films\_



**Chemistry:** Changes the top film properties

Oxide\*: Hydrated layer formation

Metal\*\*: Passivated layer formation

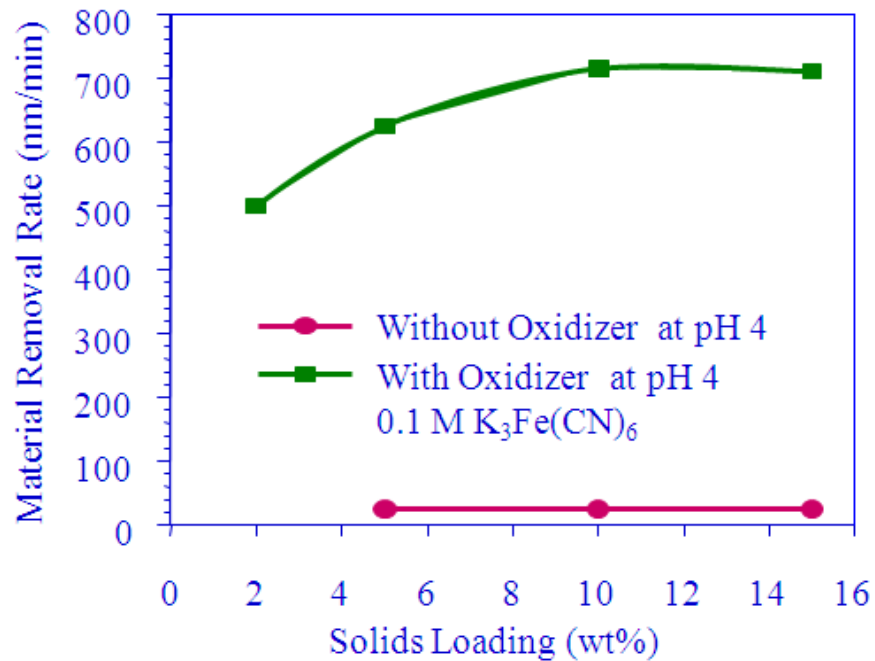
**Mechanics:** Determines the type of deformation

- It is necessary to determine mechanical properties of the chemically altered film to evaluate the CMP performance.

# Functionality of Chemically Modified Film in CMP Applications

## \_Enables Material Removal\_

(Effect of Oxidizer on Tungsten CMP)

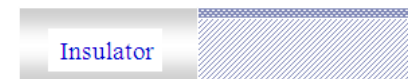


- There is no material removal in the absence of oxidizer

## \_Enables Topographic Selectivity\_

(Effect of Self-Protective Oxide in CMP)

### Protective film



### Non-Protective film



- Chemical etch stops
- Chemical etch continues

# Metal Oxide Thin Film Formation on Polished W wafers

## P-B ratio analysis (XRR)

	1 M KOH		0.05 M H <sub>2</sub> O <sub>2</sub>		0.075 M H <sub>2</sub> O <sub>2</sub>		0.10 M H <sub>2</sub> O <sub>2</sub>	
	T	D	T	D	T	D	T	D
Layer 0	15	4.5	7	4.8	7	4.4	8	4.5
Layer 1	122	5.0	51	12.4	53	12.2	53	12.5
Layer2	20	14.7	43	14.5	40	15.6	42	15.8
W substrate		17.6		17.8		18.4		18.6

T: Thickness (Å).  
D: Density (g/cm<sup>3</sup>).

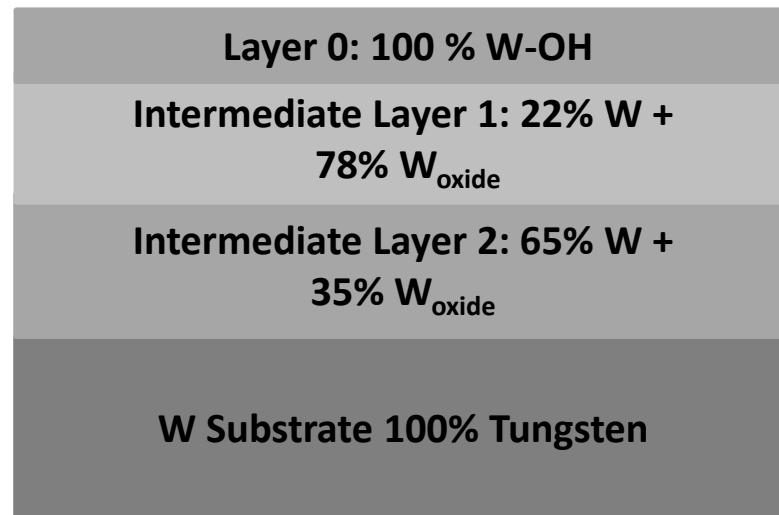
### P-B Ratio

**3.64**

**1.35**

**1.25**

- W forms protective oxide films



### Interfacial Stress

**3.84 GPa**

**2.03 GPa**

**2.48 GPa**

- Only the very top metal oxide layer is abraded during CMP.

Pressure on 0.2 μm particle ~0.024- 2.38 GPa\*

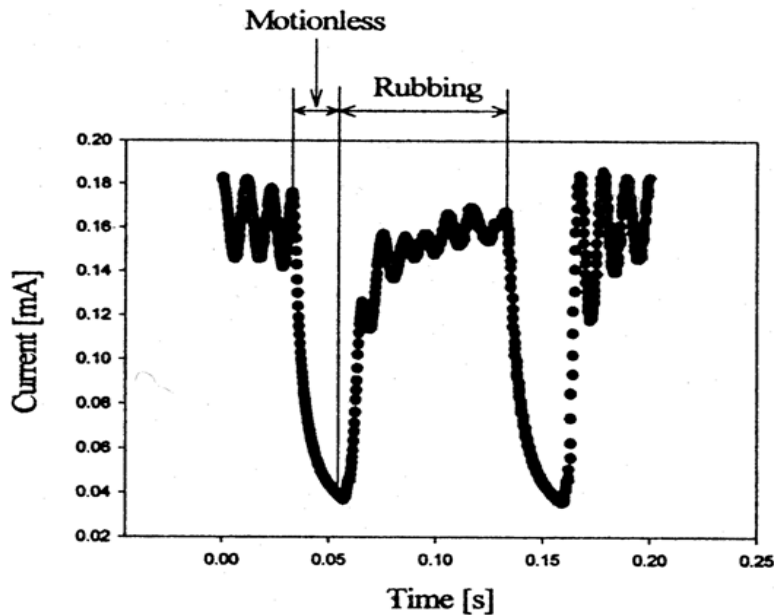
- W forms a protective oxide in slurries containing oxidizers and the top layer is removed.

\* A. Karagoz, V. Craciun, and G. B. Basim\*, Characterization of Nano-Scale Protective Oxide Films: Application on Metal Chemical Mechanical Planarization, *ECS Journal of Solid State Science and Technology*, 3 (12) P1-P8 (2014)

# Evaluation on Tungsten CMP

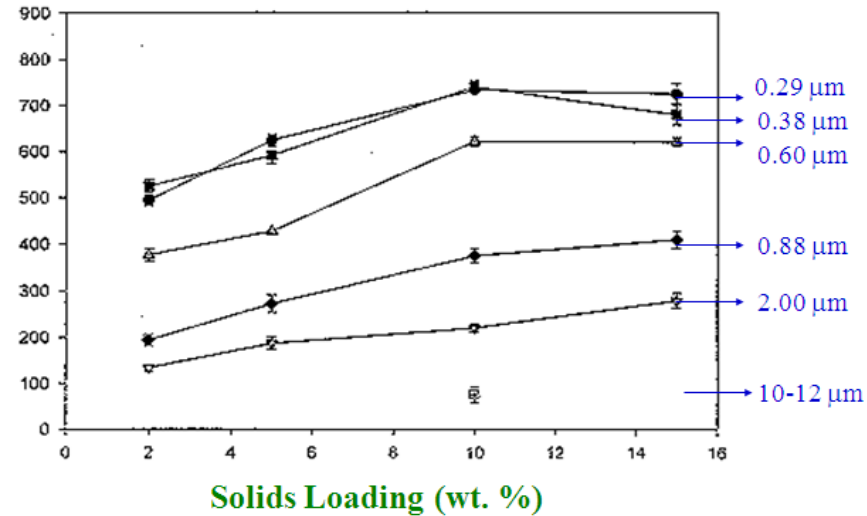
## Passive Layer Formation in Tungsten CMP

*0.5 M H<sub>2</sub>SO<sub>4</sub> + 2 V Anodic Potential  
(0.2 s sphere motion cycle)*



*Diffusion limited layer thickness = 5 - 7 nm \*\**

**Material Removal Rate (nm/min)**



**Solids Loading (wt. %)**

$$A \propto C_0^{1/3} \cdot \Phi^{-1/3}$$

*Contact area mechanism is predominant*

**0.3 μm over 10wt. %**

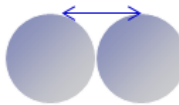
$$\text{MRR} = 750 \text{ nm/min} = 12.5 \text{ nm/s}$$

**Number of abrasions/sec**

$$V/D = 1.4 \cdot 10^6 (\mu\text{m/s}) / 0.3 (\mu\text{m}) = 4.7 \cdot 10^6 \text{ abr./s} \times \mathbf{0.0033}$$

$$t_{\text{abrasion}} = 0.65 \cdot 10^{-4} \text{ sec/abrasion}$$

$$D = \phi$$



➤ Oxidation takes place as the fresh surface is exposed to the slurry (Diffusion limited growth)

➤ Only 1 in a 1000 or 10000 particles can remove material during CMP.

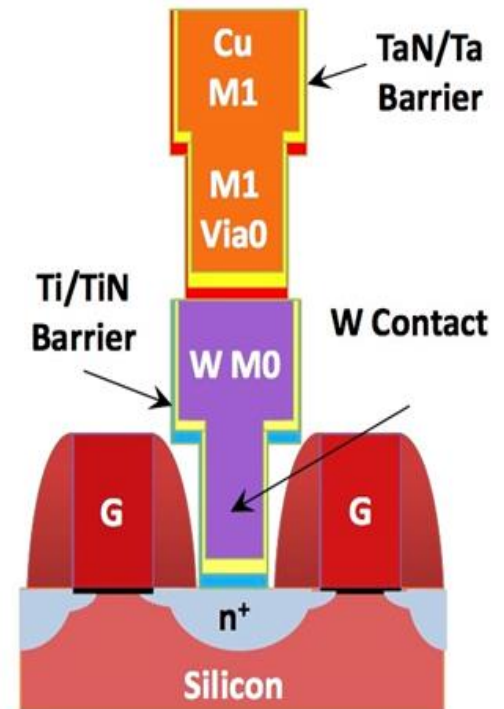
\* M. Bielman, U. Mahajan, R. K. Singh, P. Agarwal, S. Mischler, E. Rosset, D. Landolt, Mater. Res. Soc. Proc. 566, p. 97.

\*\* E.A. Kneer et al. J. Electrochem. Soc. V 144, p. 3041 (1997).



# Barrier Layer Evaluation for W Plugs

- Ti/TiN are typical diffusion barriers for W via due to their thermal and chemical stability and their ability to passivate, which creates an oxide layer that promotes adhesion.
- The  $\text{TiO}_2$  films presents acceptable properties:
  - Low leakage current density of  $1.0 \times 10^{-5}$  A/cm at 1 V.
  - Band gap of 4.6 eV.
  - High insulation or leakage resistance of  $5 \times 10^5 \Omega$ .
  - Applied in gate oxide in metal oxide semiconductor field effect transistors (MOSFETs) and in thin film capacitors.
- Alternatives are;
  - replacing the W plugs with the Co
  - introduction of new W based material that can serve as both barrier and liner film is tested to allow the gap to be filled with more W rather than consuming the volume by the Ti/TiN layers.





# Materials and Methods

- W/Ti/TiN wafers were used for electrochemical evaluations as a function of oxidizer concentration ( $\text{H}_2\text{O}_2$ )\* to evaluate the passivation rates in various slurry formulations as a function of the slurry chemistry and the abrasive particle solids loading.
- Cu ECD/Ni/TaN/Pd water coupons were evaluated for electrochemical responses in commercial bulk Cu and a barrier slurry.

## Ex-situ Electrochemical Measurement Set-up

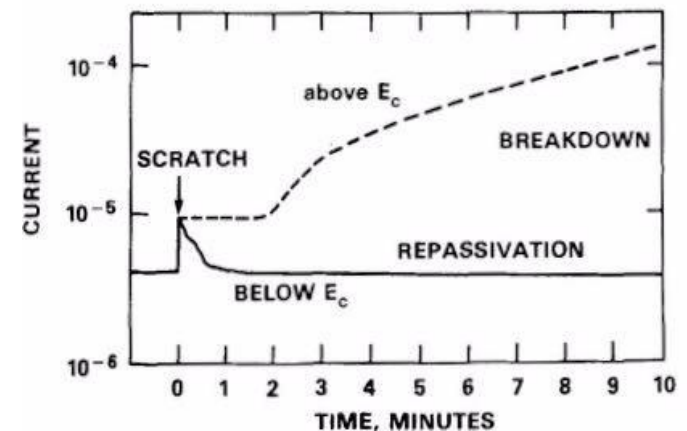
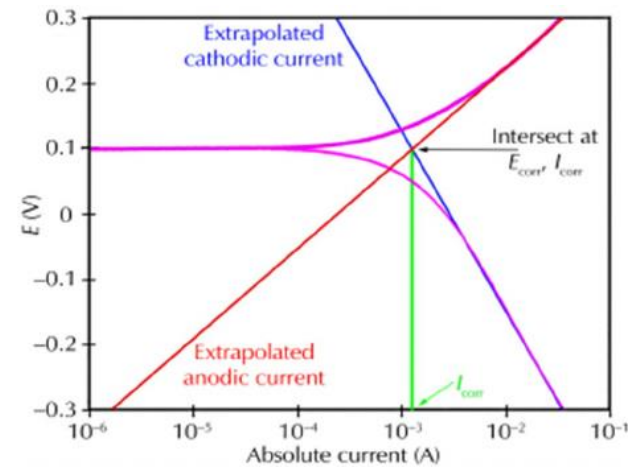


- Gamry flat specimen holder was used to maintain consistency in exposed sample surface area (circular area with 1 cm diameter) and electrochemical response.
- Saturated Calomel Electrode (SCE) connected with a Luggin capillary, and a 26-gauge thick Platinum wire (99.90% Pure) served as the reference and the counter electrodes, respectively.
- Measurements were recorded by using a Gamry reference 3000 potentiostat system and the dedicated Gamry Echem Analyst software.

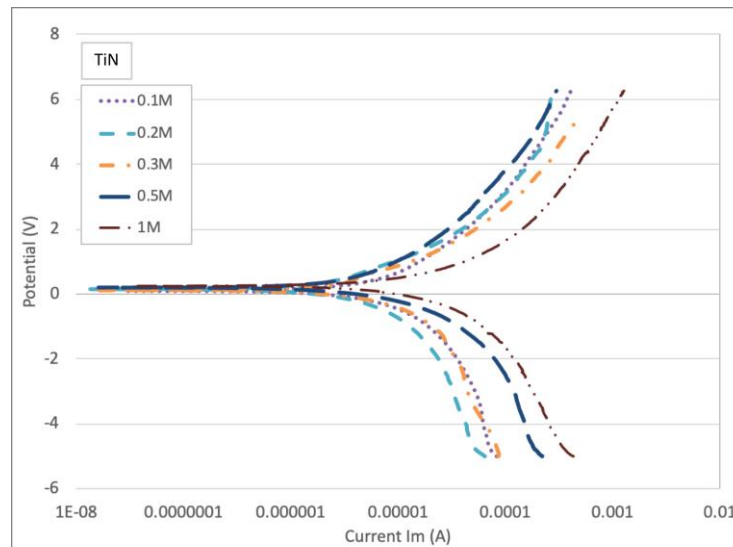
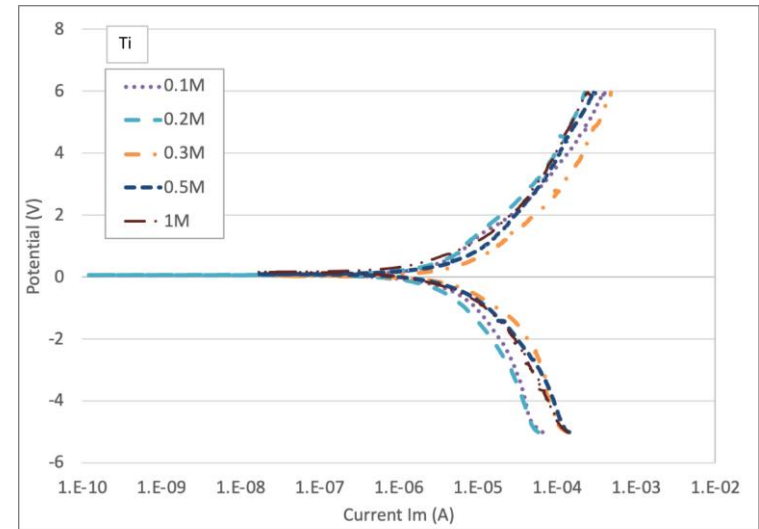
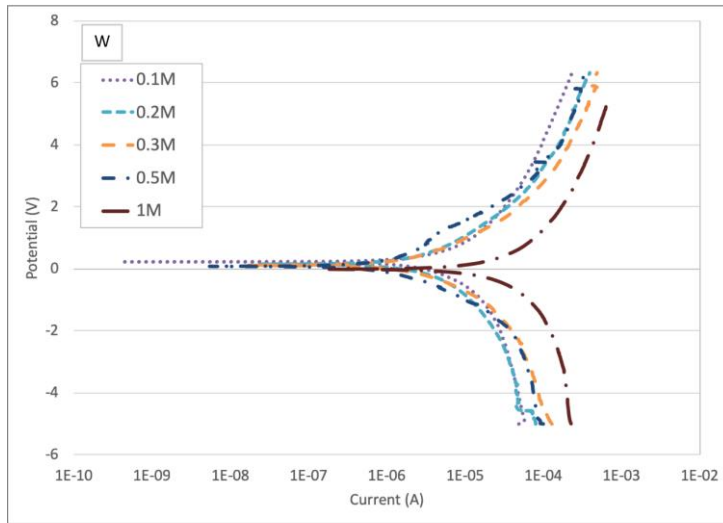
\* Yagan, R., Basim, G.B., "A Fundamental Approach to Electrochemical Analyses on Chemically Modified Thin Films for Barrier CMP Optimization," ECS J. Solid State Sci. Technol. 8-5, P3118-P3127, 2019.

# Electrochemical methods for surface passivation evaluation

- **Potentiodynamic polarization** measurements is a commonly used technique to simulate corrosion characteristics of metals during CMP
  - Both anodic and cathodic polarizations are involved
  - From both branches Tafel slopes can be plotted ->  $E_{\text{corr}}$  and  $I_{\text{corr}}$ .
  - Butler-Volmer equation is used for Corrosion rate,
  - A relationship between corrosion currents and CMP removal rates can be developed.
- **Potentiostatic scan (current vs. time transients)**
  - Current measured by applied potential can depict film growth characteristics.
  - Surface metal oxide film passivation can be determined.
  - Passivated films are self-protective oxides of the underlying metal.

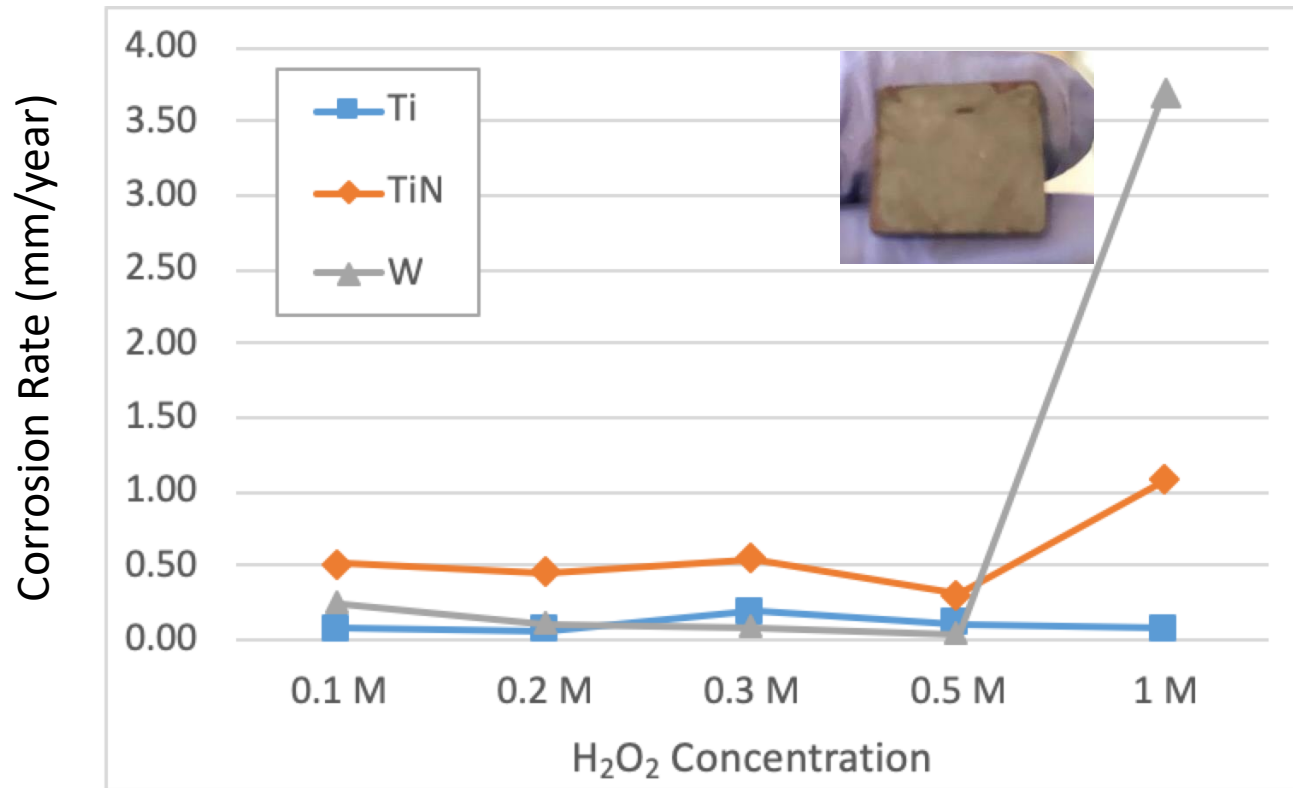


# Potentiodynamic Polarization Curves of W, Ti and TiN



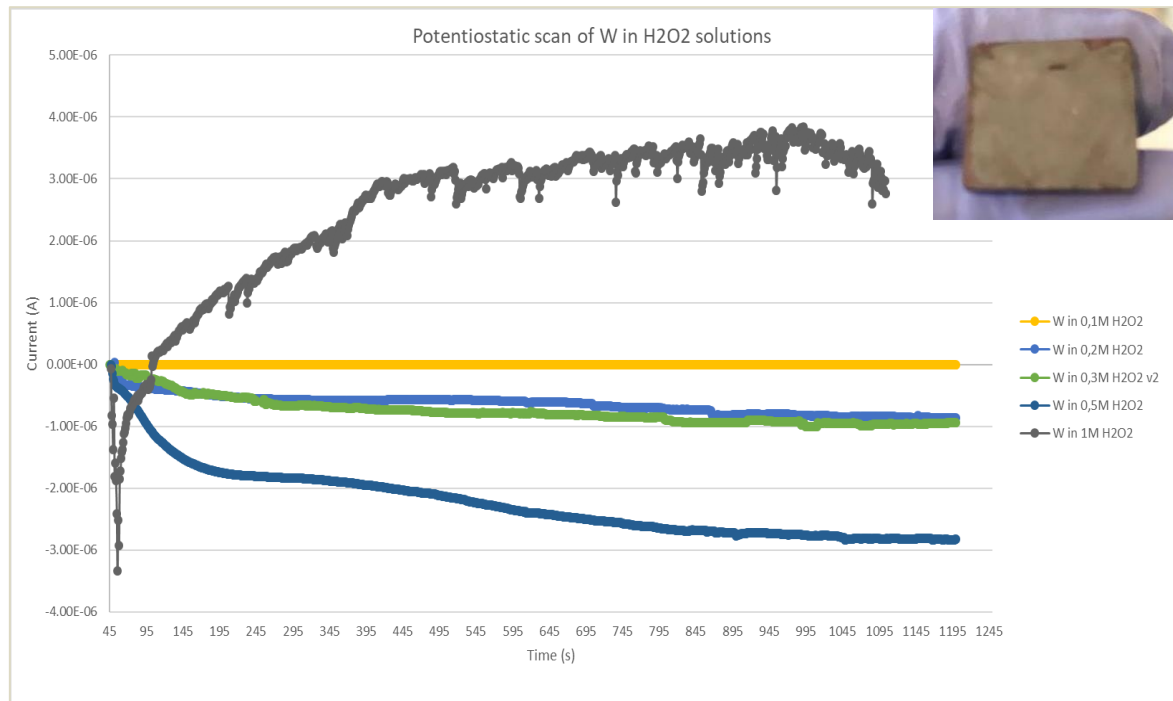
- The measured current on the film surfaces decreased as the applied potential reached the zero value and started progressing into the anodic polarization portion of the curve

## Corrosion Rate Calculations based on Potentiodynamic Measurements on W, Ti and TiN



- Tungsten showed relatively low corrosion rates up to 0.5 M H<sub>2</sub>O<sub>2</sub> addition, whereas, a high rate of 3.7 mm/year was observed at 1M.
- Titanium wafers showed much consistent corrosion rate which can be attributed to the protective nature of the titanium oxide surface layer
- TiN is considered to be more of a ceramic material in its chemical nature and oxidizes into TiO<sub>2</sub> in the presence of oxygen or at high temperatures

# Potentiostatic transient response of W in H<sub>2</sub>O<sub>2</sub> solutions

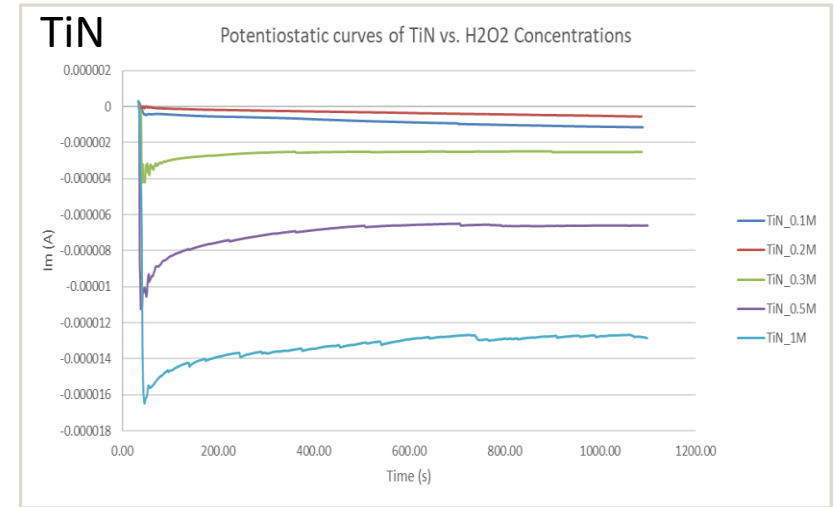
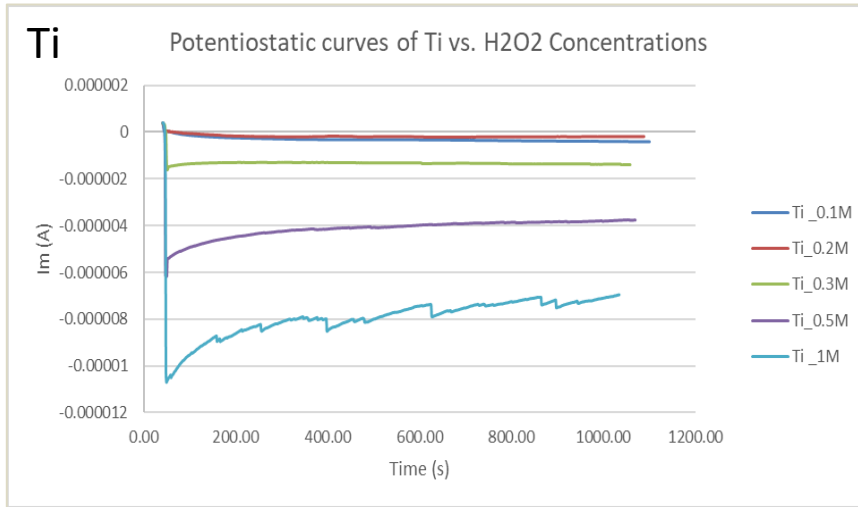


Oxide concentration	Slope (W) A/s
0.1 M	2.416-14
0.2 M	4.175-10
0.3 M	5.87E-10
0.5 M	2.059E-09
1 M	2.85E-07

- As the oxidizer concentration increases, curve settles at lower current levels due to faster nucleation and better passivation.
- Steady state levels of passivation are reached for all concentrations other than 1 M.
- All concentrations of oxidizer addition satisfy protective oxide formation other than 1 M as observed in earlier studies\*.

\* A. Karagoz, A., V.. Craciun, and Basim, G. (2014). Characterization of Nano-Scale Protective Oxide Films: Application on Metal Chemical Mechanical Planarization. ECS Journal of Solid-State Science and Technology, 4(2), pp.P1-P8.

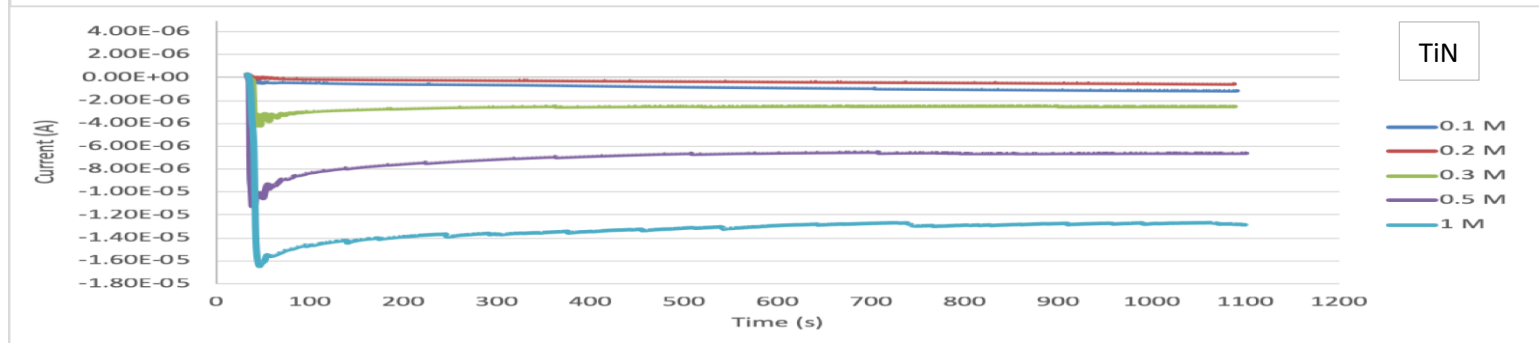
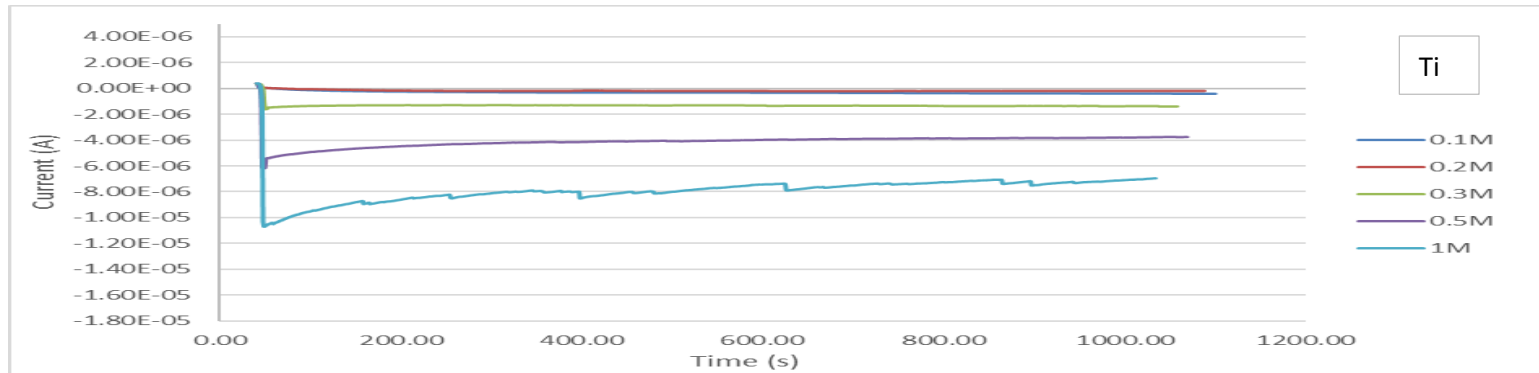
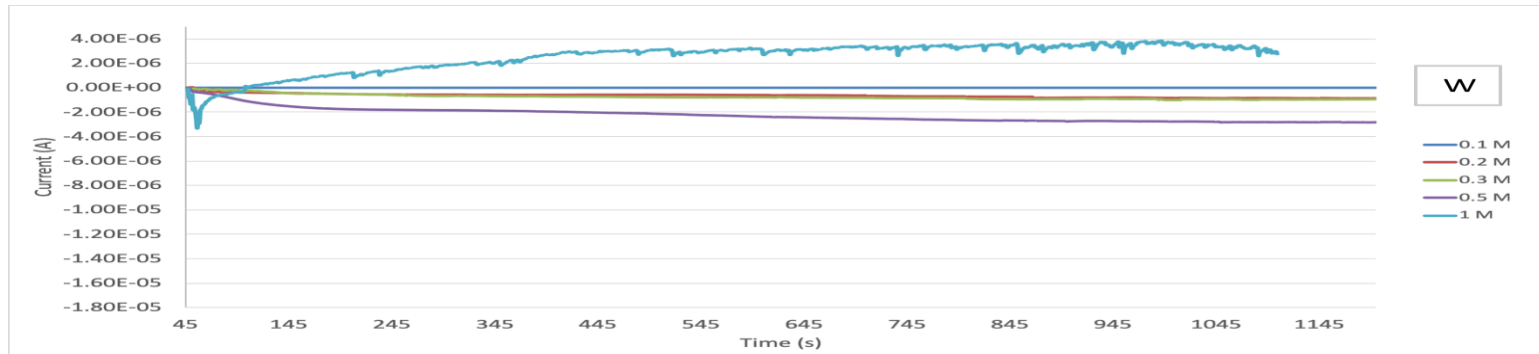
# Potentiostatic transient response of Ti/TiN in H<sub>2</sub>O<sub>2</sub> solutions



Hydrogen Peroxide Concentrations	Titanium Slope (A/s) abs	TiN Slope (A/s) abs
0.1 M	7.25E-09	5.88E-08
0.2 M	8.98E-09	2.36E-08
0.3 M	6.33E-08	5.13E-07
0.5 M	3.17E-07	8.96E-07
1 M	1.45E-06	1.69E-06

- Similar passivation response for Ti and TiN.
- Similar passivation rates at 0.1 and 0.2 M.
- Ti shows lower passivation currents as compared to W.
- TiN shows lower passivation since it is a ceramic material.
- the oxygen present in the environment still forms a passive film of titanium dioxide (TiO<sub>2</sub>) on TiN.

# Potentiostatic transient response of W, Ti, TiN in $\text{H}_2\text{O}_2$ solutions

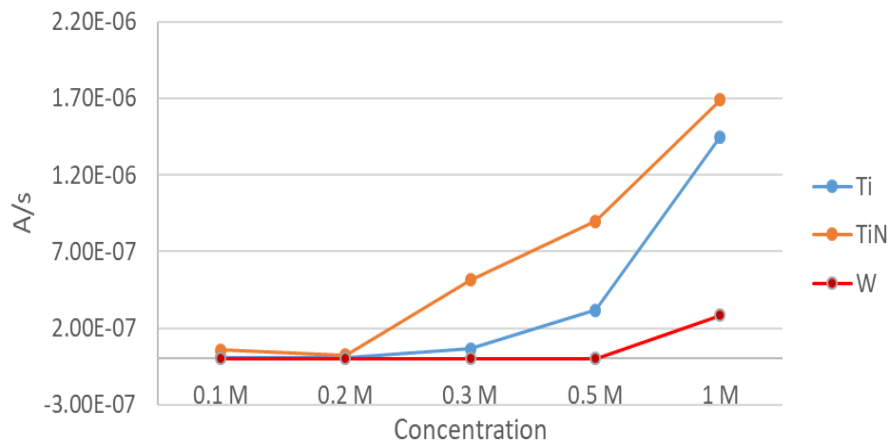


➤ Passivation slopes are similar up to 0.2 M oxidizer addition for W, Ti and TiN films.

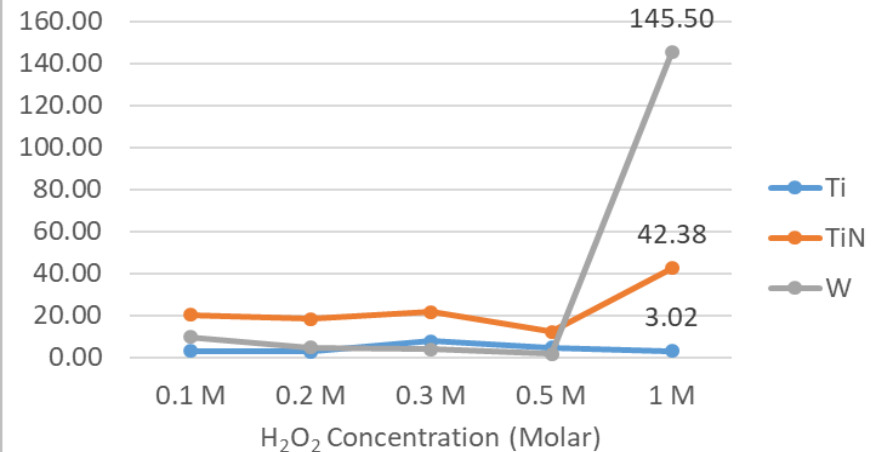


# Comparison of Electrochemical Responses of Tungsten, Titanium and TiN Films

Slopes of Potentiostatic Curves



Corrosion Rates (milli-inch/year)



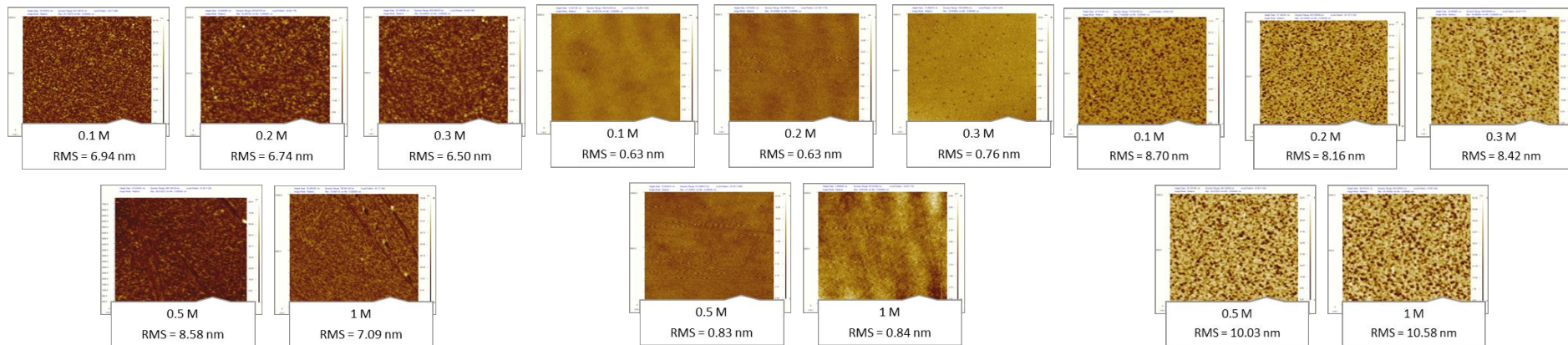
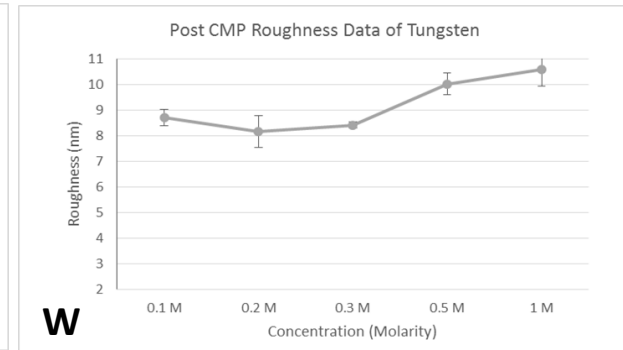
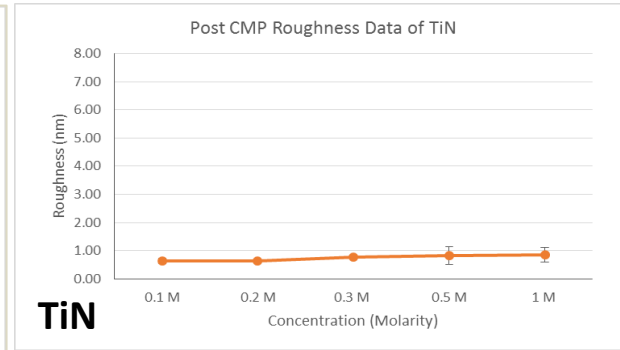
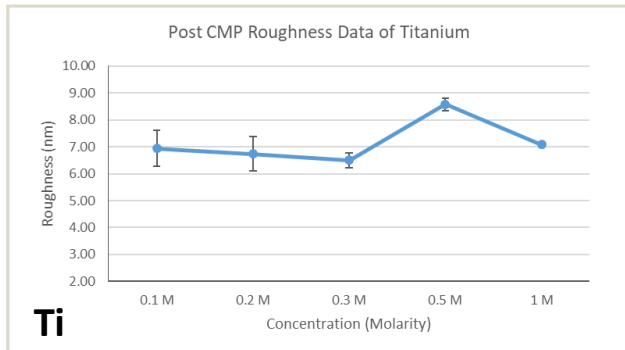
- Passivation responses of all W/Ti/TiN films are similar up to 0.2M, which should correlate with the Material Removal Rates during CMP applications.
- Ti and W films correspond similarly up to 0.5M oxidizer concentration for corrosion rates.
- TiN passivation is not as pronounced as Ti and W since it is not a metallic layer.

## CMP Material Removal Rate Comparison

H <sub>2</sub> O <sub>2</sub> Concentrations	Ti MRR (nm/min)	TiN MRR (nm/min)	W MRR (nm/min)
0.1 M	41.82	83.48	184.09
0.2 M	74.94	79.16	76.40
0.3 M	86.53	188.40	396.05
0.5 M	275.54	88.27	140.22
1 M	204.68	221.88	73.27

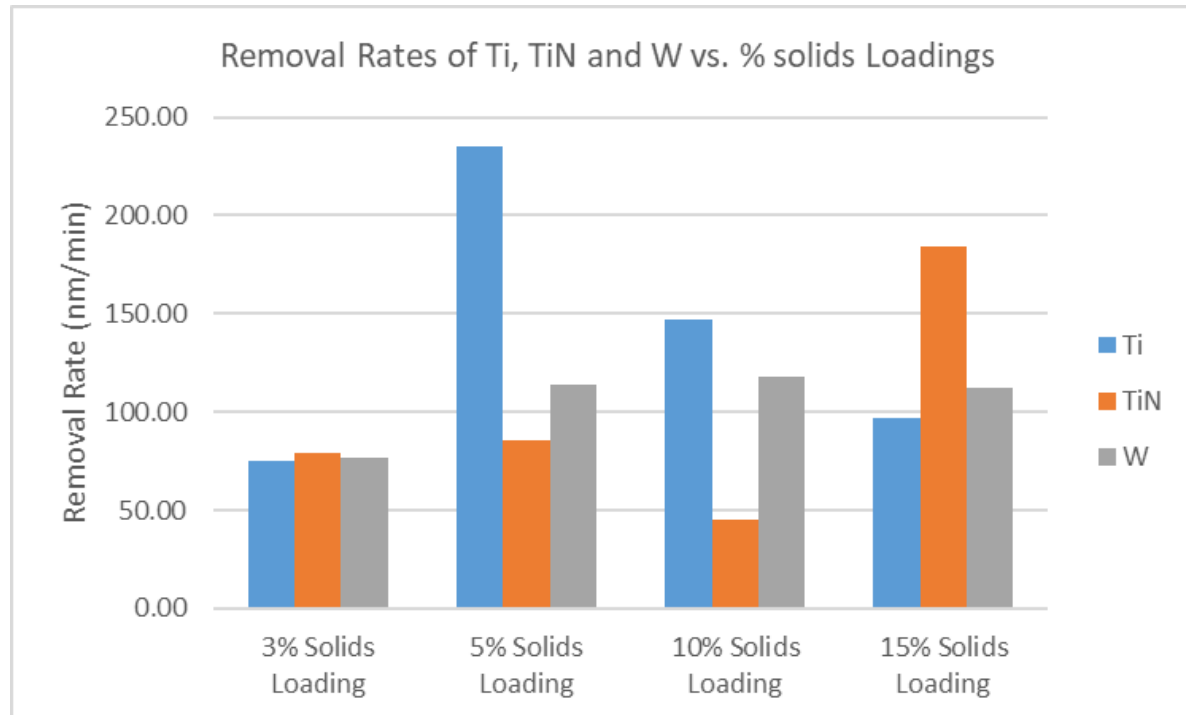
- Ti Removal rates increase with increasing H<sub>2</sub>O<sub>2</sub> concentration in the polishing slurry
- All the materials show similar MRR responses at 0.2M oxidizer concentration with 3wt% polishing slurry at pH 9 with the given CMP set-up.
- This correspondence provides 1:1:1 MRR selectivity.
- Variation of the MRR responses relate to the nucleation and growth mechanisms of oxide on the different materials.

# Characterization of post CMP surface quality (Ti and TiN)



- Ti and W show similar roughness values post CMP application
- TiN has ~6-10X better surface roughness as compared to Ti and W.
- Surface roughness correlates to the passivation behavior of the materials.

# Effect of Slurry Solids Loading on CMP Performance by Oxidizer Concentration



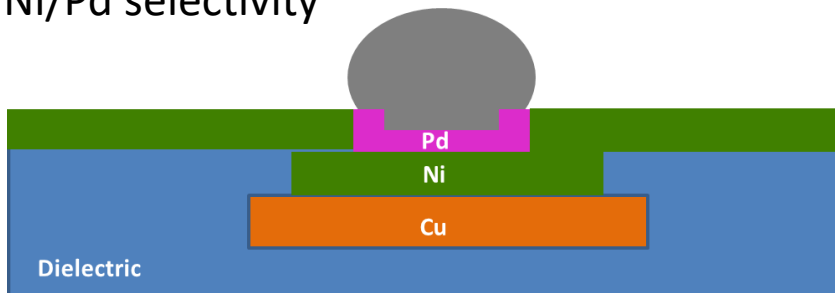
- Optimal 1:1:1 MRR selectivity at 0.2 M oxidizer concentration with 3%wt slurry abrasive (silica) solids loading.
- Variation of the mechanical abrasion activity requires the optimization of the chemical activity.
- Selectivity can be optimized by controlling both the chemical and the mechanical components of the CMP process.

# Barrier Layer Evaluation for Packaging Level

- The yield of chip packaging operations is a function of the surface finish that is solderable and wire bondable.
- Palladium (Pd) plated lead-frame for packaging has improved the processing cost and reliability by simplifying the process integration. It forms a Ni(Pd)Si allowing a lower morphology\*.
- Pd can also be used as a sacrificial layer to protect the copper (Cu) substrate material from oxidation and interdiffusion before the SnPb solder application.
- The new integration schemes of Pd into the circuitry at the packaging level also require a CMP application where the Pd is deposited on the dielectric layer with bond-pad recess and polished to form a Pd based pad surrounded by Ni\*\*.

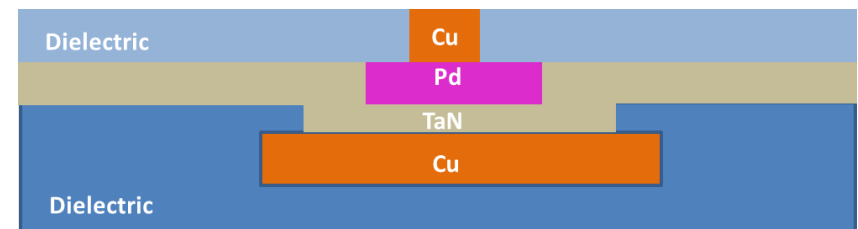
## Bond Pad Pd Integration

Ni/Pd selectivity



## Interconnect Pd Integration

TaN/Pd selectivity

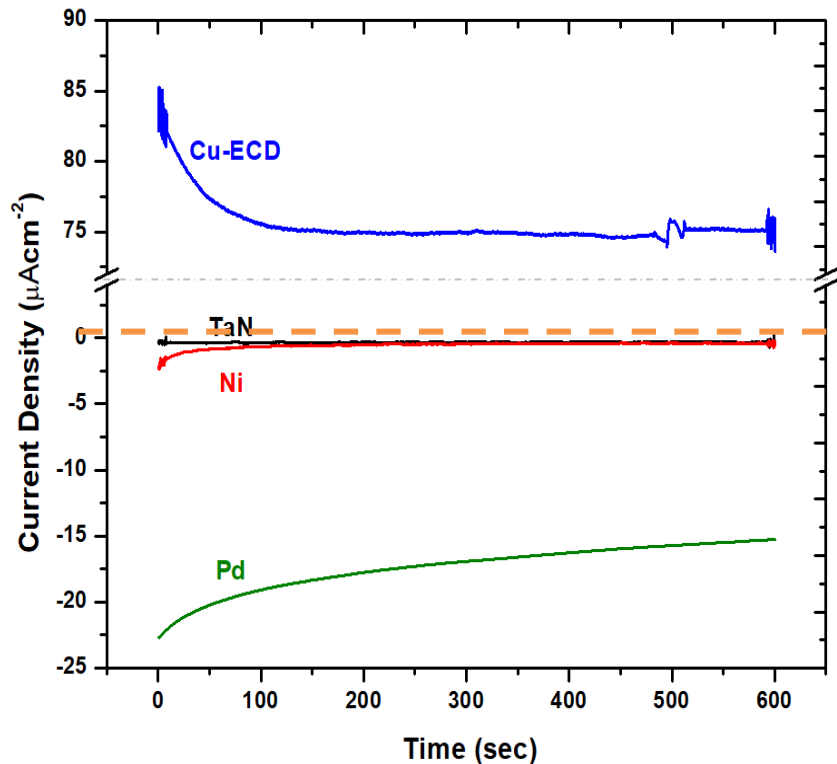


\* Karabko, A., Dragasius, E. Nisi and Ni(Pd)Si as possible interconnect and electrode material for film bulk acoustic resonators and microelectromechanical systems. *Journal of Vibroengineering*, 15 (1), 2013.

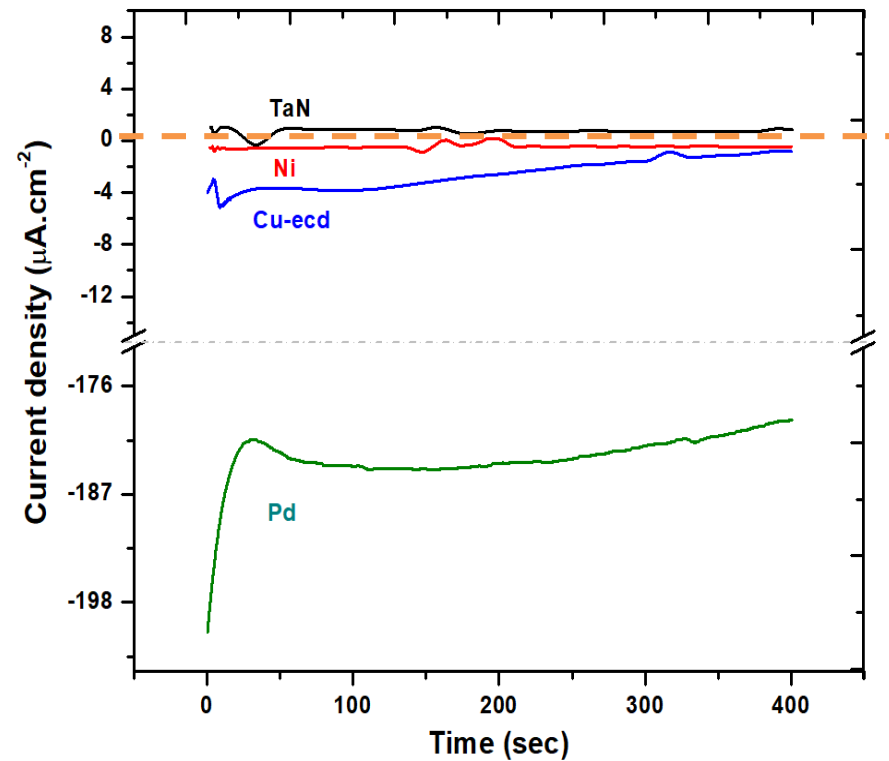
\*\* Eisa, M., Zinn, B. "Aluminum Enhanced Palladium CMP Process" US Patent 8,288,283, October 16, 2012.

# Potentiostatic Evaluations on Cu, Ni, TaN and Pd wafers in Bulk Cu Slurry and Barrier Slurry with 2% H<sub>2</sub>O<sub>2</sub>

## Potentiostatic measurements in Bulk Cu Slurry with 2% H<sub>2</sub>O<sub>2</sub>

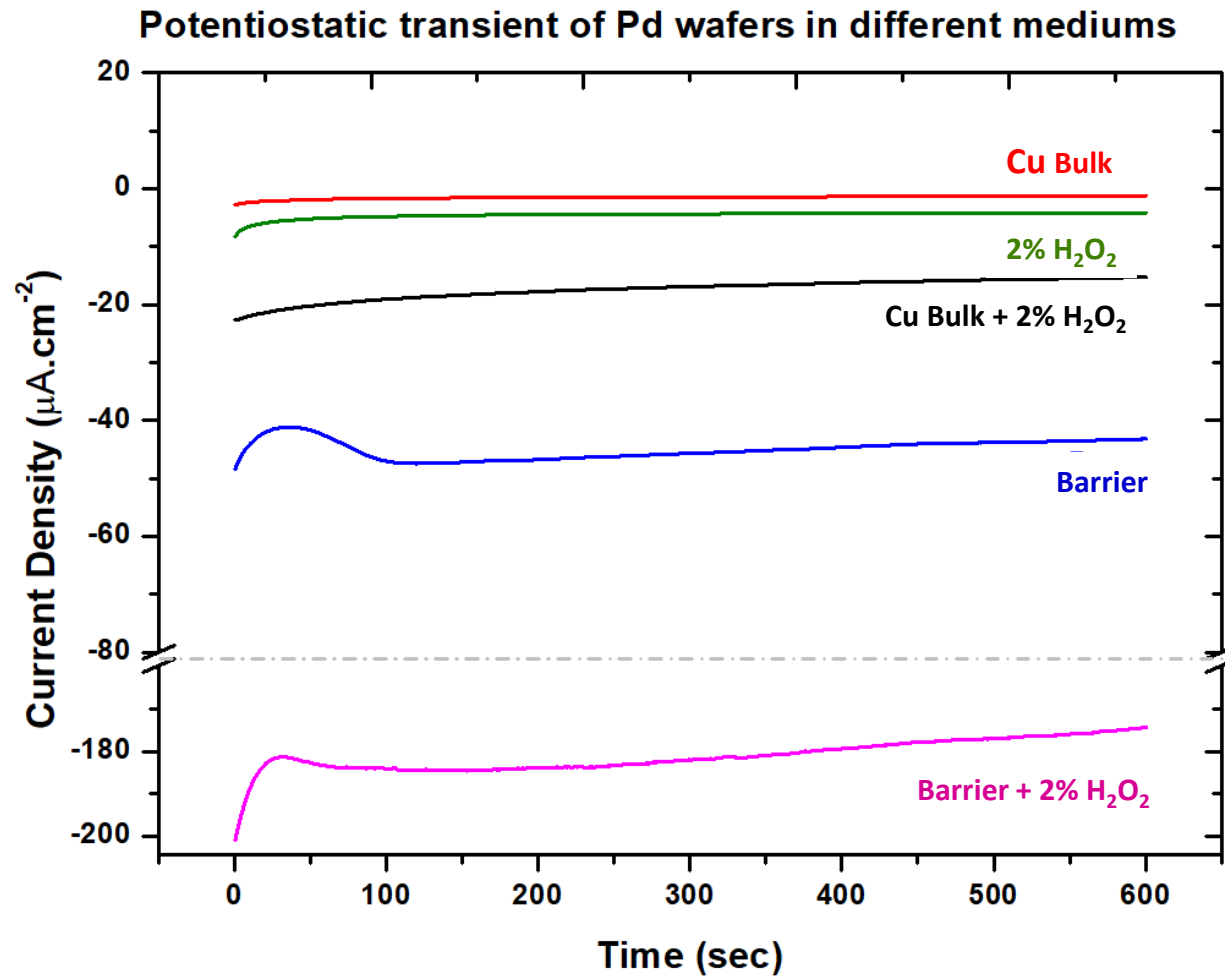


## Potentiostatic measurements in Barrier Slurry with 2% H<sub>2</sub>O<sub>2</sub>



- Cu bulk slurry is corrosive against Cu that helps removal rates.
- Pd strongly passivates in both bulk and the barrier slurries with more pronounced effect in the barrier slurry.

# Potentiostatic Evaluations on Pd wafers in Different Mediums



- Pd passivation ranges with the type of slurry and the oxidizer concentration that can be tuned to the specified integration needs.



## Summary

- Electrochemical analyses of metal and barrier films were explored as a function of oxidizer concentration through potentiodynamic and potentiostatic methods on a model W/Ti/TiN system.
- Potentiostatic sweeps showed passivation of the surfaces indicating the formation of chemically modified oxide layers. The initial passivation slopes were stable up to 0.2 M  $\text{H}_2\text{O}_2$  concentration.
- It was observed that at 0.2 M oxidizer addition, a 1/1/1 removal rate selectivity of W/Ti/TiN was achieved indicating a slurry formulation suitable to a single step metal barrier layer planarization.
- Pd selectivity against Ni and TaN in Cu integration also shows promising results to be evaluated with the same approach.
- Advances in the CMP development for new barrier materials and integration schemes can benefit from the experimental approach outlined in this research.

# QUESTIONS/COMMENTS?

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